

# Small-x Quark and Gluon Helicity Contributions to the Proton Spin Puzzle

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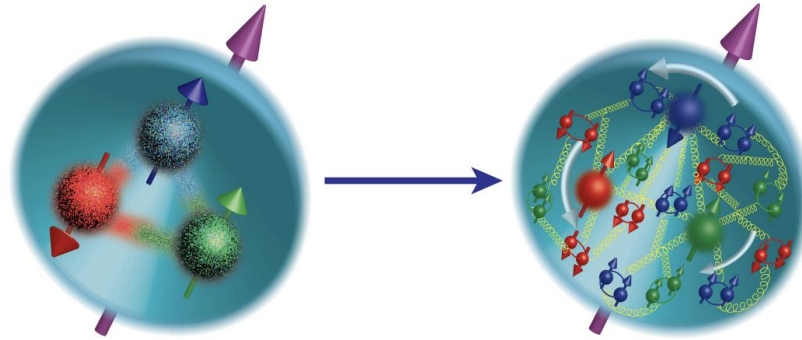
# Outline

- Introduction
- Helicity Operators and Observables at small  $x$
- Evolution Equations
- Closed Evolution Equations
  - Large- $N_c$  limit
  - Large- $N_c$  &  $N_f$  limit
- Phenomenology

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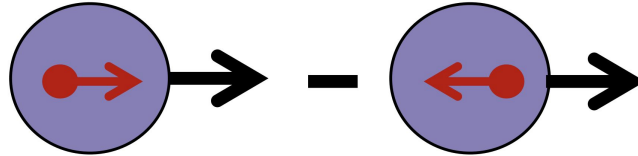
- **Introduction**
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# Proton Spin



- In the past, proton spin was thought to be the sum of constituent quarks spins.
- Now, we believe it to be the sum of spins of valence quarks, sea quarks and gluons, together with their orbital angular momenta (OAM).

# Helicity PDF



- Helicity-dependent generalization of PDFs
- For each parton  $f$ ,

$$\Delta f(x, Q^2) \equiv f^+(x, Q^2) - f^-(x, Q^2)$$

- For quarks, we often consider the “flavor-singlet” quark hPDF:

$$\Delta\Sigma(x, Q^2) = \sum_{f=u,d,s} [\Delta f(x, Q^2) + \Delta\bar{f}(x, Q^2)]$$

- Gluon hPDF:  $\Delta G(x, Q^2)$

# Proton Helicity Sum Rule

- Jaffe-Manohar sum rule:  $\frac{1}{2} = S_q + S_G + L_q + L_G$

where the helicity of quarks ( $S_q$ ) and gluons ( $S_G$ ) are

$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \Delta\Sigma(x, Q^2) \quad \text{and} \quad S_G(Q^2) = \int_0^1 dx \Delta G(x, Q^2)$$

- In the late 1980's, EMC measurement implied that  $S_q \approx 0.05$ , much lower than what would have been (1/2) had all the proton spin been carried by the constituent quarks.

# Current Knowledge of Proton Helicity

- More recently, the proton spin carried by quarks and gluon are estimated to be

$$S_q(Q^2 = 10 \text{ GeV}^2) \approx \frac{1}{2} \int_{0.001}^1 dx \Delta\Sigma(x, 10 \text{ GeV}^2) \in [0.15, 0.20]$$

$$S_G(Q^2 = 10 \text{ GeV}^2) \approx \int_{0.01}^1 dx \Delta G(x, 10 \text{ GeV}^2) \in [0.13, 0.26]$$

- They do not add to 1/2. The missing spin can come from:
  - Orbital angular momenta,  $L_q$  and  $L_G$ .
  - Small-x region of  $\Delta\Sigma$  and  $\Delta G$ . Scattering experiments can only access finitely small x. The limit will improve with EIC.

$$\frac{1}{2} = S_q + S_G + L_q + L_G$$
$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \Delta\Sigma(x, Q^2)$$
$$S_G(Q^2) = \int_0^1 dx \Delta G(x, Q^2)$$

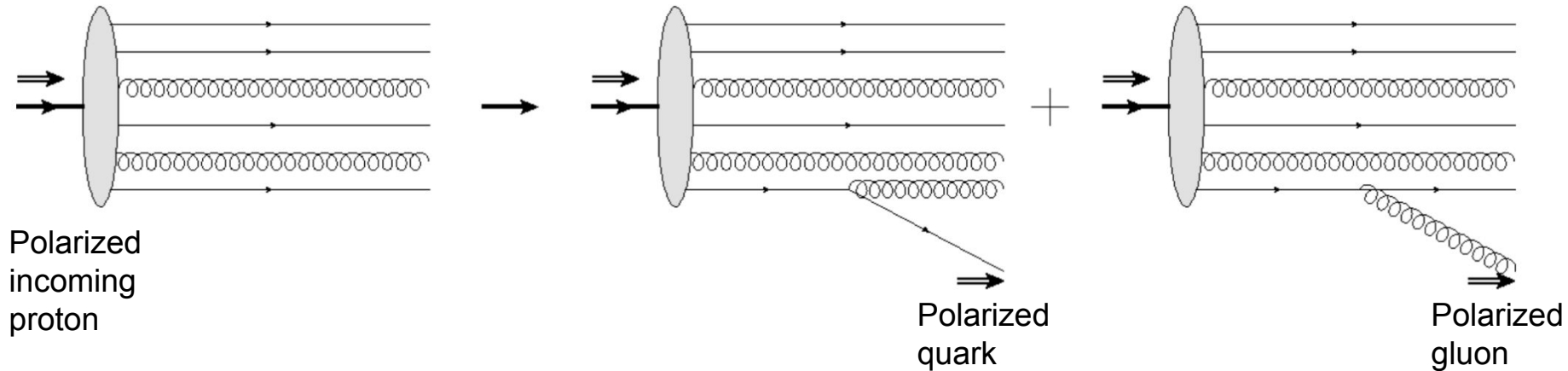
# Small-x Evolution for Helicity

- Scattering experiments can only access  $\Delta\Sigma$  and  $\Delta G$  down to finitely small  $x$ .
- We attempt to fill the gap by finding small- $x$  asymptotics for  $\Delta\Sigma$  and  $\Delta G$  through evolution in  $x$ .
  - Evolution constructed by Y. Kovchegov, D. Pitonyak and M. Sievert (KPS) in 2015-18 [1505.01176, 1511.06737, 1610.06197, 1808.09010]
  - Important additional contribution recently calculated by F. Cougoulic, Y. Kovchegov, A. Tarasov and Y. Tawabutr (KPS-CTT) in 2022 [2204.11898]
  - Employing similar approach to BK/JIMWLK evolution.



# Small-x Evolution for Helicity

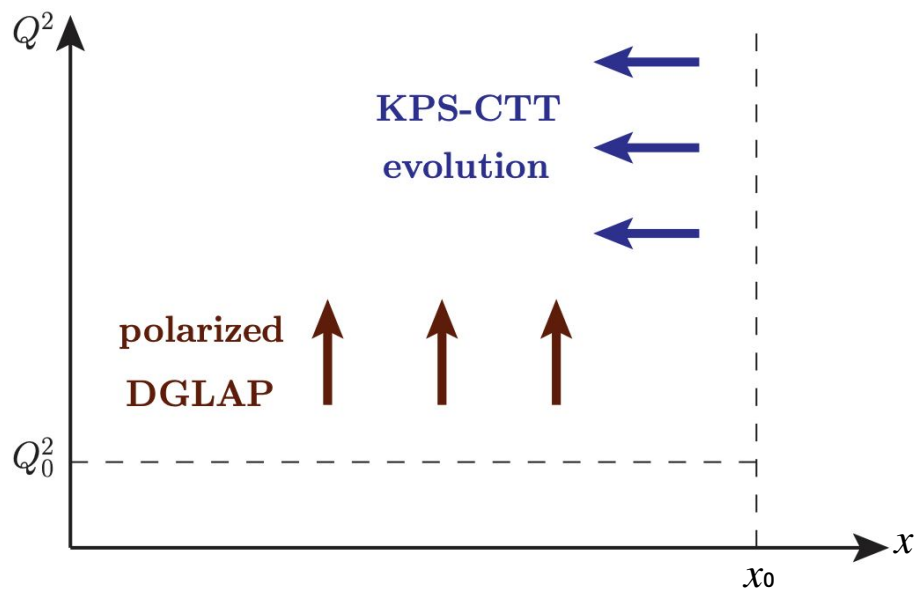
- We attempt to fill the gap by finding small-x asymptotics for  $\Delta\Sigma$  and  $\Delta G$  through evolution in x, employing similar approach to BK/JIMWLK evolution.



- Helicity evolution must keep track of both quark and gluon helicity, in contrast to unpolarized small-x evolution.

# Small-x Evolution for Helicity

- The KPS-CTT evolution in  $x$  is complementary to the existing polarized DGLAP evolution.

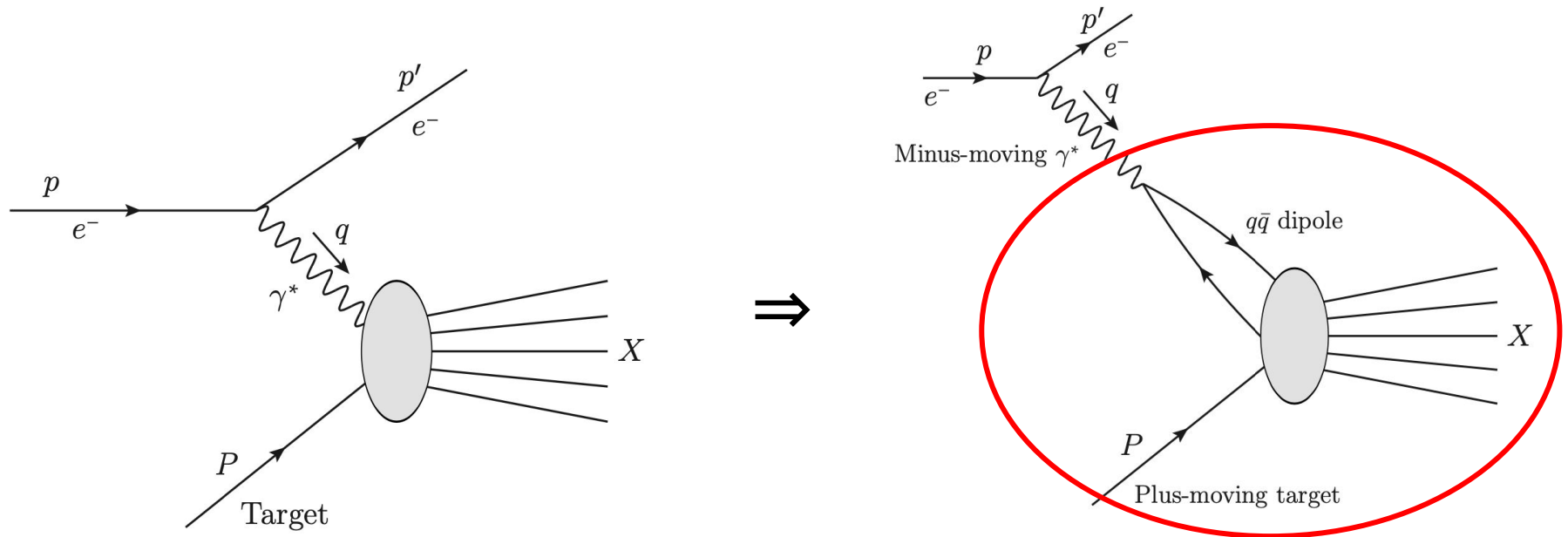


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# DIS at Small $x$ : The Dipole Picture

- At small- $x$ , DIS is dominated by the contribution where the virtual photon splits into a quark-antiquark dipole, which goes on to interact with the target.



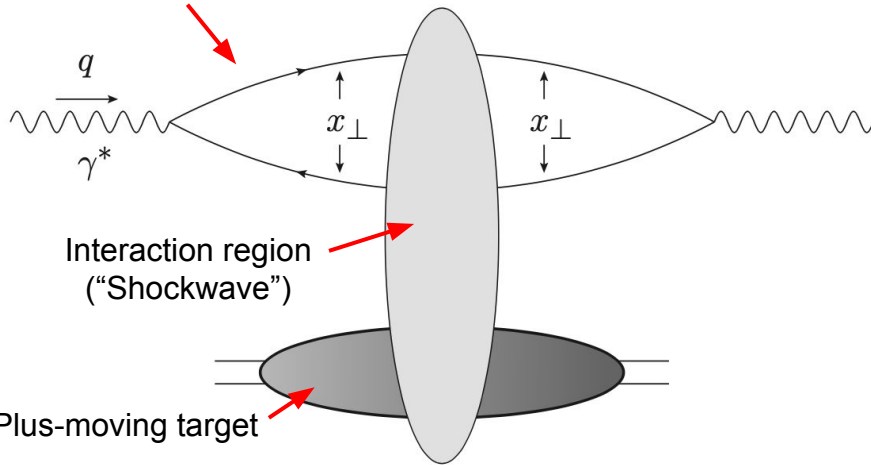
# DIS at Small x: The Dipole Picture

- Unpolarized PDF and structure functions,  $F_1(x, Q^2)$  and  $F_2(x, Q^2)$ , relate to the **s-matrix** of dipole-target scattering:

$$S(\underline{x}_1, \underline{x}_0, s) \equiv S_{10}(s) = \frac{1}{N_c} \left\langle \text{tr} \left[ V_{\underline{1}} V_{\underline{0}}^\dagger \right] \right\rangle (s)$$

Brackets: Averaging over target's state, including spin

Minus-moving dipole



Interaction region ("Shockwave")

Plus-moving target

where

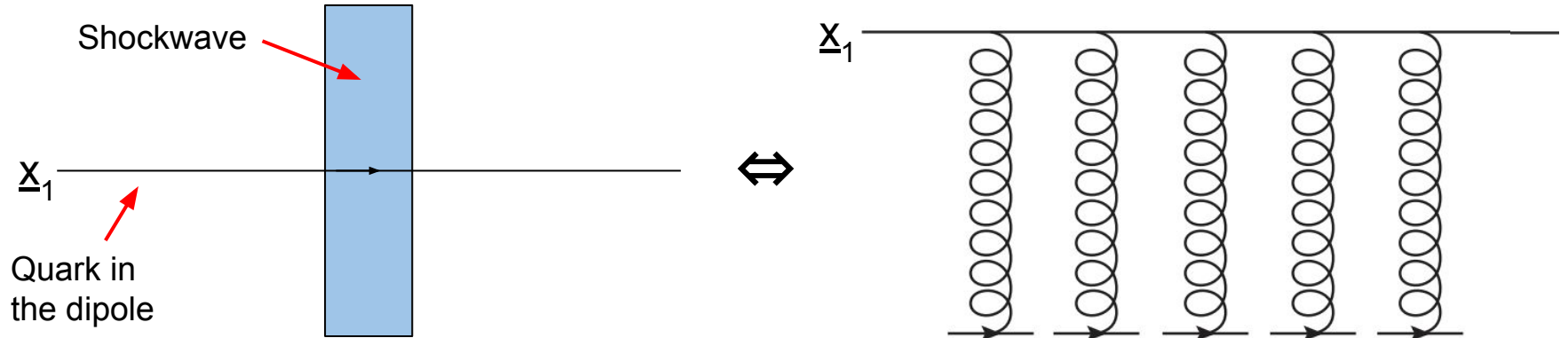
$$V_{\underline{1}}[x_f^-, x_i^-] \equiv V_{\underline{x}_1}[x_f^-, x_i^-] = \mathcal{P} \exp \left[ ig \int_{x_i^-}^{x_f^-} dx^- A^+(0^+, x^-, \underline{x}_1) \right]$$

$$V_{\underline{1}} \equiv V_{\underline{1}}[\infty, -\infty]$$

Lightcone (unpolarized) Wilson line

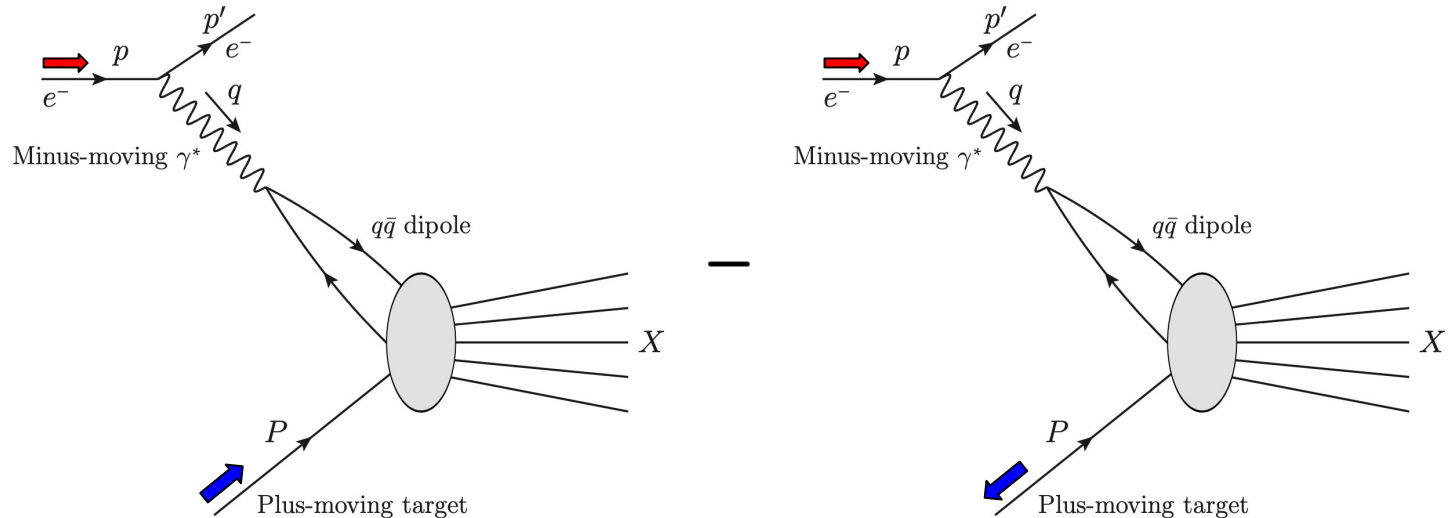
# Unpolarized Dipole Amplitude

- Parton **unpolarized PDF**,  $\Sigma(x, Q^2)$  and  $G(x, Q^2)$ , relate to **unpolarized dipole amplitude**,  $S_{10}(s) = \frac{1}{N_c} \left\langle \text{tr} \left[ V_{\underline{1}} V_{\underline{0}}^\dagger \right] \right\rangle (s)$ , which obeys BFKL/BK/JIMWLK evolution.
- Quark going through the shockwave at  $\underline{x}_1$ : unpolarized Wilson line,  $U(\underline{x}_1, \underline{x}_2)$ .
- Multiple parton exchanges at **eikonal** level (leading order in  $x$ ).



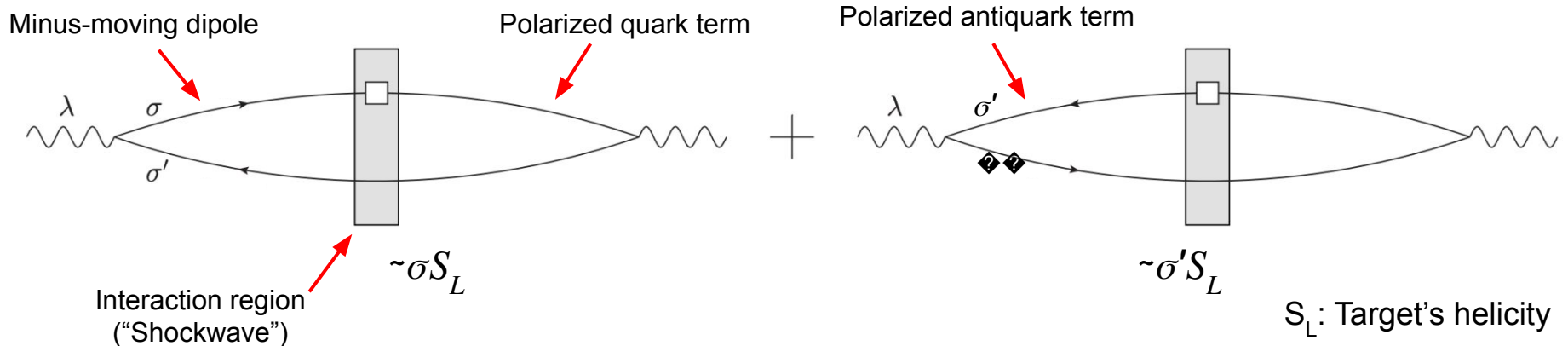
# Polarized Dipole-Target Interaction

- Polarized parton PDF and structure function,  $g_1(x, Q^2)$ , relate to the helicity-dependent part of dipole-target scattering, which is sub-eikonal (suppressed by  $1/s$ ) compared to the leading unpolarized term.



# Polarized Dipole-Target Interaction

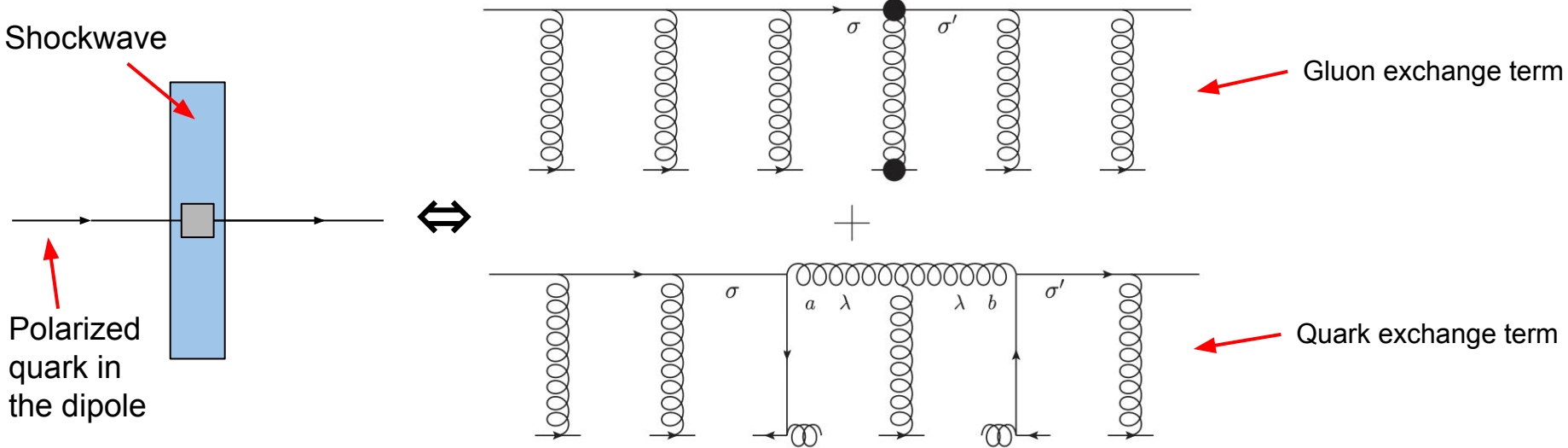
- Polarized parton PDF and structure function,  $g_1(x, Q^2)$ , relate to the helicity-dependent part of dipole-target scattering, which is sub-eikonal (suppressed by  $1/s$ ) compared to the leading unpolarized term.
- This leads to the generalize dipole picture for a polarized DIS.





# Polarized Dipole-Target Interaction

- Helicity-dependent quark line going through the shockwave corresponds to multiple eikonal parton exchanges, except for **one** helicity-dependent exchange, which is **sub-eikonal** (suppressed by an extra factor of  $x$ ).



# Polarized Dipole-Target Interaction

- Polarized quark line also corresponds to **polarized Wilson line**.

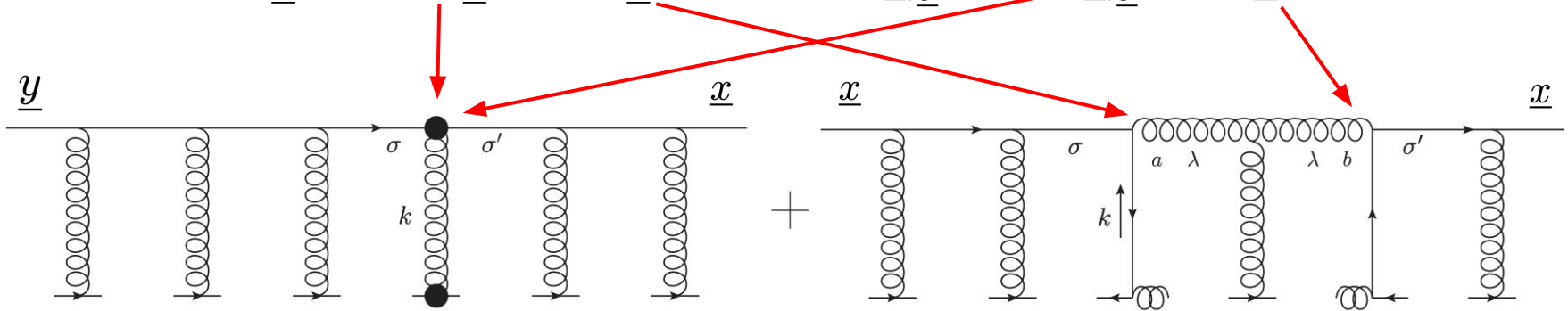
$$V_{\underline{x}, \underline{y}; \sigma', \sigma} \Big|_{\text{sub-eikonal}} = \sigma \delta_{\sigma, \sigma'} V_{\underline{x}}^{\text{pol}[1]} \delta^2(\underline{x} - \underline{y}) + \delta_{\sigma, \sigma'} V_{\underline{x}, \underline{y}}^{\text{pol}[2]}$$

Type-1 polarized Wilson line:

Type-2 polarized Wilson line:

$$V_{\underline{x}}^{\text{pol}[1]} = V_{\underline{x}}^{\text{G}[1]} + V_{\underline{x}}^{\text{q}[1]}$$

$$V_{\underline{x}, \underline{y}}^{\text{pol}[2]} = V_{\underline{x}, \underline{y}}^{\text{G}[2]} + V_{\underline{x}}^{\text{q}[2]} \delta^2(\underline{x} - \underline{y})$$



# Type-1 Polarized Wilson Line

- Found and studied previously by [KPS, 1610.06188, 1706.04236, 1808.09010; Chirilli, 1807.11435, 2101.12744; Altinoluk et al, 2012.03886].
- Comes with  $\sigma\delta_{\sigma,\sigma'}$ , and thought to be the only relevant object in helicity.
- Defines the **type-1 polarized dipole amplitude**:

$$Q(x_{10}^2, z_s) = \frac{z_s}{2N_c} \int d^2 \left( \frac{\underline{x}_0 + \underline{x}_1}{2} \right) \text{Re} \left\langle \text{T tr} \left[ V_{\underline{0}} V_{\underline{1}}^{\text{pol}[1]\dagger} \right] + \text{T tr} \left[ V_{\underline{1}}^{\text{pol}[1]} V_{\underline{0}}^\dagger \right] \right\rangle$$

$$\langle \dots \rangle \equiv \frac{1}{2} \sum_{S_L} S_L \frac{1}{2^{P^+V^-}} \langle P, S_L | \dots | P, S_L \rangle$$

Bracket: average over target's state,  
but antisymmetrize over target's helicity

$$V_{\underline{x}}^{\text{pol}[1]} = V_{\underline{x}}^{\text{G}[1]} + V_{\underline{x}}^{\text{q}[1]}$$

# Type-1 Polarized Wilson Line

$$Q(x_{10}^2, zs) = \frac{zs}{2N_c} \int d^2 \left( \frac{\underline{x}_0 + \underline{x}_1}{2} \right) \text{Re} \left\langle \text{T tr} \left[ V_{\underline{0}} V_{\underline{1}}^{\text{pol}[1] \dagger} \right] + \text{T tr} \left[ V_{\underline{1}}^{\text{pol}[1]} V_{\underline{0}}^\dagger \right] \right\rangle$$

$$\langle \dots \rangle \equiv \frac{1}{2} \sum_{S_L} S_L \frac{1}{2P^+ V^-} \langle P, S_L | \dots | P, S_L \rangle$$

Target's longitudinal spin

$$V_{\underline{x}}^{\text{pol}[1]} = V_{\underline{x}}^{\text{G}[1]} + V_{\underline{x}}^{\text{q}[1]}$$

$$V_{\underline{x}}^{\text{G}[1]} = \frac{igP^+}{s} \int_{-\infty}^{\infty} dx^- V_{\underline{x}}[\infty, x^-] F^{12}(x^-, \underline{x}) V_{\underline{x}}[x^-, -\infty]$$

$\vec{\mu} \cdot \vec{B}$

$$V_{\underline{x}}^{\text{q}[1]} = \frac{g^2 P^+}{2s} \int_{-\infty}^{\infty} dx_1^- \int_{x_1^-}^{\infty} dx_2^- V_{\underline{x}}[\infty, x_2^-] t^b \psi_\beta(x_2^-, \underline{x}) U_{\underline{x}}^{ba}[x_2^-, x_1^-] [\gamma^+ \gamma^5]_{\alpha\beta} \bar{\psi}_\alpha(x_1^-, \underline{x}) t^a V_{\underline{x}}[x_1^-, -\infty]$$

Axial current

# Type-2 Polarized Wilson Line

- First studied in [Altinoluk et al, 2012.03886; Kovchegov, Santiago, 2108.03667] and introduced to helicity evolution in [2204.11898].
- Comes with  $\delta_{\sigma,\sigma'}$ , and previously thought not to contribute to helicity.
- The gluon exchange term,  $V_{\underline{x},\underline{y}}^{G[2]}$ , contributes, but not the quark exchange term.
- Defines the **type-2 polarized dipole amplitude**:

$$G_2(x_{10}^2, zs) = \frac{\epsilon^{ij}(x_{10})_{\perp}^j}{x_{10}^2} \int d^2 \left( \frac{\underline{x}_0 + \underline{x}_1}{2} \right) \frac{zs}{2N_c} \left\langle \text{tr} \left[ V_{\underline{0}}^{\dagger} V_{\underline{1}}^{i G[2]} + \left( V_{\underline{1}}^{i G[2]} \right)^{\dagger} V_{\underline{0}} \right] \right\rangle$$

$$\langle \dots \rangle \equiv \frac{1}{2} \sum_{S_L} S_L \frac{1}{2P^+ V^-} \langle P, S_L | \dots | P, S_L \rangle$$

Target's longitudinal spin

Can be written in term of  $V_{\underline{x},\underline{y}}^{G[2]}$ , which is the gluon exchange term in type-2 polarized Wilson line

# Type-2 Polarized Wilson Line

$$G_2(x_{10}^2, zs) = \frac{\epsilon^{ij} (x_{10})_{\perp}^j}{x_{10}^2} \int d^2 \left( \frac{\underline{x}_0 + \underline{x}_1}{2} \right) \frac{zs}{2N_c} \left\langle \text{tr} \left[ V_0^\dagger V_1^{iG[2]} + \left( V_1^{iG[2]} \right)^\dagger V_0 \right] \right\rangle$$

$$\langle \dots \rangle \equiv \frac{1}{2} \sum_{S_L} S_L \frac{1}{2P^+ V^-} \langle P, S_L | \dots | P, S_L \rangle$$

Target's longitudinal spin

Can be written in term of  $V_{\underline{x}, \underline{y}}^{G[2]}$ , which is the gluon exchange term in type-2 polarized Wilson line

$$V_{\underline{z}}^{iG[2]} = \frac{P^+}{2s} \int_{-\infty}^{\infty} dz^- V_{\underline{z}}[\infty, z^-] \left[ D^i(z^-, \underline{z}) - \check{D}^i(z^-, \underline{z}) \right] V_{\underline{z}}[z^-, -\infty]$$

$$V_{\underline{x}, \underline{y}}^{G[2]} = -\frac{iP^+}{s} \int_{-\infty}^{\infty} dz^- d^2 z V_{\underline{x}}[\infty, z^-] \delta^2(\underline{x} - \underline{z}) \check{D}^i(z^-, \underline{z}) D^i(z^-, \underline{z}) V_{\underline{y}}[z^-, -\infty] \delta^2(\underline{y} - \underline{z})$$

# Relations with Helicity PDFs and $g_1$ Structure Function

- Through an expansion in  $x$ , **helicity PDFs**,  $\Delta\Sigma(x, Q^2)$  and  $\Delta G(x, Q^2)$ , relate to **polarized dipole amplitudes**,  $Q(x_{10}^2, zs)$  (type 1) and  $G_2(x_{10}^2, zs)$  (type 2) by

$$\Delta\Sigma(x, Q^2) = -\frac{N_c N_f}{2\pi^3} \int_{\Lambda^2/s}^1 \frac{dz}{z} \int_{\frac{1}{zs}}^{\min\{\frac{1}{zQ^2}, \frac{1}{\Lambda^2}\}} \frac{dx_{10}^2}{x_{10}^2} [Q(x_{10}^2, zs) + 2 G_2(x_{10}^2, zs)]$$

$$\Delta G(x, Q^2) = \frac{2N_c}{\alpha_s \pi^2} \left[ \left( 1 + x_{10}^2 \frac{\partial}{\partial x_{10}^2} \right) G_2 \left( x_{10}^2, zs = \frac{Q^2}{x} \right) \right]_{x_{10}^2 = \frac{1}{Q^2}}$$

- Similarly,  $g_1$  structure function relates to both polarized dipole amplitudes by

$$g_1(x, Q^2) = -\sum_f \frac{N_c Z_f^2}{4\pi^3} \int_{\Lambda^2/s}^1 \frac{dz}{z} \int_{\frac{1}{zs}}^{\min\{\frac{1}{zQ^2}, \frac{1}{\Lambda^2}\}} \frac{dx_{10}^2}{x_{10}^2} [Q(x_{10}^2, zs) + 2 G_2(x_{10}^2, zs)]$$

# Recap

$$\frac{1}{2} = S_q + S_G + L_q + L_G$$

$$S_q(Q^2) = \frac{1}{2} \int_0^1 dx \Delta\Sigma(x, Q^2)$$

$$S_G(Q^2) = \int_0^1 dx \Delta G(x, Q^2)$$

$$\Delta\Sigma(x, Q^2) = -\frac{N_c N_f}{2\pi^3} \int_{\Lambda^2/s}^1 \frac{dz}{z} \int_{\frac{1}{zs}}^{\min\{\frac{1}{zQ^2}, \frac{1}{\Lambda^2}\}} \frac{dx_{10}^2}{x_{10}^2} [Q(x_{10}^2, zs) + 2G_2(x_{10}^2, zs)]$$

$$\Delta G(x, Q^2) = \frac{2N_c}{\alpha_s \pi^2} \left[ \left( 1 + x_{10}^2 \frac{\partial}{\partial x_{10}^2} \right) G_2 \left( x_{10}^2, zs = \frac{Q^2}{x} \right) \right]_{x_{10}^2 = \frac{1}{Q^2}}$$

$$Q(x_{10}^2, zs) = \frac{zs}{2N_c} \int d^2 \left( \frac{\underline{x}_0 + \underline{x}_1}{2} \right) \text{Re} \left\langle \text{T tr} \left[ V_0 V_1^{\text{pol}[1]\dagger} \right] + \text{T tr} \left[ V_1^{\text{pol}[1]} V_0^\dagger \right] \right\rangle$$

$$G_2(x_{10}^2, zs) = \frac{\epsilon^{ij} (x_{10})_\perp^j}{x_{10}^2} \int d^2 \left( \frac{\underline{x}_0 + \underline{x}_1}{2} \right) \frac{zs}{2N_c} \left\langle \text{tr} \left[ V_0^\dagger V_1^{G[2]} + \left( V_1^{G[2]} \right)^\dagger V_0 \right] \right\rangle$$



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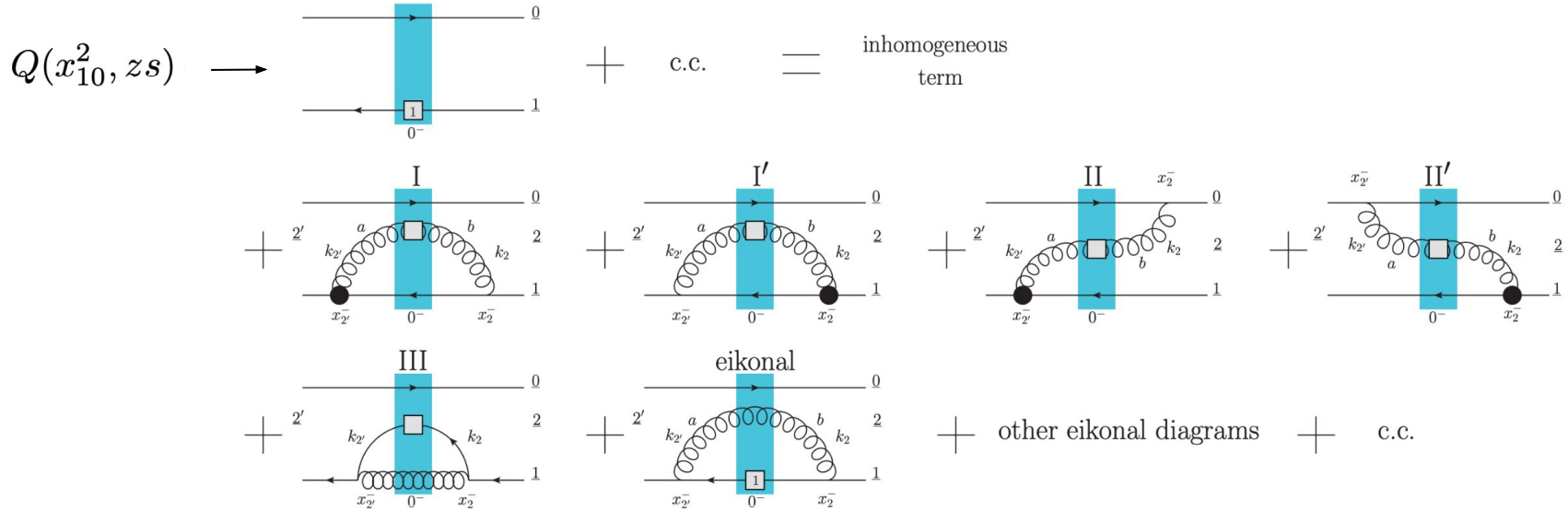
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# Evolution Equations

- We employ a blend of Brodsky & Lepage's LCPT and background field method inspired operator treatment. We refer to the latter as the **light-cone operator treatment (LCOT)**.
- The largest contributions contain logarithmic integrals in both transverse size (UV & IR) and longitudinal momentum fraction of the daughter dipole.
- As a result, the leading contribution is at **double-logarithmic approximation (DLA)**, resumming powers of  $\alpha_s \ln^2(1/x)$ .
- The complete single-logarithmic corrections are in progress.

# Evolution Equations

- Quark (fundamental) dipole of type 1:



# Evolution Equations

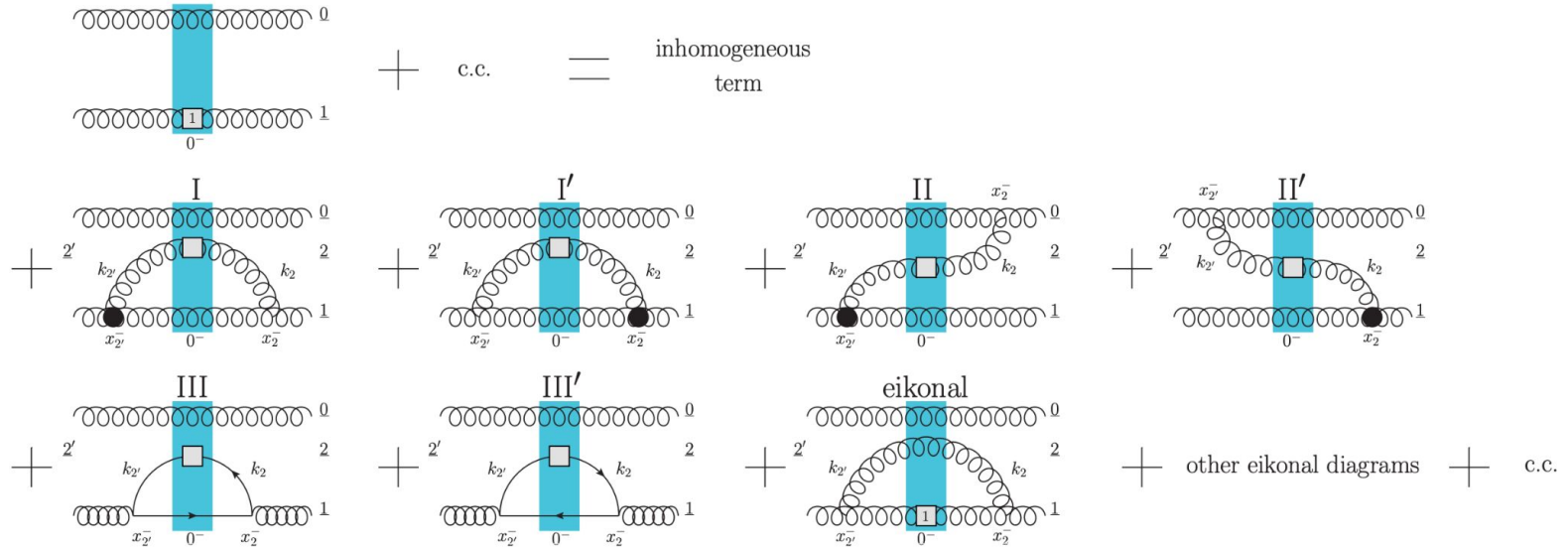
- Quark (fundamental) dipole of type 1:

$$\begin{aligned}
 & \frac{1}{2N_c} \left\langle \left\langle \text{tr} \left[ V_{\underline{0}} V_{\underline{1}}^{\text{pol}[1]\dagger} \right] + \text{c.c.} \right\rangle \right\rangle (zs) = \frac{1}{2N_c} \left\langle \left\langle \text{tr} \left[ V_{\underline{0}} V_{\underline{1}}^{\text{pol}[1]\dagger} \right] + \text{c.c.} \right\rangle \right\rangle_0 (zs) \\
 & + \frac{\alpha_s N_c}{2\pi^2} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int d^2 x_2 \left\{ \left[ \frac{1}{x_{21}^2} - \frac{x_{21}}{x_{21}^2} \cdot \frac{x_{20}}{x_{20}^2} \right] \frac{1}{N_c^2} \left\langle \left\langle \text{tr} \left[ t^b V_{\underline{0}} t^a V_{\underline{1}}^\dagger \right] \left( U_{\underline{2}}^{\text{pol}[1]} \right)^{ba} + \text{c.c.} \right\rangle \right\rangle (z's) \right. \\
 & + \left. \left[ 2 \frac{\epsilon^{ij} x_{21}^j}{x_{21}^4} - \frac{\epsilon^{ij} (x_{20}^j + x_{21}^j)}{x_{20}^2 x_{21}^2} - \frac{2 x_{20} \times x_{21}}{x_{20}^2 x_{21}^2} \left( \frac{x_{21}^i}{x_{21}^2} - \frac{x_{20}^i}{x_{20}^2} \right) \right] \frac{1}{N_c^2} \left\langle \left\langle \text{tr} \left[ t^b V_{\underline{0}} t^a V_{\underline{1}}^\dagger \right] \left( U_{\underline{2}}^{i\text{G}[2]} \right)^{ba} + \text{c.c.} \right\rangle \right\rangle (z's) \right\} \\
 & + \frac{\alpha_s N_c}{4\pi^2} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int \frac{d^2 x_2}{x_{21}^2} \left\{ \frac{1}{N_c^2} \left\langle \left\langle \text{tr} \left[ t^b V_{\underline{0}} t^a V_{\underline{2}}^{\text{pol}[1]\dagger} \right] U_{\underline{1}}^{ba} \right\rangle \right\rangle (z's) + 2 \frac{\epsilon^{ij} x_{21}^j}{x_{21}^2} \frac{1}{N_c^2} \left\langle \left\langle \text{tr} \left[ t^b V_{\underline{0}} t^a V_{\underline{2}}^{i\text{G}[2]\dagger} \right] U_{\underline{1}}^{ba} \right\rangle \right\rangle (z's) + \text{c.c.} \right\} \\
 & + \frac{\alpha_s N_c}{2\pi^2} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int d^2 x_2 \frac{x_{10}^2}{x_{21}^2 x_{20}^2} \left\{ \frac{1}{N_c^2} \left\langle \left\langle \text{tr} \left[ t^b V_{\underline{0}} t^a V_{\underline{1}}^{\text{pol}[1]\dagger} \right] U_{\underline{2}}^{ba} \right\rangle \right\rangle (z's) - \frac{C_F}{N_c^2} \left\langle \left\langle \text{tr} \left[ V_{\underline{0}} V_{\underline{1}}^{\text{pol}[1]\dagger} \right] \right\rangle \right\rangle (z's) + \text{c.c.} \right\}
 \end{aligned}$$

Evolution equations do not close.

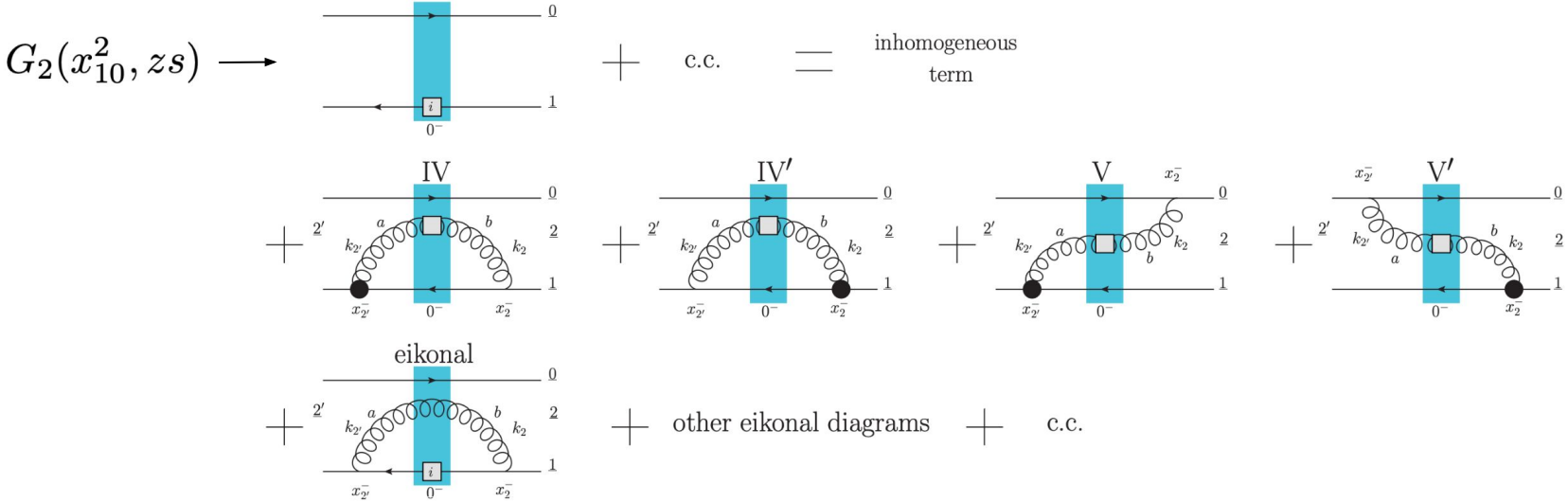
# Evolution Equations

- Gluon (adjoint) dipole of type 1:



# Evolution Equations

- Quark (fundamental) dipole of type 2:

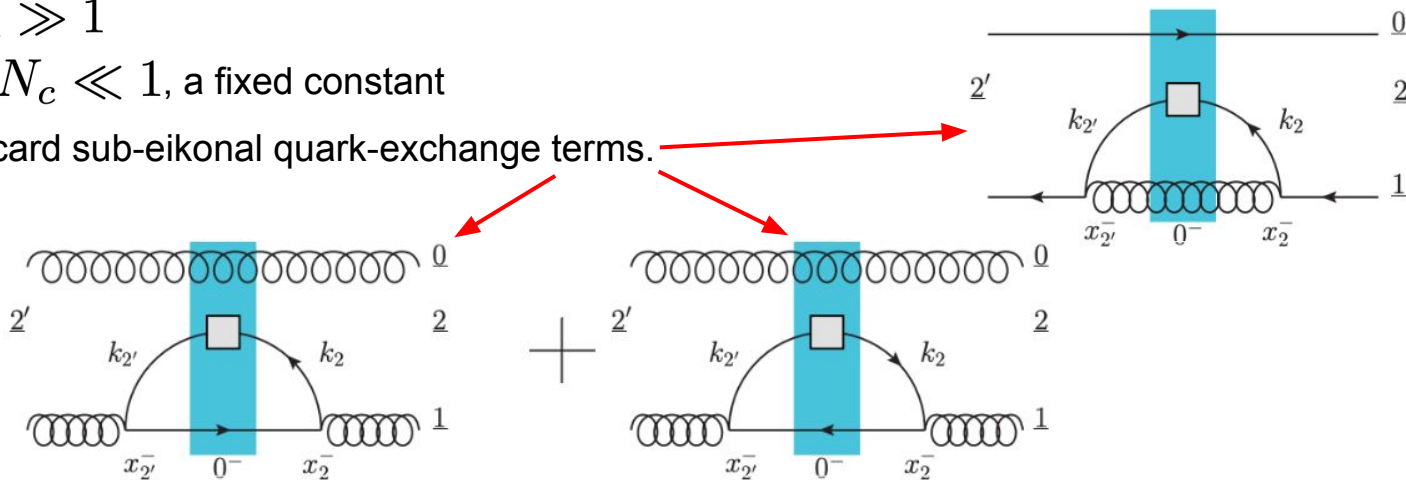


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# Large- $N_c$ Limit

- For all types of dipoles, the equations do not close in general.
- Similar to BK, we obtain a closed system of equations in the **large- $N_c$  limit**:
  - $N_c \gg 1$
  - $\alpha_s N_c \ll 1$ , a fixed constant
  - Discard sub-eikonal quark-exchange terms.





# Large- $N_c$ Limit

- Define  $G(x_{10}^2, z_s)$  as the counterpart of  $Q(x_{10}^2, z_s)$ , with the quark exchange term neglected.
- The equation for  $G_2(x_{10}^2, z_s)$  remains the same because type-2 polarized Wilson line only has gluon exchange.
- Dipole amplitudes,  $G$  and  $G_2$ , form a system of integral equations with the auxiliary **neighbor dipole amplitudes**,  $\Gamma$  and  $\Gamma_2$ .

$$\begin{pmatrix} G \\ \Gamma \\ G_2 \\ \Gamma_2 \end{pmatrix} = \begin{pmatrix} G \\ \Gamma \\ G_2 \\ \Gamma_2 \end{pmatrix}_0 + \mathcal{K} \otimes \begin{pmatrix} G \\ \Gamma \\ G_2 \\ \Gamma_2 \end{pmatrix}$$

# Large- $N_c$ Limit

$$G(x_{10}^2, zs) = G^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{2\pi} \int_{\frac{1}{sx_{10}^2}}^z \frac{dz'}{z'} \int_{\frac{1}{z's}}^{x_{10}^2} \frac{dx_{21}^2}{x_{21}^2} \left[ \Gamma(x_{10}^2, x_{21}^2, z's) + 3G(x_{21}^2, z's) + 2G_2(x_{21}^2, z's) + 2\Gamma_2(x_{10}^2, x_{21}^2, z's) \right]$$

Type-1 polarized dipole amplitude  
(without quark exchange term)

$$\Gamma(x_{10}^2, x_{21}^2, z's) = G^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{2\pi} \int_{\frac{1}{sx_{10}^2}}^{z'} \frac{dz''}{z''} \int_{\frac{1}{z''s}}^{\min[x_{10}^2, x_{21}^2 \frac{z'}{z''}]} \frac{dx_{32}^2}{x_{32}^2} \left[ \Gamma(x_{10}^2, x_{32}^2, z''s) + 3G(x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s) + 2\Gamma_2(x_{10}^2, x_{32}^2, z''s) \right]$$

$$G_2(x_{10}^2, zs) = G_2^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int_{\max[x_{10}^2, \frac{1}{z's}]}^{\min[\frac{z}{z'}x_{10}^2, \frac{1}{\Lambda^2}]} \frac{dx_{21}^2}{x_{21}^2} [G(x_{21}^2, z's) + 2G_2(x_{21}^2, z's)]$$

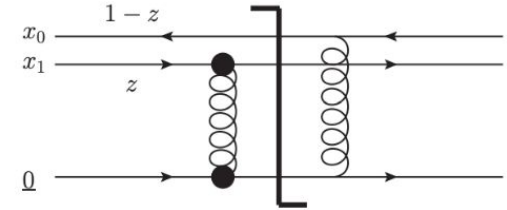
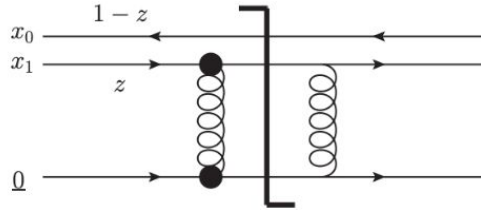
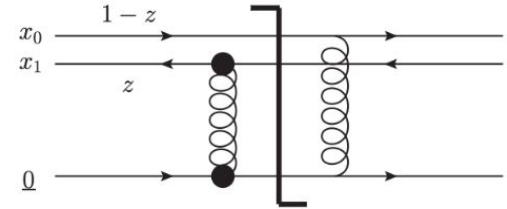
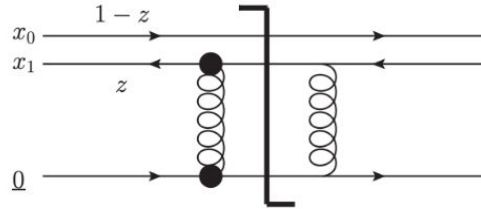
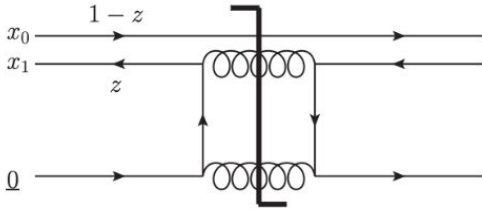
Type-2 polarized dipole amplitude

$$\Gamma_2(x_{10}^2, x_{21}^2, z's) = G_2^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^{z' \frac{x_{21}^2}{x_{10}^2}} \frac{dz''}{z''} \int_{\max[x_{10}^2, \frac{1}{z''s}]}^{\min[\frac{z'}{z''}x_{21}^2, \frac{1}{\Lambda^2}]} \frac{dx_{32}^2}{x_{32}^2} [G(x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s)]$$

**Initial condition:** Born-level calculation

# Initial Conditions

- Given by Born-level diagrams. For type-1 dipole amplitude, we have



$$\Gamma^{(0)}(x_{10}^2, x_{21}^2, z) = G^{(0)}(x_{10}^2, z)$$

$$= \frac{\alpha_s^2 C_F}{N_c} \pi \left[ C_F \ln \frac{zs}{\Lambda^2} - 2 \ln(zs x_{10}^2) \right]$$

- Similar Born-level calculation is done for type-2 dipole amplitude,  $G_2$  and  $\Gamma_2$ .

# Asymptotics at Large $N_c$

- Generally,

$$\Delta\Sigma(x, Q^2) \sim \Delta G(x, Q^2) \sim g_1(x, Q^2) \sim \left(\frac{1}{x}\right)^{\alpha_h}$$

- In [2204.11898],  $\alpha_h$  is numerically computed to be approx  $3.66\sqrt{\frac{\alpha_s N_c}{2\pi}}$ , which agrees with the previous work [9603204] by Bartels, Ermolaev and Ryskin (BER).
  - This is a much better agreement than what was obtained from KPS equations, which yielded  $\alpha_h$  of  $2.31\sqrt{\frac{\alpha_s N_c}{2\pi}}$  for  $\Delta\Sigma$  and  $g_1$  and  $1.88\sqrt{\frac{\alpha_s N_c}{2\pi}}$  for  $\Delta G$ .

# Asymptotics at Large $N_c$

- Generally,

$$\Delta\Sigma(x, Q^2) \sim \Delta G(x, Q^2) \sim g_1(x, Q^2) \sim \left(\frac{1}{x}\right)^{\alpha_h}$$

- More recently, the analytic expression was calculated for KPS-CTT:

- KPS-CTT:  $\alpha_h = \frac{4}{3^{1/3}} \sqrt{\text{Re} \left[ (-9 + i \sqrt{111})^{1/3} \right]} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 3.661 \sqrt{\frac{\alpha_s N_c}{2\pi}}$

- BER (calculated by KPS in 2016):  $\alpha_h = \sqrt{\frac{17 + \sqrt{97}}{2}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 3.664 \sqrt{\frac{\alpha_s N_c}{2\pi}}$

This implies that there is still a small disagreement between our result and BER.

# Outline

- Introduction
- Helicity Operators and Observables at small  $x$
- Evolution Equations
- **Closed Evolution Equations**
  - Large- $N_c$  limit
  - **Large- $N_c$  &  $N_f$  limit**
- Phenomenology

# Large- $N_c$ & $N_f$ Limit

- A more realistic extension to the large- $N_c$  limit (since the quark is included)
  - $N_c, N_f \gg 1$
  - $\alpha_s N_c \sim \alpha_s N_f \ll 1$ , fixed constants
  - $\frac{N_f}{N_c} = \text{constant of order 1}$
- The evolution equation forms a closed system of equations with 6 amplitudes.
- This equations can be solved numerically using a similar technique.

# Large- $N_c$ & $N_f$ Limit

- The evolution equation forms a closed system of equations with 6 amplitudes.
- This equations can be solved numerically using a similar technique.

$$Q(x_{10}^2, zs) = Q^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{2\pi} \int_{\max\{\Lambda^2, 1/x_{10}^2\}/s}^z \frac{dz'}{z'} \int_{1/z's}^{x_{10}^2/z'} \frac{dx_{21}^2}{x_{21}^2} \left[ 2\tilde{G}(x_{21}^2, z's) + 2\tilde{\Gamma}(x_{10}^2, x_{21}^2, z's) \right. \\ \left. + Q(x_{21}^2, z's) - \bar{\Gamma}(x_{10}^2, x_{21}^2, z's) + 2\Gamma_2(x_{10}^2, x_{21}^2, z's) + 2G_2(x_{21}^2, z's) \right] \\ + \frac{\alpha_s N_c}{4\pi} \int_{\Lambda^2/s}^z \frac{dz'}{z'} \int_{1/z's}^{x_{10}^2/z'} \frac{dx_{21}^2}{x_{21}^2} \left[ Q(x_{21}^2, z's) + 2G_2(x_{21}^2, z's) \right],$$

$$\bar{\Gamma}(x_{10}^2, x_{21}^2, z's) = Q^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{2\pi} \int_{\max\{\Lambda^2, 1/x_{10}^2\}/s}^{z'} \frac{dz''}{z''} \int_{1/z''s}^{\min\{x_{10}^2, x_{21}^2 z'/z''\}} \frac{dx_{32}^2}{x_{32}^2} \left[ 2\tilde{G}(x_{32}^2, z''s) \right. \\ \left. + 2\tilde{\Gamma}(x_{10}^2, x_{32}^2, z''s) + Q(x_{32}^2, z''s) - \bar{\Gamma}(x_{10}^2, x_{32}^2, z''s) + 2\Gamma_2(x_{10}^2, x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s) \right] \\ + \frac{\alpha_s N_c}{4\pi} \int_{\Lambda^2/s}^{z'} \frac{dz''}{z''} \int_{1/z''s}^{x_{21}^2 z'/z''} \frac{dx_{32}^2}{x_{32}^2} \left[ Q(x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s) \right],$$

$$\tilde{G}(x_{10}^2, zs) = \tilde{G}^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{2\pi} \int_{\max\{\Lambda^2, 1/x_{10}^2\}/s}^z \frac{dz'}{z'} \int_{1/z's}^{x_{10}^2/z'} \frac{dx_{21}^2}{x_{21}^2} \left[ 3\tilde{G}(x_{21}^2, z's) + \tilde{\Gamma}(x_{10}^2, x_{21}^2, z's) \right. \\ \left. + 2G_2(x_{21}^2, z's) + \left( 2 - \frac{N_f}{2N_c} \right) \Gamma_2(x_{10}^2, x_{21}^2, z's) - \frac{N_f}{4N_c} \bar{\Gamma}(x_{10}^2, x_{21}^2, z's) \right] \\ - \frac{\alpha_s N_f}{8\pi} \int_{\Lambda^2/s}^z \frac{dz'}{z'} \int_{\max\{x_{10}^2, 1/z's\}}^{x_{10}^2/z'} \frac{dx_{21}^2}{x_{21}^2} \left[ Q(x_{21}^2, z's) + 2G_2(x_{21}^2, z's) \right],$$

$$\tilde{\Gamma}(x_{10}^2, x_{21}^2, z's) = \tilde{G}^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{2\pi} \int_{\max\{\Lambda^2, 1/x_{10}^2\}/s}^{z'} \frac{dz''}{z''} \int_{1/z''s}^{\min\{x_{10}^2, x_{21}^2 z'/z''\}} \frac{dx_{32}^2}{x_{32}^2} \left[ 3\tilde{G}(x_{32}^2, z''s) \right. \\ \left. + \tilde{\Gamma}(x_{10}^2, x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s) + \left( 2 - \frac{N_f}{2N_c} \right) \Gamma_2(x_{10}^2, x_{32}^2, z''s) - \frac{N_f}{4N_c} \bar{\Gamma}(x_{10}^2, x_{32}^2, z''s) \right] \\ - \frac{\alpha_s N_f}{8\pi} \int_{\Lambda^2/s}^{z'} \frac{dz''}{z''} \int_{\max\{x_{10}^2, 1/z''s\}}^{x_{21}^2 z'/z''} \frac{dx_{32}^2}{x_{32}^2} \left[ Q(x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s) \right],$$

$$G_2(x_{10}^2, zs) = G_2^{(0)}(x_{10}^2, zs) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^z \frac{dz'}{z'} \int_{\max\{x_{10}^2, \frac{1}{z's}\}}^{\frac{z}{z'} x_{10}^2} \frac{dx_{21}^2}{x_{21}^2} \left[ \tilde{G}(x_{21}^2, z's) + 2G_2(x_{21}^2, z's) \right],$$

$$\Gamma_2(x_{10}^2, x_{21}^2, z's) = G_2^{(0)}(x_{10}^2, z's) + \frac{\alpha_s N_c}{\pi} \int_{\frac{\Lambda^2}{s}}^{z'} \frac{dz''}{z''} \int_{\max\{x_{10}^2, \frac{1}{z''s}\}}^{\frac{z'}{z''} x_{21}^2} \frac{dx_{32}^2}{x_{32}^2} \left[ \tilde{G}(x_{32}^2, z''s) + 2G_2(x_{32}^2, z''s) \right]$$



# Asymptotics at Large $N_c$ & $N_f$

- For  $N_f \leq 5$ , we also have

$$\Delta\Sigma(x, Q^2) \sim \Delta G(x, Q^2) \sim g_1(x, Q^2) \sim \left(\frac{1}{x}\right)^{\alpha_h}$$

- Recently, a preliminary numerical computation shows that  $\alpha_h$  decreases with  $N_f$ . However, there is a small (second decimal) disagreement with the corresponding BER intercepts with quarks.
- Possible explanations for the remaining disagreement are under investigation.

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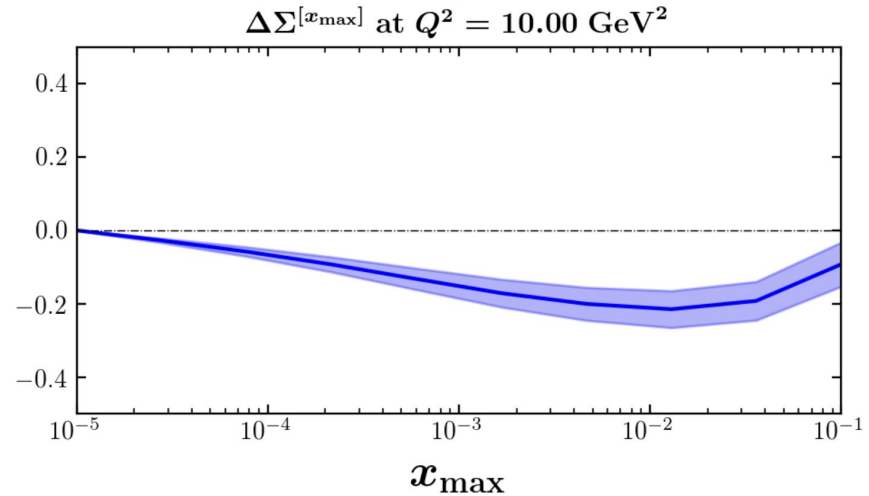
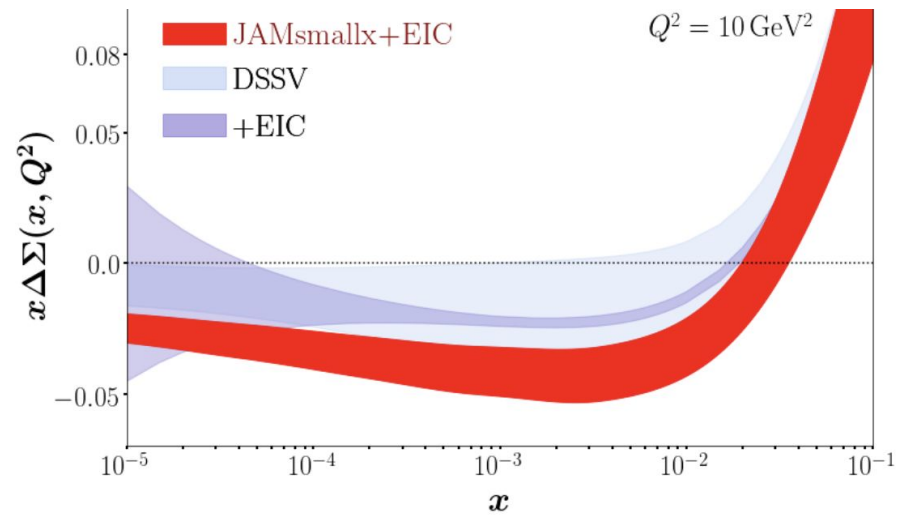
# Fits to Polarized DIS Data

- In [2102.06159], the fit was performed by D. Adamiak, W. Melnitchouk, D. Pitonyak, N. Sato, M. Sievert and Y. Kovchegov with polarized DIS data using the large- $N_c$  limit of KPS equations (without the recent corrections).
  - It worked well, with  $\chi^2/N_{pts} = 1.01$  ( $\chi^2/N_{pts} = 1.07$  for JAM16)
  - Small- $x$  evolution starts at  $x_0 = 0.1$  (Unpolarized BK/JIMWLK evolution starts around  $x_0 = 0.01$ .)
  - Our approach fails at larger  $x$  as expected ( $x_0 = 0.3$  gives  $\chi^2/N_{pts} = 4.75$ ).
- An updated version with the complete KPS-CTT equations at large  $N_c$  &  $N_f$  is in progress. This work includes both polarized DIS and SIDIS data.

# Fits to Polarized DIS Data

- The KPS evolution is able to constraint e.g. the quark spin at small  $x$ .
- Potentially negative 10-20% of the proton spin carried by small- $x$  quarks.

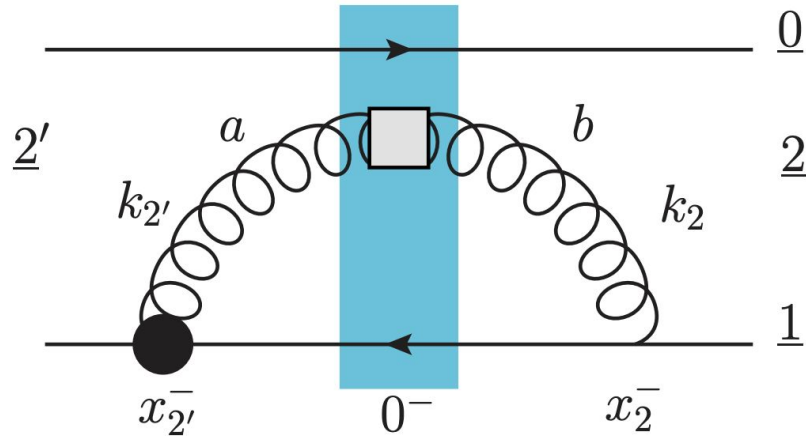
**Warning:** this is preliminary. An updated version with the complete KPS-CTT equations at large  $N_c$  &  $N_f$  is in progress.



# Conclusion

- New evolution equations in  $x$  for the quark and gluon helicity distributions have been constructed (and corrected).
- These equations have now been studied at large  $N_c$ , yielding the small- $x$  asymptotics of  $\Delta\Sigma(x, Q^2)$  and  $\Delta G(x, Q^2)$ . The study of the large- $N_c$  &  $N_f$  equations and OAM distributions are under way.
- First successful fit of polarized world DIS data for  $x < 0.1$  was done using solely the small- $x$  helicity evolution (old KPS version of evolution). There is a clear possibility of a significant amount of proton spin to be found at small  $x$ .
- More precise and comprehensive phenomenology to come in the future (helicity+OAM), in preparation for EIC, with the aim of resolving the small- $x$  part of the proton spin puzzle.

# Neighbor Polarized Dipole Amplitudes ( $\Gamma$ and $\Gamma_2$ )



Cougoulic, Kovchegov, Tarasov, Tawabutr, 2204.11898

- At large  $N_c$ , this diagram contains a dipole at  $x_{20}$  and a dipole at  $x_{21}$ , one of which is polarized.
- The DLA limit requires  $x_{21} \ll x_{10} \sim x_{20}$ .
- In the contribution where the  $x_{20}$ -dipole is polarized, the dipole has transverse separation  $x_{20}$ , but its lifetime is  $z' x_{20}^2$ , which is much smaller than  $z' x_{21}^2$ .
- Such the dipole must “know about” both  $x_{20}$  and  $x_{21}$ . Hence, it is different from a normal polarized dipole.