

# Inclusive and diffractive dijet photoproduction at EIC in NLO QCD



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ERC adG YoctoLHC

In collaboration with M. Klasen, [PRC 102 \(2020\) 6, 065201](#) and [JHEP05 \(2020\) 074](#)

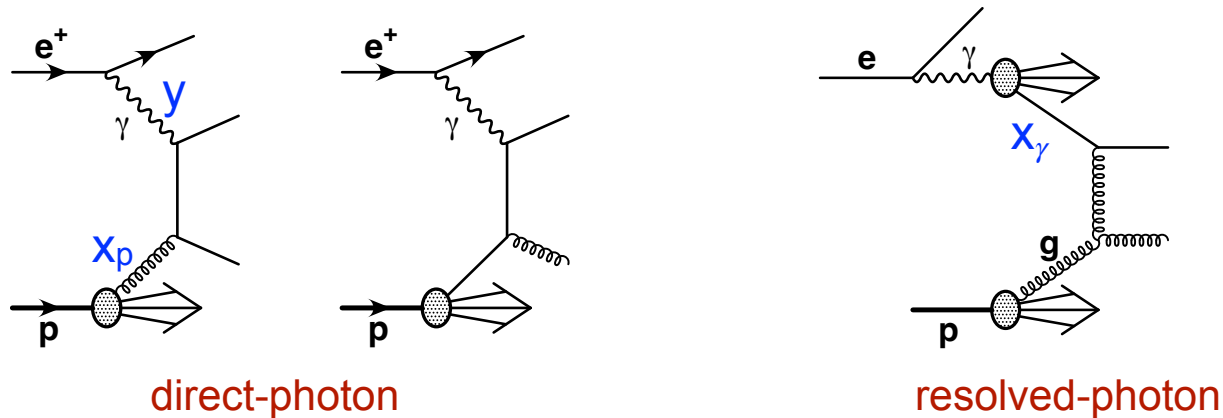
## Outline:

- Dijet photoproduction in QCD
- Inclusive dijet photoproduction in eA scattering at EIC: kinematic reach, nuclear effects
- Diffractive dijet production in ep/eA scattering at EIC: kinematic reach, factorization breaking, nuclear diffractive PDFs
- Summary

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# Dijet photoproduction in QCD

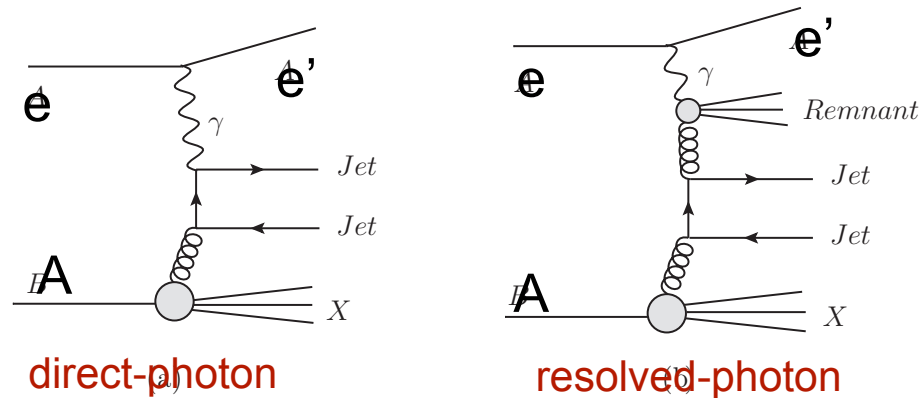
- All information on jet photoproduction comes from ep scattering at HERA, Newman, Wing, Rev. Mod. Phys. 86 (2014) 3, 1037; Butterworth, Wing, Rept. Prog. Phys. 68 (2005) 2773; Klein, Yoshida, Prog. Part. Nucl. Phys. 61 (2008) 343 + prelim. data on Pb-Pb UPCs@LHC, ATLAS-CONF-2017-011, ATLAS-CONF-2022-021
- Typical leading-order (LO) Feynman graphs: **direct-photon** and **resolved-photon** contributions. The separation is not unique beyond LO, but is still useful.



- **Main interests in studying dijet photoproduction:**
  - Cross section is sensitive to quark and gluon structure at the same order. When combined DIS cross section, provides additional constraints on the **gluon PDF**, ZEUS, EPJC 42 (2005) 1
  - Test of **QCD factorization** and its violation in case of diffractive dijet photoproduction
  - Access to photon structure, constraints on the gluon **PDF of the photon**, which are complimentary to those from  $F_2^\gamma(x, Q^2)$  in  $e^+e^-$ , Nisius, Phys. Rept. 332 (2000) 165.
  - **At EIC with nuclear targets, one will be able to probe usual and diffractive nuclear PDFs,**

# Inclusive dijet photoproduction in NLO pQCD

- In photoproduction, jet transverse momentum provides hard scale  $\mu_R = \mu_F = E_T$ .
- Typical LO graphs:



- In framework of collinear factorization of perturbative QCD, the cross section is known to next-to-leading order (NLO) accuracy, [Aurenche et al. EPJC 17 \(2000\) 413](#); [Frixione, Ridolfi, NPB 507 \(1997\) 315](#); [Klasen, Kramer, Z. Phys. C 76 \(1997\) 67](#)

$$d\sigma(eA \rightarrow e + 2\text{jets} + X) = \sum_{a,b} \int dy \int dx_\gamma \int dx_A f_{\gamma/e}(y) f_{a/\gamma}(x_\gamma, \mu^2) f_{b/A}(x_A, \mu^2) d\hat{\sigma}(ab \rightarrow \text{jets})$$

Photon flux in Weizsäcker-Williams approximation

$$f_{\gamma/e}(y) = \frac{\alpha}{2\pi} \left[ \frac{1 + (1-y)^2}{y} \ln \frac{Q_{\max}^2(1-y)}{m_e^2 y^2} + 2m_e^2 y \left( \frac{1-y}{m_e^2 y^2} - \frac{1}{Q_{\max}^2} \right) \right]$$

Photon PDFs for resolved photon; in  $a=\gamma$  case,

$f_{a/\gamma} = \delta(1-x_\gamma)$  for Born and virtual correct.

Proton/nucleus PDFs

2→2 and 2→3 hard parton scattering cross section

- This parton-level cross section assumes massless quarks and for comparison with data, needs **hadronization corrections** from Monte Carlo (LO + parton showers), [Helenius, arXiv:1806.07246](#) and [arXiv:1811.10931](#); [Helenius and Rasmusen, EPJC 79 \(2019\) 413](#).

# Inclusive dijet photoproduction in NLO pQCD(2)

- Numerical implementation using parton-level Monte Carlo developed by Klasen and Kramer, [Klasen, Rev. Mod. Phys. 74 \(2002\) 1221](#), [Klasen, Kramer, Z. Phys. C 72 \(1996\) 107](#), [Z. Phys. C 76 \(1997\) 67](#); [EPJC 71 \(2011\) 1774](#); [Klasen, Kleinwort, Kramer, EPJC direct 1 \(1998\) 1, 1](#)
- **Anti-kT** jet cone/clustering algorithm (at most 2 partons in a jet) with radius **R=0.4**.
- **Photon PDFs** from stand-alone GRV photon PDFs, [Gluck, Reya, PRD 60 \(1999\) 054019](#).
- **Proton/nucleus PDFs** from LHAPDF (nCTEQ15, EPPS16).
- Parton momentum fractions are determined using their **hadron-level estimates** based on measured jet transverse momenta  $p_{T,1,2}$  and (pseudo)rapidities  $\eta_{1,2}$ .

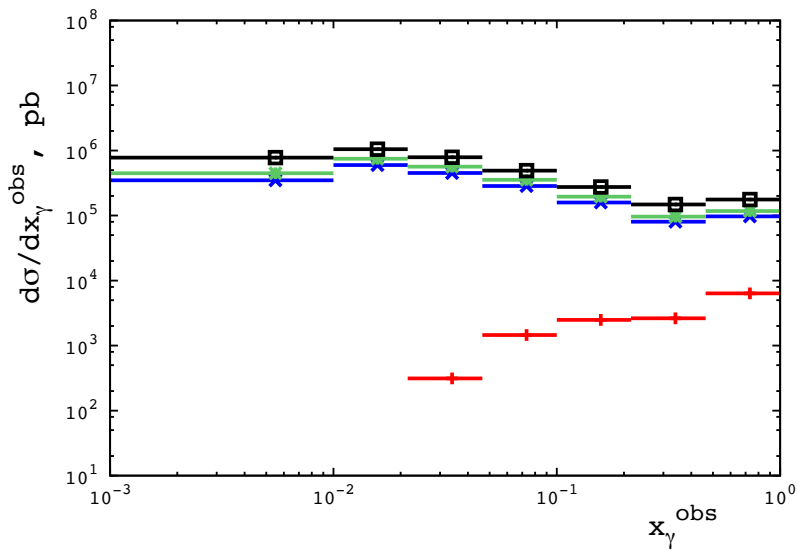
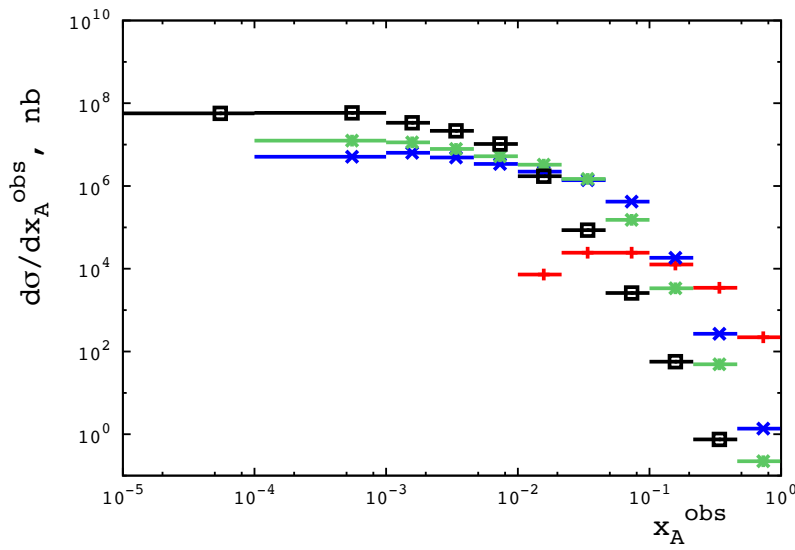
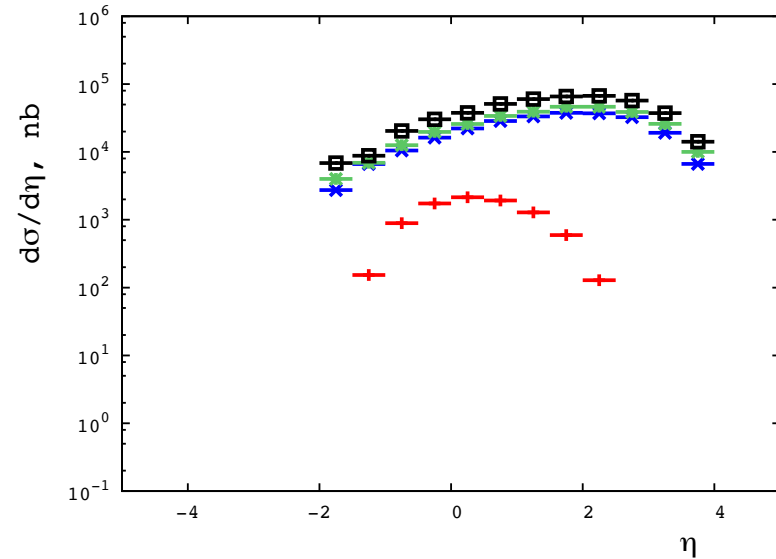
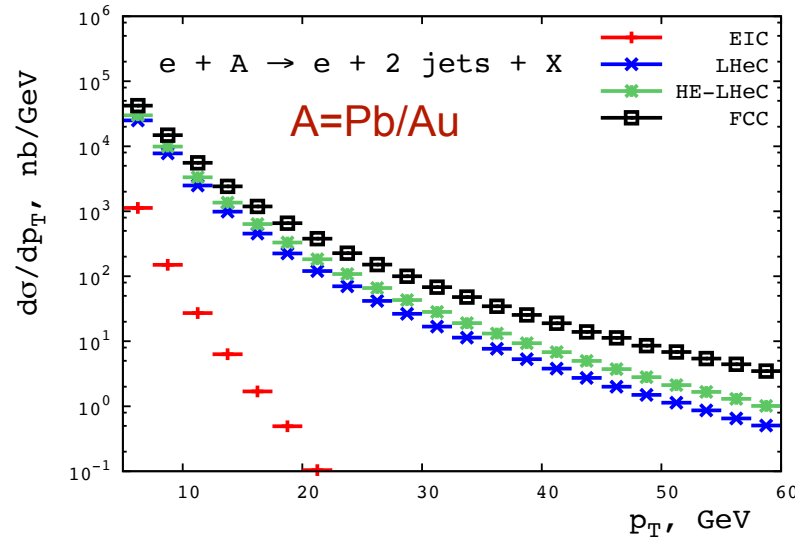
$$x_{\gamma}^{\text{obs}} = \frac{p_{T,1}e^{-\eta_1} + p_{T,2}e^{-\eta_2}}{2yE_e}$$
$$x_A^{\text{obs}} = \frac{p_{T,1}e^{\eta_1} + p_{T,2}e^{\eta_2}}{2E_A},$$

- Assumed  $p_T > 5$  GeV (HERA)
- Studied the energy configurations corresponding to EIC, LHeC, and FCC  $\rightarrow$

	$E_e$ (GeV)	$E_A$ (TeV)	$\sqrt{s}$ (GeV)
EIC	21	0.1	92
LHeC	60	2.76	812
HE-LHeC	60	4.93	1,088
FCC	60	19.7	2,174

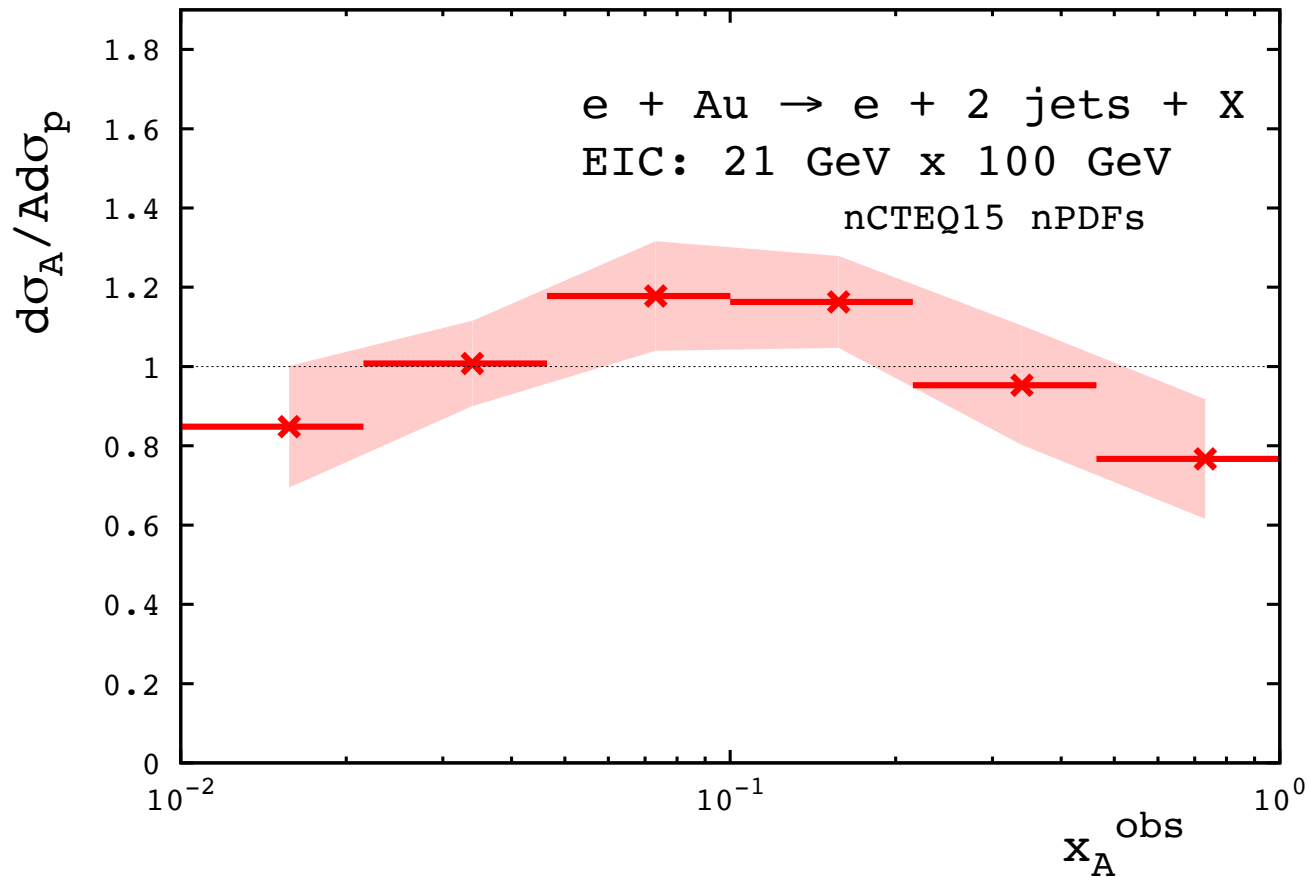
# NLO QCD predictions for EIC, LHeC and FCC

- Distributions in dijet average transverse momentum  $p_T = (p_{T1} + p_{T2})/2$ , average rapidity  $\eta = (\eta_1 + \eta_2)/2$ , and observed parton momentum fractions in the photon and nucleus,  $x_\gamma^{obs}$  and  $x_A^{obs}$



- EIC Kinematic reach:  $5 < \bar{p}_T < 20 \text{ GeV}$ ,  $-2 < \bar{\eta} < 3$ ,  $0.03 < x_\gamma^{obs} < 1$ ,  $0.01 < x_A^{obs} < 1$
- Kinematic coverage dramatically expands for LHeC, HE-LHeC, and FCC.

# Nuclear modifications of dijet cross section



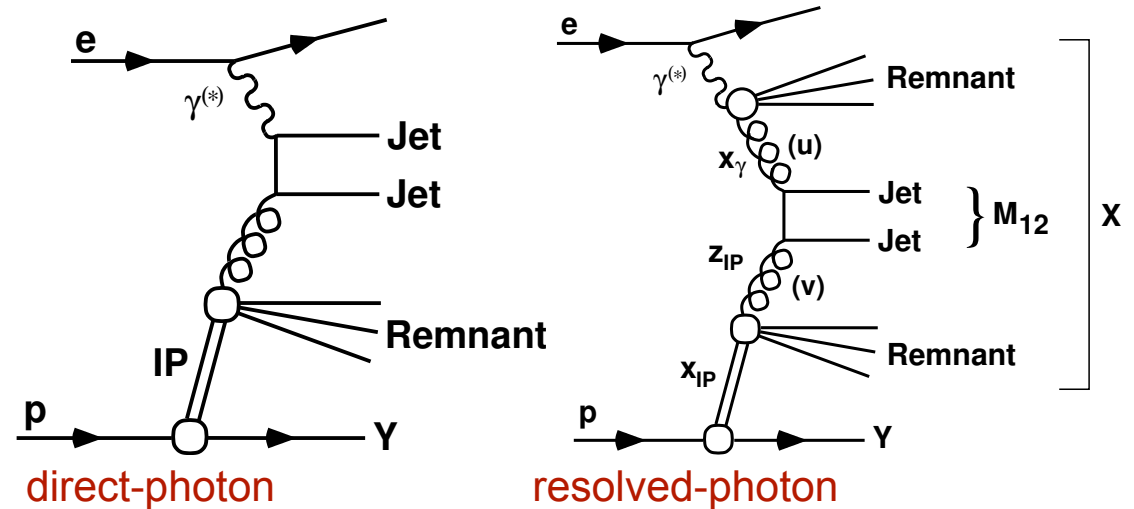
- Ratio of the dijet cross sections on a nucleus and proton exhibits  $x_A$  dependence similar to that of the ratio of the gluon distributions  $g_A(x, \mu^2)/[Ag_p(x, \mu^2)]$  with 10-20% nuclear modifications.
- Similar behavior with nCTEQ15 and EPPS16 nPDFs.
- Statistical uncertainty of these measurements at EIC is expected to be 1-2% → this process can be used to reduce uncertainties of nPDFs (shown by red band).
- Note that the ATLAS UPC data reaches down to  $x_A \sim 0.005$ .

# Diffractive dijet photoproduction in NLO QCD

• At HERA, diffraction makes up 10-15% of the total DIS cross section, [Newman, Wing, Rev. Mod. Phys. 86 \(2014\) 1037 \(2014\)](#) → expected to be significant also at EIC.

• Diffractive dijet photoproduction:

Characterized by large rapidity gap between the forward proton (excitation  $Y$ ) and the rest of hadronic activity  $X$ .



• Similarly to inclusive case, cross section is known to NLO accuracy [Klasen, Kramer, Salesch, Z. Phys. C 68, 113 \(1995\)](#); [Klasen, Kramer, Z. Phys. C 72, 107 \(1996\)](#), [Z. Phys. C 76, 67 \(1997\)](#); [Klasen, Rev. Mod. Phys. 74, 1221 \(2002\)](#)

$$d\sigma = \sum_{a,b} \int dy \int dx_\gamma \int dt \int dx_{IP} \int dz_{IP} f_{\gamma/e}(y) f_{a/\gamma}(x_\gamma, M_\gamma^2) f_{IP/p}(x_{IP}, t) f_{b/IP}(z_{IP}, M_{IP}^2) d\hat{\sigma}_{ab}^{(n)}$$

Photon flux in Weizsäcker-Williams approximation

Photon PDFs for resolved photon; in  $a=\gamma$  case,  $f_{a/\gamma}=\delta(1-x_\gamma)$

“Pomeron” flux motivated by Regge theory:

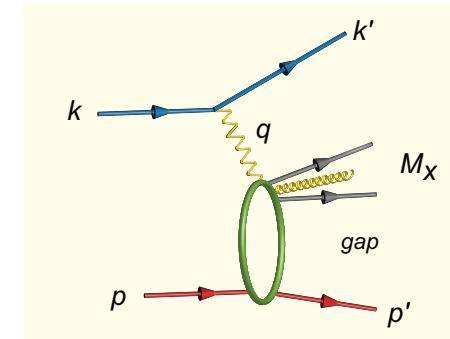
$$f_{IP/p}(x_{IP}, t) = A_{IP} \cdot \frac{e^{B_{IP}t}}{x_{IP}^{2\alpha_{IP}(t)-1}}$$

hard partonic cross section

Diffractive PDFs from HERA

# Diffractive dijet photoproduction in NLO QCD(2)

- QCD factorization theorem for diffraction, Collins, PRD 57, 3051 (1998); PRD 61, 019902 (2000) → universal diffractive parton distributions.
- Have been extracted from HERA data using global QCD fits, Aktas at al. [H1 Coll.], EPJ C48, 715 (2006) and EPJC 48, 749 (2006); Chekanov at al. [ZEUS Coll.], NPB 831, 1 (2010)

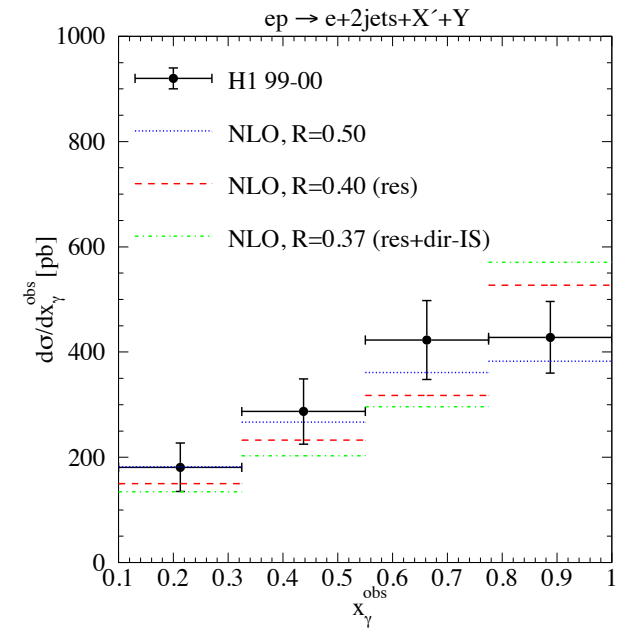


- Universality has been successfully tested in diffractive dijet and open charm product in DIS, Aktas at al. [H1 Coll.], JHEP 10, 042 (2007); EPJ C 71, 549 (2010); EPJ C 50, 1 (2007); Chekanov et al. [ZEUS Coll.], EPJ C 52, 813 (2007); Chekanov at al. [ZEUS Coll.], NPB 831, 1 (2010)

- At the same time, NLO pQCD QCD overestimates cross sections of diffractive dijet photoproduction at HERA by **factor 2** → factorization breaking, Aktas at al. [H1 Coll.], EPJ C 71, 549 (2007); Aaron et al. [H1 Coll.], EPJ C 70, 15 (2010); Andreev et al. [H1 Coll.], JHEP 05, 056 (2015); Chekanov at al. [ZEUS Coll.], EPJ C 55, 177 (2008).

## Mechanism of factorization breaking remains unknown:

- global suppression factor  $R \approx 0.5$
- suppression of only the resolved photon contribution by  $R \approx 0.34$  as expected in hadron-hadron scattering, Kaidalov, Khoze, Martin, Ryskin, PLB 567, 61 (2003); Klasen, Kramer, EPJ C 70, 91 (2010),
- a flavor-dependent combination of these mechanisms, Guzey, Klasen, EPJ C 76, 467 (2016)





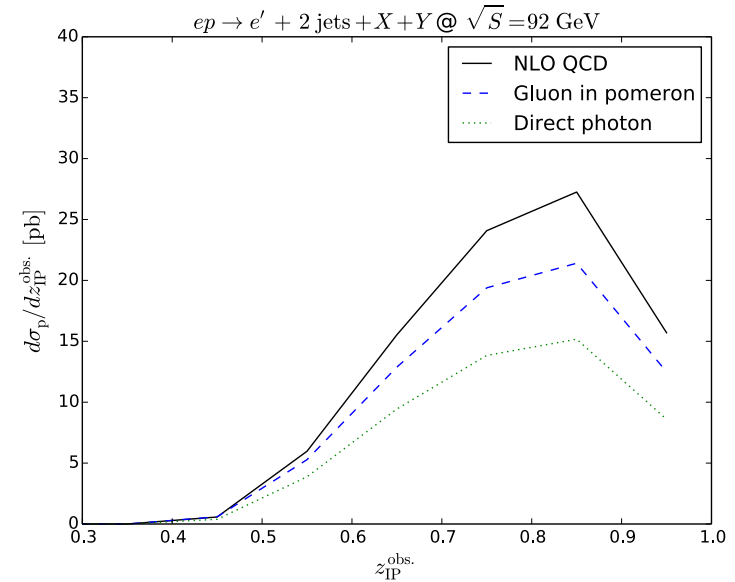
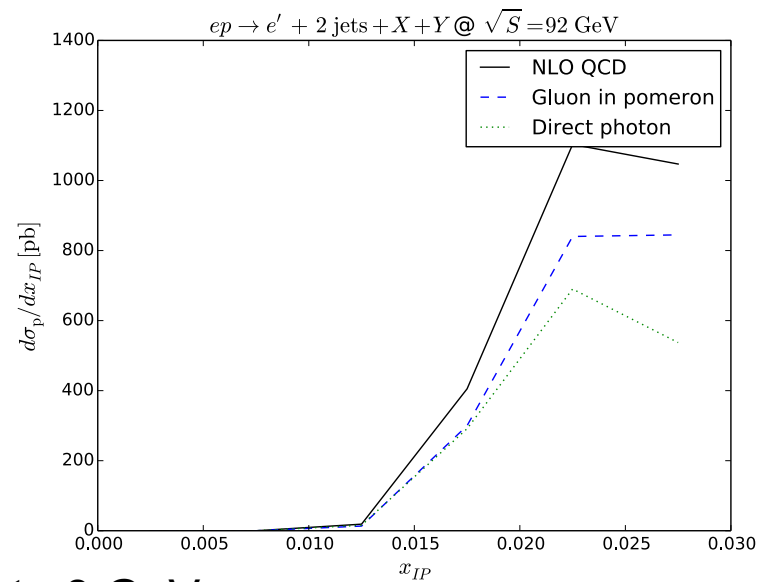
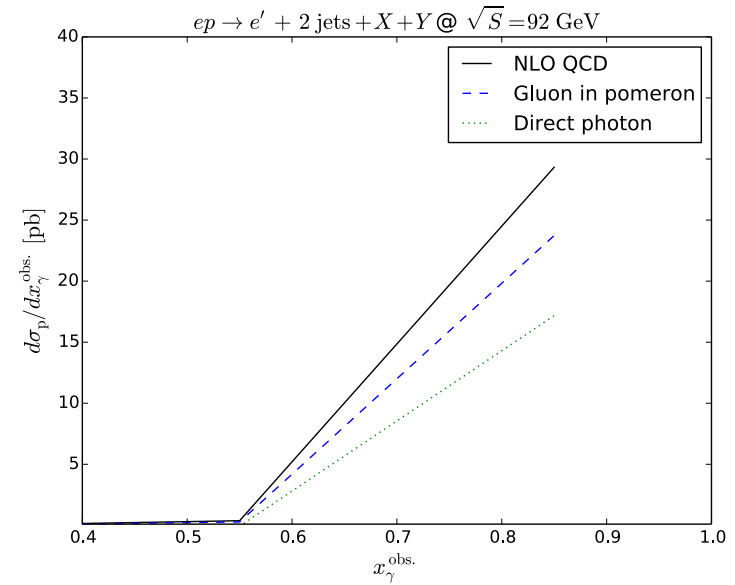
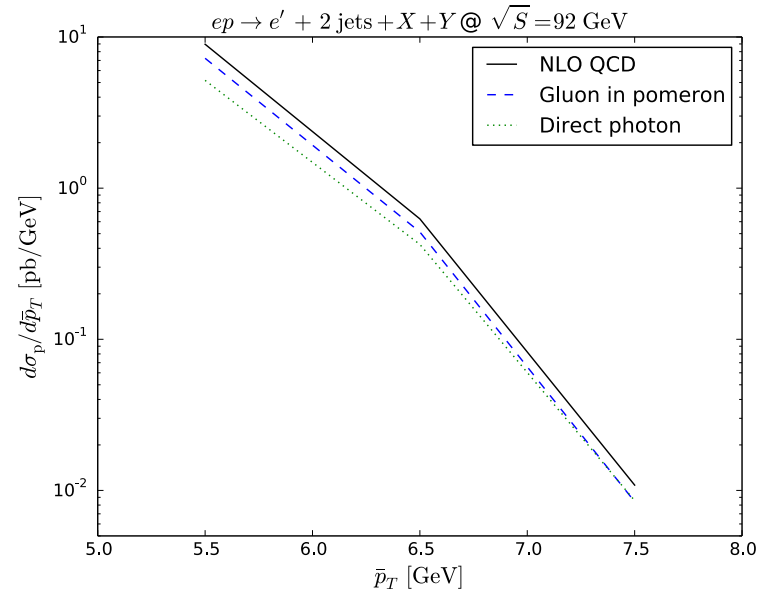
# Diffraction dijet photoproduction in NLO QCD at EIC

- Cross section as a function of the average transverse momentum  $p_T = (\overline{p_{T1}} + p_{T2})/2$ , proton momentum fraction loss  $x_P$ , parton momentum fractions in the photon and Pomeron in terms of their **observed hadronic estimators**:

$$x_\gamma^{\text{obs}} = \frac{p_{T1} e^{-\eta_1} + p_{T2} e^{-\eta_2}}{2yE_e} \quad \text{and} \quad z_{\mathbb{P}}^{\text{obs}} = \frac{p_{T1} e^{\eta_1} + p_{T2} e^{\eta_2}}{2x_{\mathbb{P}}E_p}$$

- Jets using the anti- $k_T$  formalism with distance parameter **R=1**.
- Using HERA experience, assume  **$p_{T1} > 5 \text{ GeV}$**  and  **$p_{T2} > 4.5 \text{ GeV}$**  → will require good resolution of hadronic jet energy and subtraction of underlying event to avoid large hadronization corrections.
- Generic cuts:  $0 < y < 1$ ,  $Q^2 < 0.1 \text{ GeV}^2$ ,  $|t| < 1 \text{ GeV}^2$ ,  $M_Y < 1.6 \text{ GeV}$  and  $-4 < \eta_{1,2} < 4$ .
- Two scenarios: default with  **$x_P < 0.03$  (Pomeron)** and extended with  **$x_P < 0.1$  (Pomeron+Reggeon)** to access sub-leading Reggeon contribution.
- The base configuration is  **$E_e=21 \text{ GeV}$  and  $E_p=100 \text{ GeV}$**  ( **$\sqrt{s} \sim 92 \text{ GeV}$** ). To extend the kinematic coverage and study factorization breaking, we also used  $E_e=18 \text{ GeV}$  and  $E_p=275 \text{ GeV}$  ( **$\sqrt{s} \sim 141 \text{ GeV}$** ).

# NLO QCD predictions for EIC: $\sqrt{s}=92$ GeV



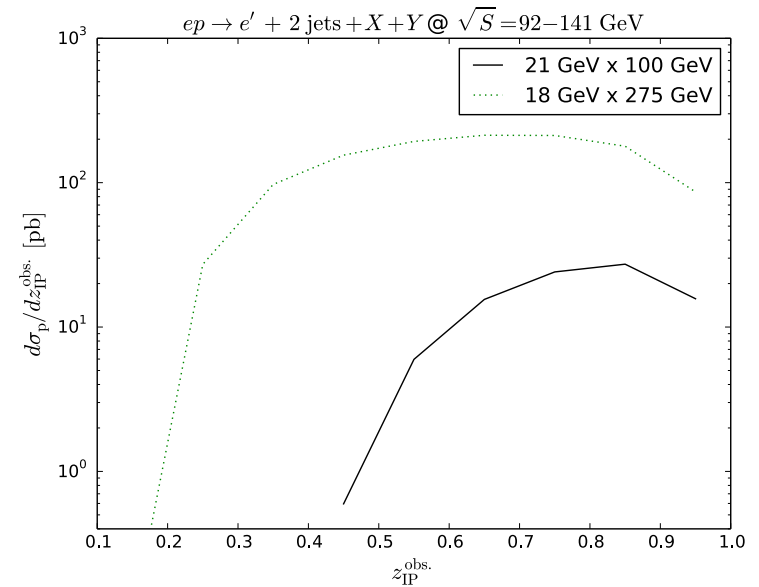
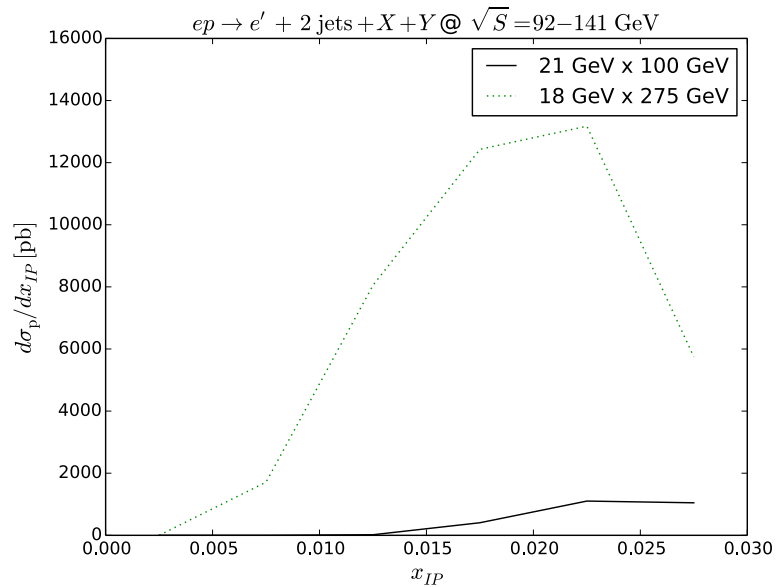
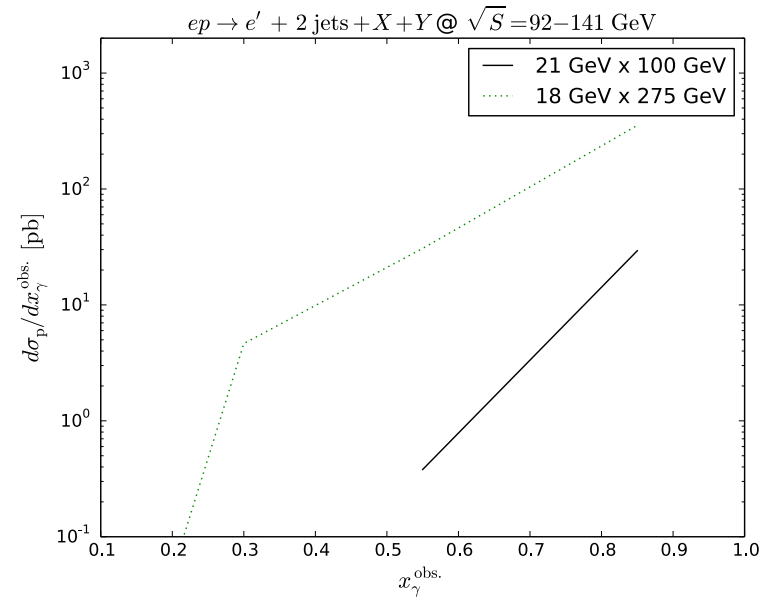
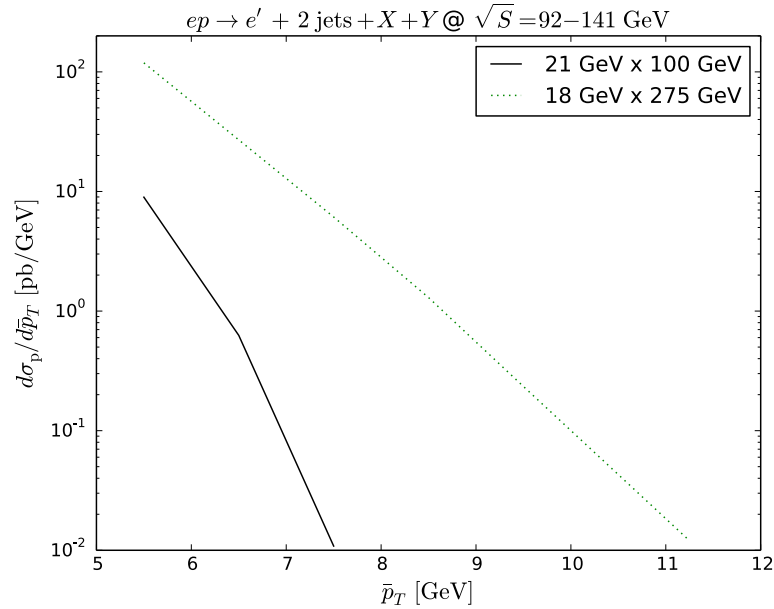
- Main features:

- $\overline{p_T}$  coverage up to 8 GeV

- dominated by direct photon contribution and large  $x_\gamma > 0.5 \rightarrow$  challenging to address factorization breaking

- dominated by large  $x_P$  and  $z_P \rightarrow$  probes mostly diffractive gluon density.

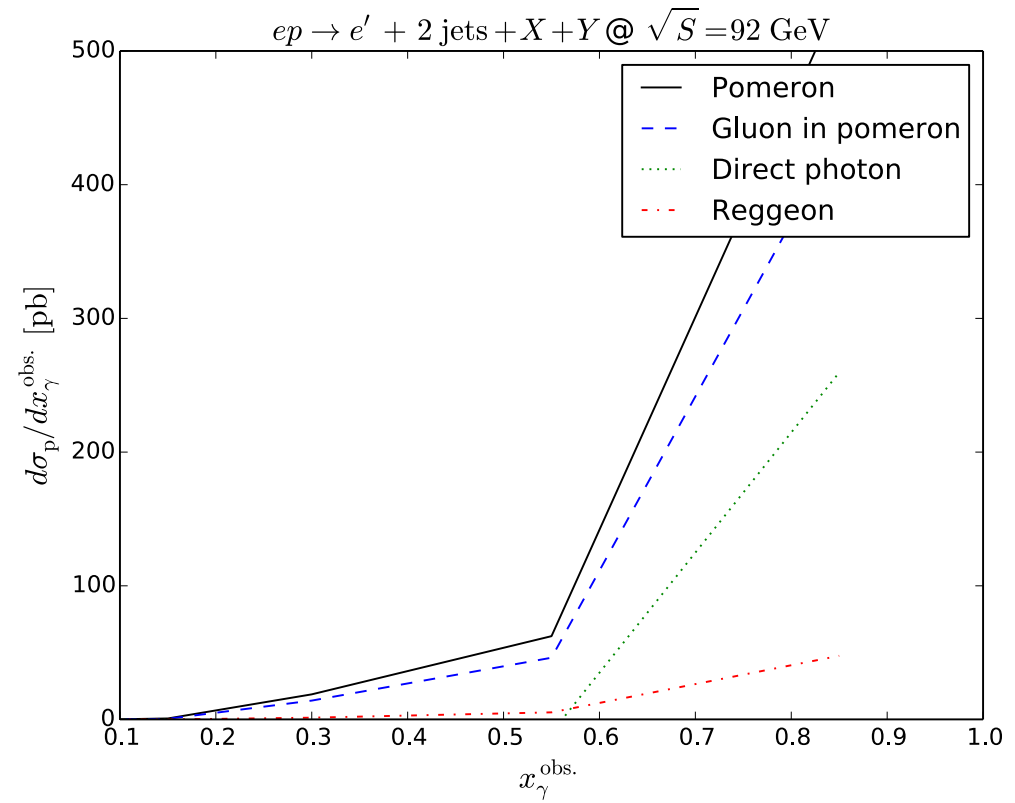
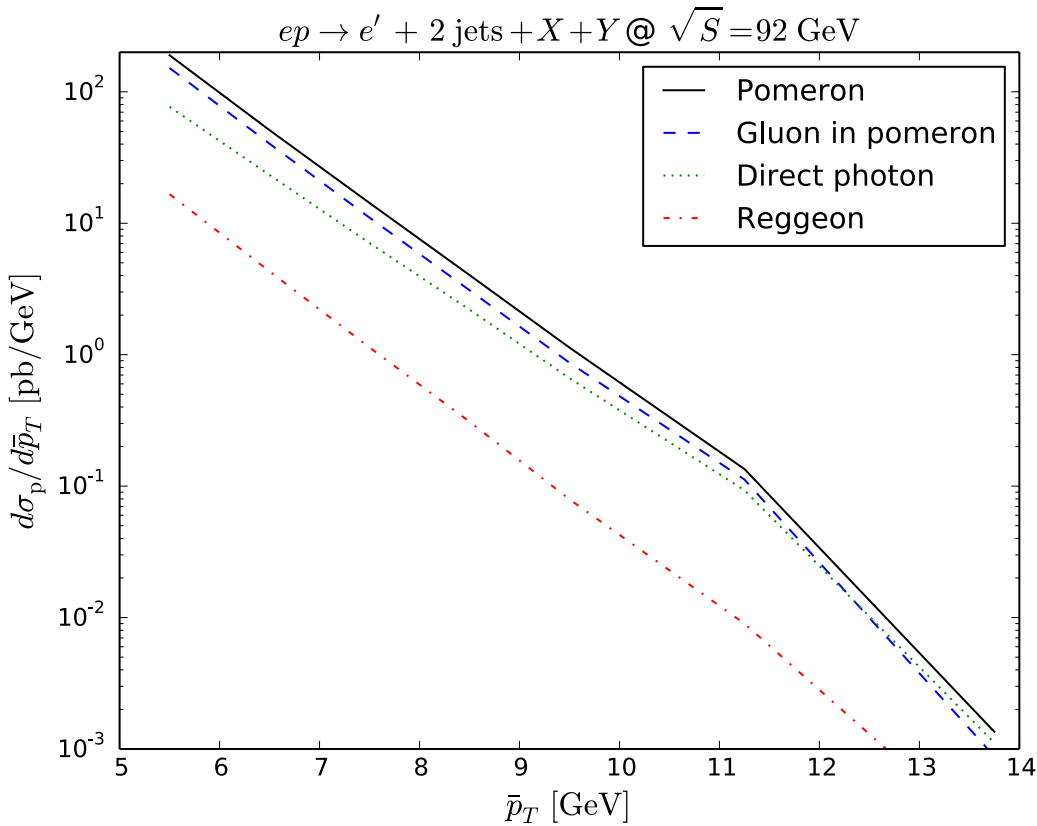
# NLO QCD predictions for EIC: $\sqrt{s}=92$ vs 141 GeV



- Main features:

- $p_T$  coverage up to 12 GeV
- extended coverage in other variables:  $0.2 < x_\gamma < 1$ ,  $0.2 < z_P < 1$
- cross section now larger by 1-2 orders of magnitude  $\rightarrow$  increased statistics and precision!

# NLO QCD predictions for EIC: extended $x_P < 0.1$

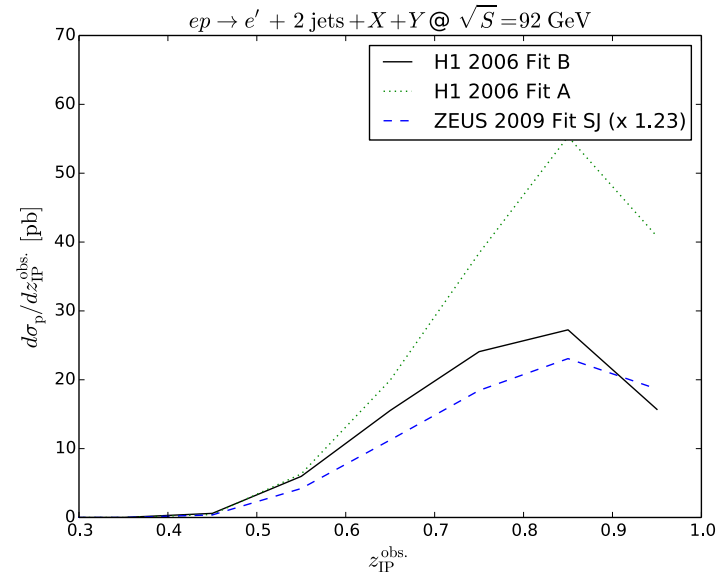
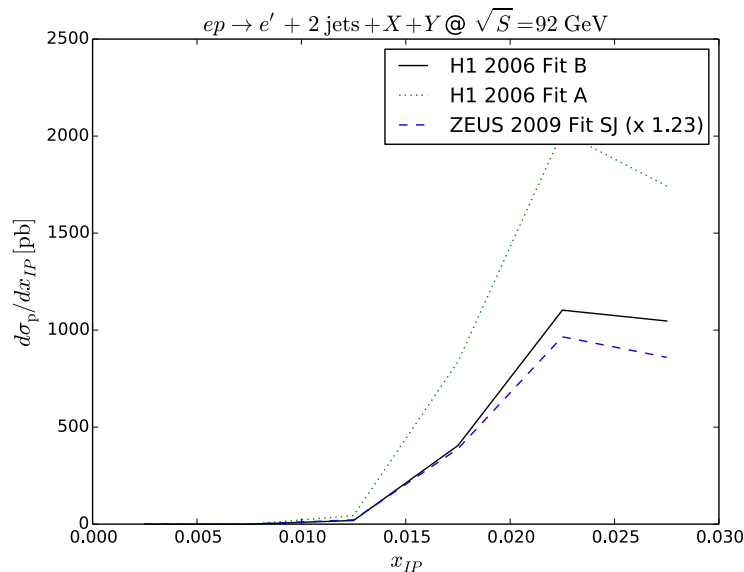
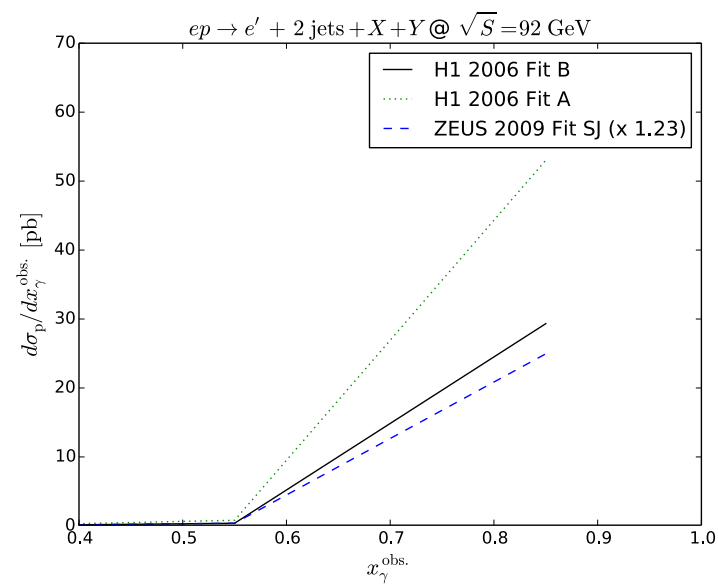
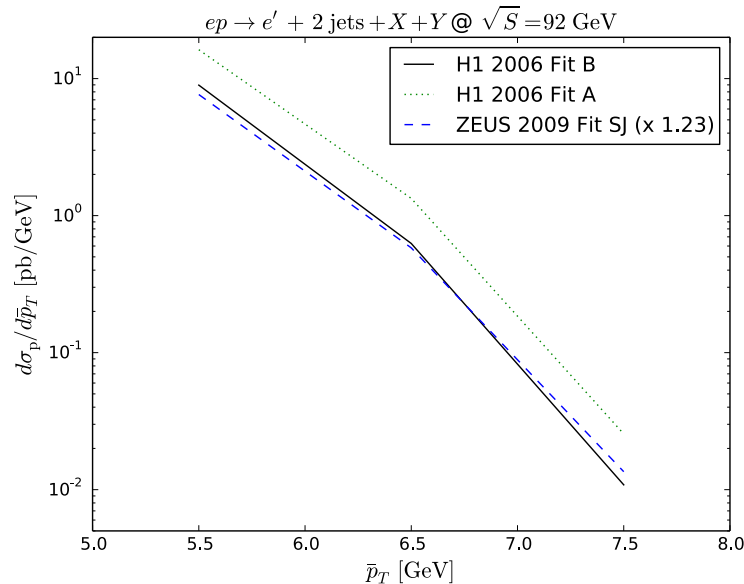


## • Main features:

- $p_T$  coverage is now up to 14 GeV  $\rightarrow$  more advantageous than increasing  $\sqrt{s}$  !
- $\bar{p}$  photon momentum fraction down to  $x_\gamma > 0.1$   $\rightarrow$  **resolved photon** also contributes
- 10-35% contribution of sub-leading Reggeon trajectory for  $x_P > 0.06$ .

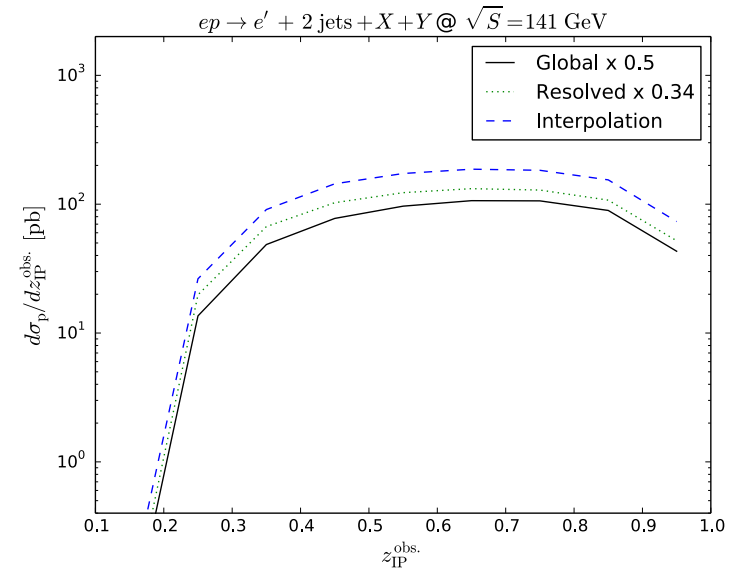
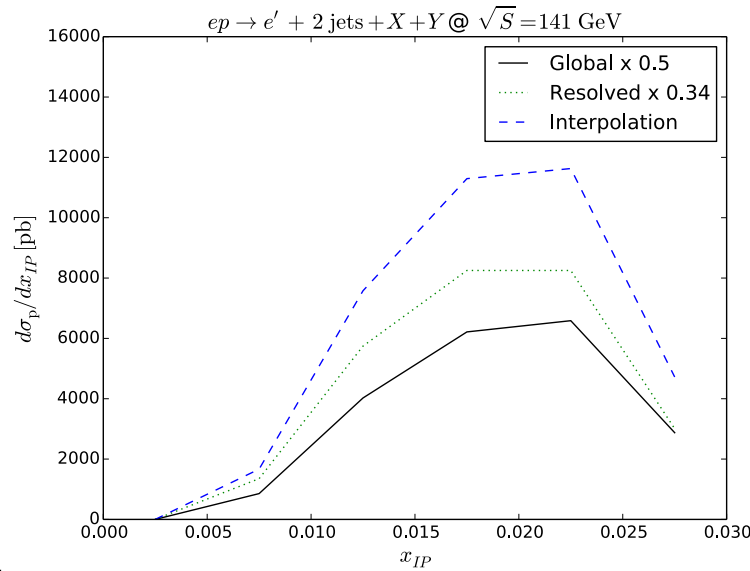
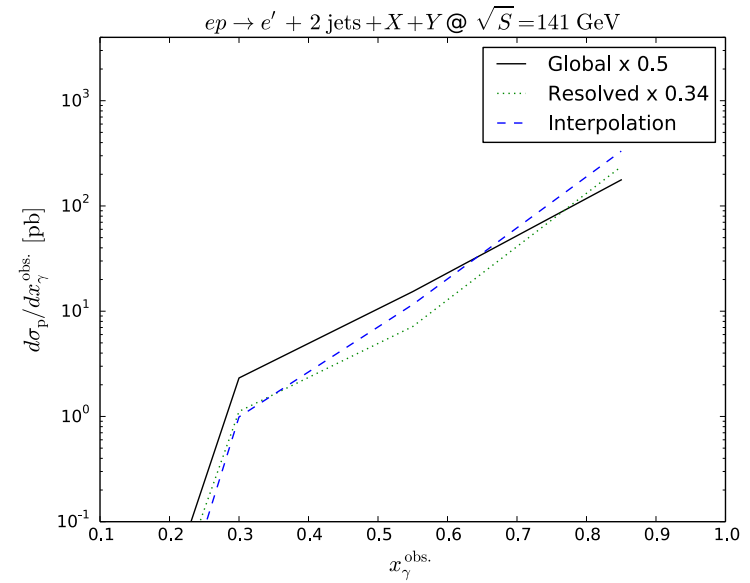
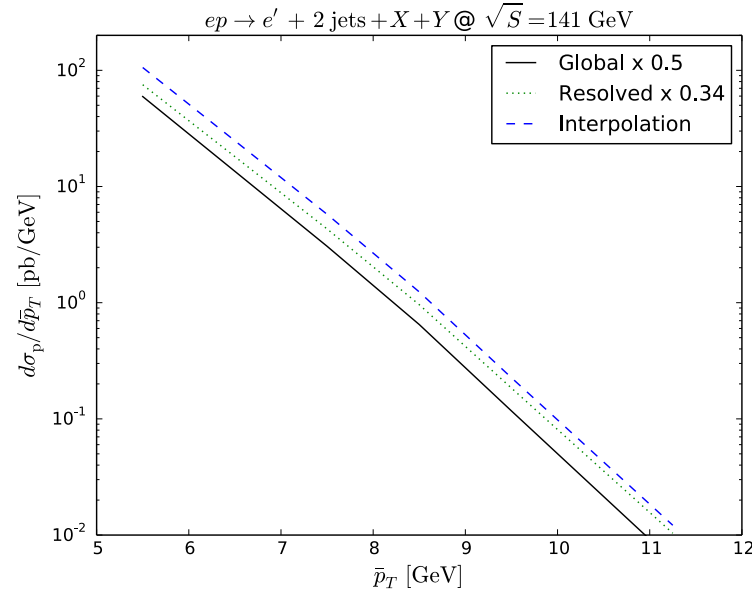
$$f_{i/p}^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_{i/IP}(z_{IP}, Q^2) + n_{IR} \cdot f_{IR/p}(x_{IP}, t) \cdot f_{i/IR}(z_{IP}, Q^2)$$

# QCD predictions for EIC: dependence on diffractive PDFs



- H1 Fit B and ZEUS SJ give similar predictions.
- Fit A predicts larger cross section due to larger diffractive gluon density at large  $z_P$ .

# QCD predictions for EIC: factorization breaking



- Main features:

- Most promising observable is  $x_\gamma$  dependence  $\rightarrow$  need wide coverage and high precision since the cross section drops.
- The rest of distributions differ mostly in normalization.

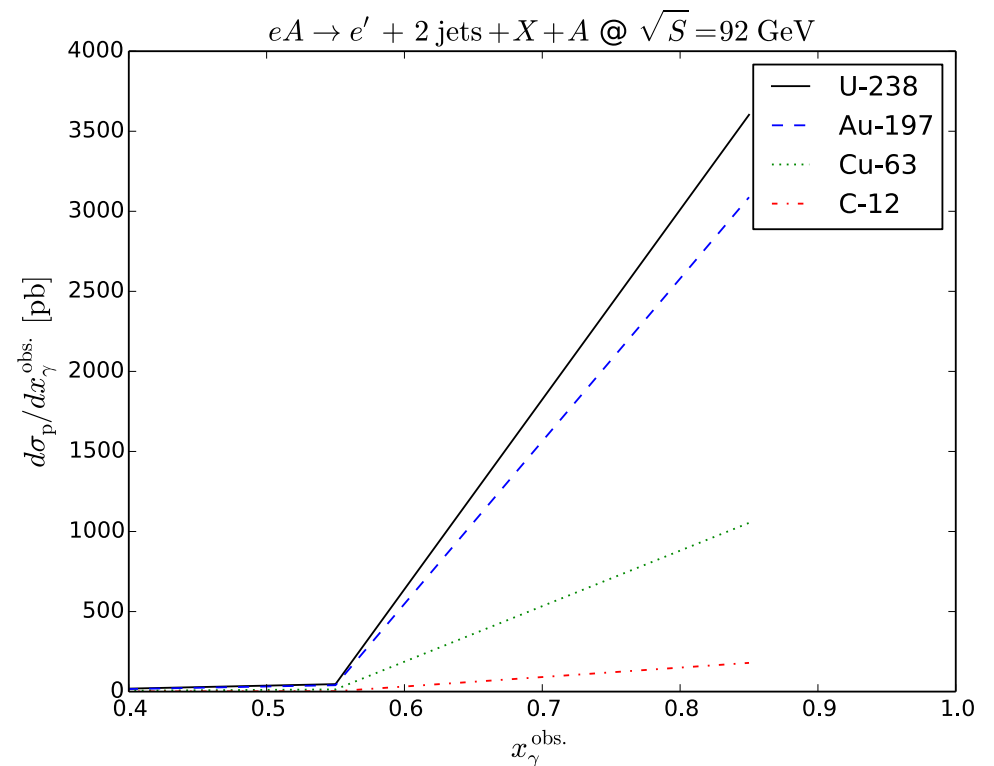
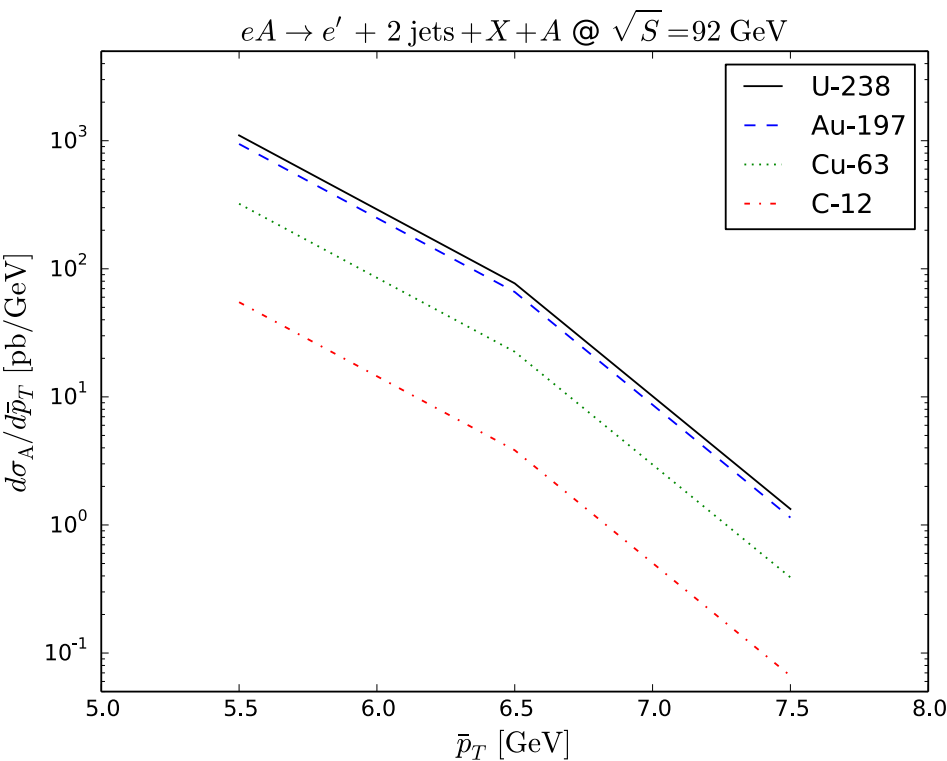
# NLO QCD predictions for diffractive dijet photoproduction on nuclei at EIC

- For nuclear diffractive PDFs, used leading twist model of nuclear shadowing, [Frankfurt, Guzey, Strikman, Phys Rep 512 \(2012\) 255](#)

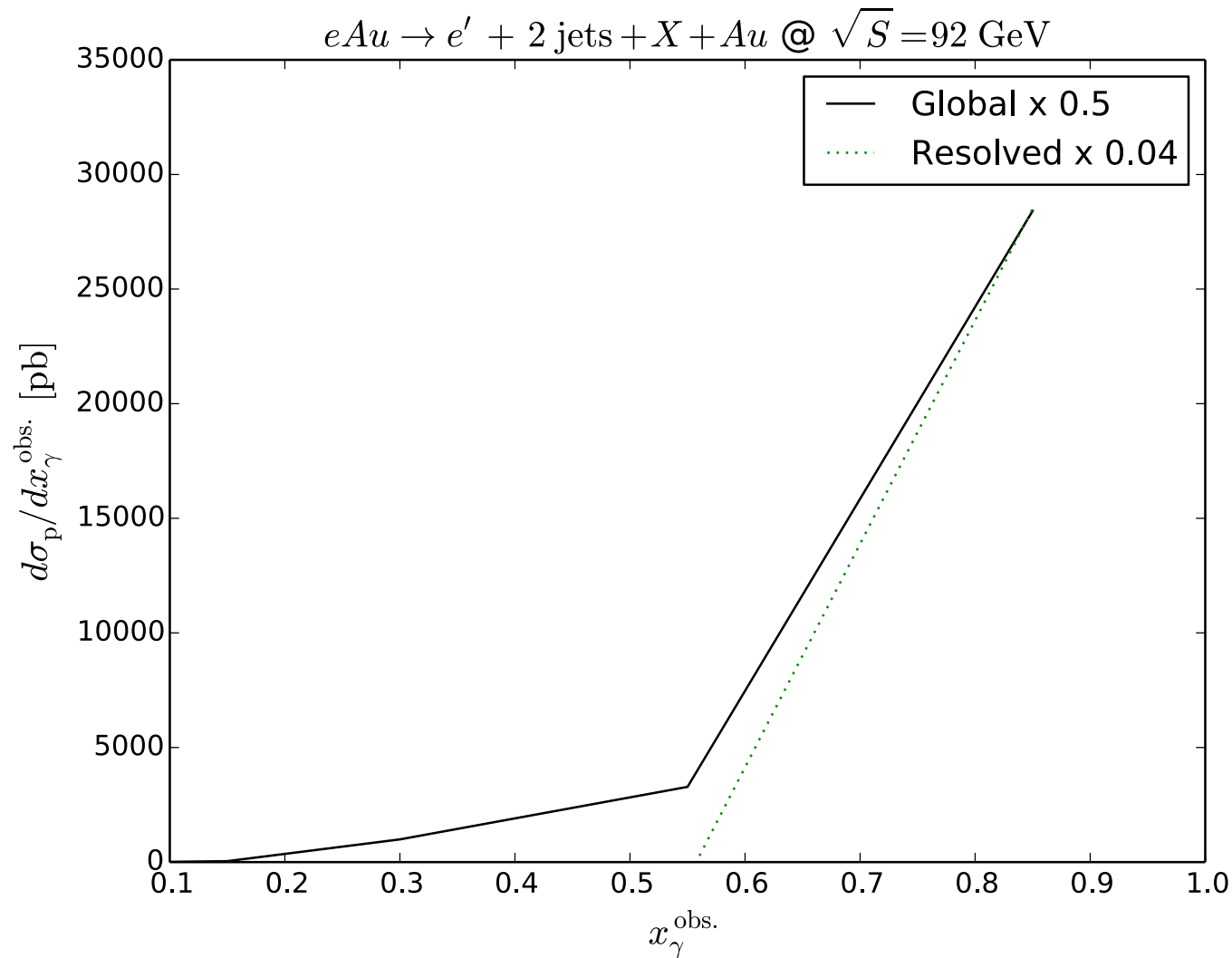
$$f_{i/A}^D(z_{IP}, Q^2, x_{IP}) \approx 16\pi B_{\text{diff}} f_{i/p}^D(z_{IP}, Q^2, x_{IP}) \int d^2\vec{b} \left| \frac{1 - e^{-\frac{A}{2}(1-i\eta)\sigma_{\text{soft}}^i(x, Q^2)T_A(b)}}{(1-i\eta)\sigma_{\text{soft}}^i(x, Q^2)} \right|^2.$$

- Characterized by strong suppression of nuclear diffractive PDFs by factor **R=0.65**.

$$f_{i/A}^D(z_{IP}, Q^2, x_{IP}) \approx AR(x, A) f_{i/p}^D(z_{IP}, Q^2, x_{IP})$$



# Diffraction dijet photoproduction on nuclei at EIC: factorization breaking



-  $x_\gamma$  dependence has the potential to distinguish between two used schemes of factorization breaking.



# Summary

- Photoproduction of jets is a standard tool of QCD. Its theory is well-established in NLO pQCD and compares very well to HERA data.
- Inclusive and diffractive dijet photoproduction at EIC is complimentary to inclusive and diffractive DIS@EIC and can help constrain **proton and nucleus usual and diffractive PDFs**.
- At EIC, the diffractive dijet photoproduction cross section is dominated by the **direct photon** contribution and **gluon diffractive PDF**.
- This process can help to solve the problem of the mechanism/pattern of **factorization breaking** in diffractive DIS: **global suppression vs. resolved-only**.
- For this, the most promising observable is  **$x\gamma$  dependence**. To have wide coverage in  $x\gamma$ , one needs the highest  $E_p$  and/or large range in  $x_P$  with an account with the sub-leading Reggeon contribution.
- Inclusive dijet photoproduction in Pb-Pb UPCs has been measured at the LHC: our NLO pQCD predictions describe well the preliminary ATLAS data, [Guzey, Klasen, PRC 99 \(2019\) 6, 065202](#)