



News on HERA proton structure and prospects from EIC

K. Wichmann @EPI23



2007: HERA shutdown → 15th anniversary of start, 30th anniversary of end



I'm still standing



Jets produced @ DESY for almost 45 years



 $\overline{\mathbf{x}}$



Why study jets @ HERA?



New NNLO calculations for HERA ep jet production available now

- Implemented in FastNLO and APPLEGRID \rightarrow fast cross section calculation possible EPJ C 82, 243 (2022) arXiv:2112.01120

\rightarrow Possible simultaneous determination of PDFs and $\alpha_s(M_z)$ at NNLO

Why look at as?



 αs is least known coupling constant;

needed to constrain GUT scenarios; cross section predictions, including Higgs;

. . .



Gluon-Fusion Higgs production, LHC 13 TeV



PDFs and/or **αs** limit: precision SM and Higgs measurements, BSM searches,

PDG21: αs = 0.1175 ± 0.0010 (w/o lattice)

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what is true α s central value and uncertainty?

new precise determinations have important role to play



HERA jet data used in NNLO PDF fit EPJC C82 (2022) 243

- Inclusive jets and dijets included
- Trijets from HERAPDF2Jets NLO excluded \rightarrow no NNLO predictions
- H1 low Q² data added particularly sensitive to $\alpha_s(M_z)$
- Some data points excluded due theory limitations
 - Data at low scale μ = (pt_2+Q_2) < 10 GeV \rightarrow scale variations are large (~25% NLO and ~10% NNLO)
 - 6 ZEUS dijet data points at low pt for which predictions are not truly NNLO

Data set		taken	Q^2 [GeV	/ ²] range	L	e^+/e^-	\sqrt{s}	Norma-	All	Used
		from to	from	to	pb^{-1}		GeV	lised	points	points
H1 HERA I normalised	d jets	1999 – 2000	150	15000	65.4	e ⁺ p	319	yes	24	24
H1 HERA I jets at low	Q^2	1999 – 2000	5	100	43.5	<i>e</i> ⁺ <i>p</i>	319	no	28	20
H1 normalised inclusive jets at high Q^2		2003 - 2007	150	15000	351	$e^+ p/e^- p$	319	yes	30	30
H1 normalised dijets at high Q^2		2003 - 2007	150	15000	351	$e^+ p/e^- p$	319	yes	24	24
H1 normalised inclusive jets at low Q^2		2005 - 2007	5.5	80	290	<i>e</i> + <i>p</i> / <i>e</i> - <i>p</i>	319	yes	48	37
H1 normalised dijets at low Q^2		2005 - 2007	5.5	80	290	$e^+ p/e^- p$	319	yes	48	37
ZEUS inclusive jets		1996 – 1997	125	10000	38.6	<i>e</i> ⁺ <i>p</i>	301	no	30	30
ZEUS dijets	1998 –2000 &	2004 - 2007	125	20000	374	$e^+ p/e^- p$	318	no	22	16

- QCD PDF fit with jet data
 - \rightarrow With fixed $\alpha_s(M_Z)$
 - \rightarrow With free $\alpha_s(M_z)$ or doing $\alpha_s(M_z)$ scan $\rightarrow \alpha_s(M_z)$ value

$$\begin{aligned} & \text{HERAPDF2.0 parameterisation} \\ & xf(x) = Ax^{B}(1-x)^{C}(1+Dx+Ex^{2}) \\ & xg(x) = A_{g}x^{B_{g}}(1-x)^{C_{g}} - A'_{g}x^{B'_{g}}(1-x)^{C'_{g}}, \\ & xu_{v}(x) = A_{u_{v}}x^{B_{u_{v}}}(1-x)^{C_{u_{v}}}\left(1+E_{u_{v}}x^{2}\right), \\ & xd_{v}(x) = A_{d_{v}}x^{B_{d_{v}}}(1-x)^{C_{d_{v}}}, \\ & x\overline{U}(x) = A_{\overline{U}}x^{B_{\overline{U}}}(1-x)^{C_{\overline{U}}}\left(1+D_{\overline{U}}x\right), \\ & x\overline{D}(x) = A_{\overline{D}}x^{B_{\overline{D}}}(1-x)^{C_{\overline{D}}}. \end{aligned}$$

- Additional constrains
 - $A_{u_v}, A_{d_v}, A_{g_{\uparrow}}$ constrained by the quark-number sum rules and momentum sum rule
 - $\bullet B_{\overline{U}} = B_{\overline{D}}$

•
$$x\overline{s} = f_s x\overline{D}$$
 at starting scale, $f_s = 0.4$

PDFs

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$\alpha_s @ NNLO from HERA jets$

- $\alpha_s(M_z)$ determined with experimental, model, param. and hadr. uncertainties
- In fits with free $\alpha_s(M_z)$ scale uncertainty important \rightarrow calculated as 100% correlated between bins and data sets



 \pm 0.0029 (scale)

PDFs

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Comparison to other HERAPDF2.0 fits

- For previous NLO results scale uncertainty applied as 50% correlated and 50% uncorrelated between bins and data sets (due to inclusion of HQ and trijet data)
- Using the precious procedure at NNLO:

NNLO

 $\alpha_s(M_Z^2) = 0.1156 \pm 0.0011 \text{ (exp)} ^{+0.0001}_{-0.0002} \text{ (model + parameterisation)}$

 ± 0.0022

HERAPDF2.0Jets NLO

 $\alpha_s(M_Z^2) = 0.1183 \pm 0.0009(\exp) \pm 0.0005(\text{model/parameterisation}) \pm 0.0012(\text{hadronisation}) \frac{^{+0.0037}_{-0.0030}(\text{scale})}{^{-0.0030}_{-0.0030}(\text{scale})}.$

Scale uncertainties reduced \rightarrow as expected for NNLO calculations

comparison to other HERA DIS results

1. H1 NNLO jet study using fixed PDFs, includes H1 inclusive-jet and di-jet:

H1 jets $\mu > 2m_b$ 0.1170 (9)_{exp} (7)_{had} (5)_{PDF} (4)_{PDF α_s} (2)_{PDFset} (38)_{scale}

with similar breakup of uncertainties and similar μ , new HERA result:

 $\alpha_s(M_Z^2) = 0.1156 \pm 0.0011(\exp+had+PDF) + 0.0001 \pmod{4} \pmod{4} + \Pr(100001) + 0.0029 \pmod{4} + \Pr(100001) + 0.0029 \pmod{4}$

H1 also provided a PDF+ α s fit to H1 inclusive and jet data analysis required Q² > 10GeV²; NEW HERA result re-evaluated with this cut (rather than >3.5GeV²), is: $\alpha_s(M_Z^2) = 0.1156 \pm 0.0011 \text{ (exp)} \pm 0.0002 \text{ (model + parameterisation)} \pm 0.0021 \text{ (scale)}$

2. <u>NNLOJet+APPLfast</u> using fixed PDFs, includes H1+ZEUS inclusive-jet:

HERA inclusive jets $\mu > 2m_b$ 0.1171 (9)_{exp} (5)_{had} (4)_{PDF} (3)_{PDF α_s} (2)_{PDFset} (33)_{scale}

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Fit with fixed $\alpha_s = 0.1155$



Parametrisation uncertainties
 largest deviation

- 🔶 Model uncertainties
 - all variations added in quadrature

Experimental uncertainties:

- Hessian method
- Conventional $\Delta\chi^2$ = 1 \rightarrow 68% CL

Parameter		ameter	Central value	Downwards variation	Upwards variation		
	$Q^2_{\rm min}$	$[GeV^2]$	3.5	2.5	5.0		
	f_s		0.4	0.3	0.5		
	M_c	[GeV]	1.41	1.37*	1.45		
	M_b	[GeV]	4.20	4.10	4.30		
	μ_{f0}^2	$[GeV^2]$	1.9	1.6	2.2*		

Adding D and E parameters to each PDF

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Fit with fixed $\alpha_s = 0.118$ How does it compare to HERAPDF2.0? Well!





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New ZEUS jet measurement





 New HERAII high-Q² inclusive jets results from ZEUS (15 years after shutdown)
 Phase-space and cuts identical to H1 high-Q² result → direct comparison possible

• Good agreement with H1 and with theory predictions \rightarrow used in simultaneous PDF and α_s fit

EPIPHANY23 PDFs @ HERA

ZEUS-prel-22-001



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PDFs

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ZEUS-jets QCD fit @ NNLO

- Used jet data sets
 - HERAI ZEUS inclusive jets at high Q²
 - HERAI+II ZEUS di-jets at high Q²
 - New HERAII ZEUS inclusive jets at high Q^2
- Statistical correlations between ZEUS HERAII jet data sets taken into account via correlation matrix
- Fit method and settings follow exactly HERAPDF2 strategy

Results

 $\alpha_{s}(M_{Z}^{2}) = 0.1138 \pm 0.0014$ (exp/fit) $^{+0.0004}_{-0.0008}$ (model/parameterisation) $^{+0.0012}_{-0.0005}$ (scale)

Note scale uncertainty!

Comparison to HERAPDF2Jets NNLO



PDFs

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Conclusion

Reduced scale uncertainty \rightarrow

present analysis is one of the most precise measurements of a_s(M²_z) at hadron colliders so far[†]

†PTEP 2020, 8, 083*C*01 (2020)

New DIS data for PDFs:





High-x region not covered by HERA \rightarrow impact on high-x PDFs expected HERAPDF philosophy: get PDFs with HERA data only \rightarrow start with that

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Fits with ATHENA pseudo-data

- ATHENA pseudo-data created using HERAPDF2 NLO
 - \rightarrow NC: 5 centre-of-mass energies
 - \rightarrow CC: only highest energy so far
 - \rightarrow "realistic" uncertainties estimation
- PDF fits "HERAPDF2-style" with DIS and DIS+EIC data





Impact of EIC data on proton PDF



<u>As expected for DIS-</u> only fits:

- Dramatic improvement of valence quarks at large x
- Improvement also for gluons/sea

For global fits:

- Improvement smaller but clearly visible
- Done using profiling method → let's look at full fits

PDFs

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HERA

Various data in other PDF sets



PDFs @ HERA





 $u_V \; ({
m NNLO}), \, Q^2 = 1.9 \, {
m GeV}^2$ MSHT20 MSHT20 + ATHENA 1 0.90.20.10.30.40.60.70.90.50.8 \boldsymbol{x} $g \ ({
m NNLO}), \ Q^2 = 10^4 \, {
m GeV}^2$ MSHT20 MSHT20 + ATHENA 0.950.0010.010.10.0001 \boldsymbol{x}

Impact of EIC data on global fits @NNLO

- Full fits with MSHT20 pseud-data
- Improvement significantly reduced compared with HERAPDF2.0
- Still significant effects present
 - → biggest impact on upvalence distribution
 → small but valuable
 improvement on all parton
 species visible at all x and Q²
 values

Suppl. material to 1606.01736

PDFs

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PDFs

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My private EIC wish list ...





EIC, world's first e+A collider — will explore nuclear structure at unprecedented level, up to heaviest nuclei



- Nuclear PDFs studied in terms of nuclear modification factor R:
 - It encodes deviations of nPDFs from simple scaling of free nucleon PDFs with atomic mass A after accounting for varying proton-to-neutron ratios using isospin symmetry
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- Relative uncertainty of gluon in proton ATHENA-only fits
- Uncertainty of gluon in gold nucleus
- Nuclear modification factor formed
 from ratio of gluon in gold and proton

Suppl. material to 1606.01736

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Impact of EIC data on nuclear PDF @NLO

arxiv:1606.01736



 Nuclear modification factors for gluon and u valence and u sea quarks

→ comparison with <u>EPPS16</u> (representative current global fit)

- Fixed target DIS and DY data
- p+A at LHC
- π^{o} from PHENIX

Precision largely improved with EIC data only → factor of two @ x ~ 0.1



Beyond collinear PDFs:

TMD PDFs \rightarrow towards global fits

S.Taheri Monfared, H.Jung, K.Wichmann



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arXiv:2001.06488

Motivation

- PB TMDs together with PB TMD parton shower allow very good description of measurements over wide kinematic range
 - \rightarrow excellent description of the DY spectrum in a wide range of p_{τ}
 - \rightarrow also for jet multiplicity even much beyond reach of corresponding fixedorder calculation

Is there still any room for improvement? YES!

- PB-TMD NLO fits use HERA DIS data \rightarrow can be improved by including different data sets in fits
- NuSea data studied
 - \rightarrow generally well described by PB-TMD + NLO
 - \rightarrow deteriorates for region of highest masses
 - large-x region parton densities used in calculation poorly constrained
 - NNPDF3.0 fits better more data used
 - \rightarrow can be improved in global fits

 \rightarrow jet data constrain gluon at high x



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PDFs

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TMDs-what is it? [Phys. Lett. B 772 (2017), 446-451], [JHEP 01 (2018), 070]

- TMDs : Transverse Momentum Dependent parton distributions
- extended collinear PDFs : transverse momentum effects from intrinsic k_t + evolution

Why TMD?

- fixed order calculations are limited in application
- small transverse momentum & small-x phenomena need TMDs

New approach: Parton Branching (PB) method

- evolution of TMDs and collinear PDFs at LO, NLO & NNLO
- automatically contain soft gluon resummation (at NLL identical to CSS approach)
- unique feature: backward evolution fully determines the TMD shower
- very successful for description of inclusive processes
 [Phys. Rev. D 100 (2019) no.7, 074027], [Eur. Phys. J. C 80 (2020) no.7, 598]



• Two angular ordered sets with different choice of scale in α_s :

- set1: α_s (evolution scale)
- set2: α_s (transverse momentum): similar quality as the NLO + NNLL prediction in $p_t(z)$ description

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PB TMDs

Fits using HERAPDF framework



	Dataset	-
HERA	HERA1+2 CCep HERA1+2 CCem HERA1+2 NCem HERA1+2 NCep 820 HERA1+2 NCep 920 HERA1+2 NCep 460 HERA1+2 NCep 575	
HERA	ZEUS inclusive dijet 98-00/04-07 data H1 low Q2 inclusive jet 99-00 data ZEUS inclusive jet 96-97 data H1 normalised inclusive jets with unfolding H1 normalised dijets with unfolding H1 normalised trijets with unfolding	F
Tevatron	CDF Z rapidity 2010 D0 W el nu lepton asymmetry ptl 25 GeV D0 Z rapidity 2007 E866, high mass E866, mid mass E866, low mass]
LHC S. Taheri Monfared	CMS W muon asymmetry CMS W muon asymmetry 8 TeV CMS 7 TeV Z Boson rapidity 2 CMS 7 TeV Z Boson rapidity 3 CMS 7 TeV Z Boson rapidity 4 CMS 7 TeV Z Boson rapidity 5	Viniglobal PB-Fit

Total number of data point : 1501

Set1 \rightarrow chi2/dof=1858/1484=1.25 Set2 \rightarrow chi2/dof=1922/1484=1.29

FastNLO jets

CC e+-p

NC e+-p

FastNLO ep jets normalised

NC ppbar CC ppbar

NC pp

CC pp

NC pp

- Started with HERA jets
- Added fixed target + CMS W/Z
- Good data description



Visible improvement for high masses



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PDFs @ HERA



Message to take away

• Two new HERA α_s results \rightarrow HERAPDF2.0Jets NNLO

 $\alpha_{s}(M_{Z}^{2}) = 0.1156 \pm 0.0011$ (exp/fit) $^{+0.0001}_{-0.0002}$ (model/parameterisation) ± 0.0029 (scale)

 \rightarrow ZEUS new jet measurement + $\alpha_s(M_z)$ fit

 $\alpha_{s}(M_{Z}^{2}) = 0.1138 \pm 0.0014$ (exp/fit) $^{+0.0004}_{-0.0008}$ (model/parameterisation) $^{+0.0012}_{-0.0005}$ (scale)

\rightarrow one of the most precise measurements of $a_s(M^2_z)$ at hadron colliders

- Using EIC data will make tremendous difference

 → proton PDFs, especially at high x
 → nPDFs constrained with 10% precision
- Parton Branching methods allows studying TMDs \rightarrow global PB TMDs within reach

HERA still has something to say!



PDFs

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HERA



Additional slides

Updates in the procedure

- scale choice changes:
- factorisation: µF²=(Q²+pt²)
- cf. µF²=Q² in previous NLO analysis; updated since not a good choice for low Q² jet data; change makes almost no difference for high Q² jets
- renormalisation: µR²=(Q²+pt²)
- cf. µR²=(Q²+pt²)/2 in previous NLO analysis
- NNLO fit with $\mu R^2 = (Q^2 + pt^2)$ gives $\Delta X^2 = -15$ cf. $\mu R^2 = (Q^2 + pt^2)/2$ and vice versa for NLO fit
- scale uncertainties treated as completely correlated between bins and datasets

† pt denotes ptiet in the case of inclusive jet cross sections and <pt> for dijets

- improved treatment of hadronisation uncertainties; NOW included together with exp. systematics; treated as ¹/₂ correlated, ¹/₂ uncorrelated between bins and datasets
- (small) uncertainties on theory predictions included



Estimation of charm & beauty masses

• new HERA combined charm and beauty data: EPJ C78 (2018), 473 \rightarrow updated estimation of $\rm M_{c}$ and $\rm M_{b}$

 \rightarrow Heavy Quark (HQ) coefficient functions evaluated using Thorne-Roberts Optimised Variable Flavour Number Scheme





Checking robustness of results

• HERA data at low x and Q^2 may be subject to need for ln(1/x) resummation or higher twist effects (eg arXiv:1506.06042, 1710.05935)



- Alternative parameterisations checked
 - No negative gluon term and no NG but additional Dg parameter
 - \rightarrow both give the same result
 - \rightarrow consistent with nominal

 $\alpha_s(M_Z^2) = 0.1151 \pm 0.0010 \text{ (exp)}$



Completing NLO picture



- Similar behavior and level of precision at NLO and NNLO
- However direct comparison of 2015 and 2022 results not possible \rightarrow different scale choice and slightly different jet data sets
- After unifying (details in backup)

 $\alpha s(MZ) = 0.1186 \pm 0.0014 (exp) NLO$ $\alpha s(MZ) = 0.1144 \pm 0.0013 (exp) NNLO$

... and how it compares to $\alpha_s = 0.1155$ H1 and ZEUS



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Some remarks on NLO to NNLO comparison- (not in the paper) Our present NNLO result using ¹/₂ correlated and ¹/₂ uncorrelated scale uncertainty

 $\alpha_{s}(M_{z}) = 0.1156 \pm 0.0011(exp) + 0.0001_{-0.0002}(model+parametrisation \pm 0.0022(scale))$

where "exp" denotes the experimental uncertainty which is taken as the fit uncertainty, including the contribution from hadronisation uncertainties.

Maybe compared with the NLO result

 $\alpha_{s}(M_{Z}) = 0.1183 \pm 0.0008(exp)\pm 0.0012(had)^{+0.0003}(mod/param)^{+0.0037}(scale)$

• the choice of scale was different;

BUT

- the NLO result did not include the recently published H1 low-Q² inclusive and dijet data [28];
- the NLO result did not include the newly published low p_T points from the H1 high- Q^2 inclusive data;
- the NNLO result does not include trijet data;
- the NNLO result does not include the low p_T points from the ZEUS dijet data;
- the NNLO analysis imposes a stronger kinematic cut $\mu > 10 \text{ GeV}$
- the treatment of hadronisation uncertainty differs.

All these changes with respect to the NLO analysis had to be made to create a consistent environment for a fit at NNLO. at the same time, an NLO fit cannot be done under exactly the same conditions as the NNLO fit since the H1 low Q^2 data cannot be well fitted at NLO. However, an NLO and an NNLO fit can be done under the common conditions:

An NLO and an NNLO fit can be done under the common conditions:

- choice of scale, $\mu_f^2 = \mu_r^2 = Q^2 + p_T^2$;
- exclusion of the H1 low-Q² inclusive and dijet data;
- exclusion of the low- p_T points from the H1 high- Q^2 inclusive jet data;
- exclusion of trijet data;
- exclusion of low- p_T points from the ZEUS dijet data;
- exclusion of data with $\mu < 10 \text{ GeV}$
- hadronisation uncertainties treated as correlated systematic uncertainties as done in the NNLO analysis.

The values of $\alpha_{\rm S}(M_Z)$ obtained for these conditions are: 0.1186 ± 0.0014(exp) NLO and 0.1144 ± 0.0013(exp) NNLO. The change of the NNLO value from the preferred value of 0.1156 is mostly due to the exclusion of the H1 lowQ² data and the low-p_T points at high Q²

What do we mean when we say the H1 low Q² jets cannot be well fitted at NLO? Simply this, that at NNLO the increase in overall $\chi 2$ of the fit when the 74 data pts of these data are added is ~80 (exact value depends on $\alpha_S(M_Z)$ and on scale choice) Whereas at NLO the increase in overall $\chi 2$ of the fit when the 74 data pts of these data are added is ~180.

(from A. Cooper-Sarkar, alpha-s 2022 workshop)

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... and how it compares to $\alpha_s = 0.1155$ H1 and ZEUS



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PDFs @ HERA

















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10

30 <p_T>2 / GeV









PDFs @ HERA

Uncertainties

- Reduction of low-x gluon (x < 10⁻3) uncertainties due to reduced model/param uncertainties in variations of M_c and μ_f^2
- Reduction of high-x gluon (x > 10⁻³) uncertainties due to reduced model/param/exp uncertainties
- The same for other scales







H1 and ZEUS



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PDFs

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H1 and ZEUS





HERA combined inclusive DIS

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<u>HERA combined DIS data are</u> <u>core of every modern PDF</u> <u>extraction</u>

- 2927 data points combined to 1307
- impressive precision

HERAPDF approach uses ONLY HERA data in global QCD fit











How to obtain collinear/TMD PDFs form PB method? QCD fit to HERA data

Fitting procedure in a nutshell:

- parameterize collinear PDF at μ_0^2
- produce PB kernels for collinear & TMD distributions to evolve them to $\mu^2 > \mu_0^2$ [Eur. Phys. J. C 74, 3082 (2014)]
- perform fits to measurements using xFitter frame to extract the initial parametrization (with collinear coefficient functions at NLO)

PB TMDs

- store the TMDs in a grid for later use in CASCADE3 [Eur. Phys. J. C 81, no.5, 425 (2021)]
- plot collinear and TMD pdfs within $\mathrm{TMDPLOTTER}$ [arXiv:2103.09741]

- full coupled evolution with all flavors & $\alpha_s(M_Z^{n_f=5}) = 0.118$
- HERAPDF parametrization form
- using full HERAI+II inclusive DIS data ($3.5 < Q^2 < 50000 \text{ GeV}^2 \& 4.10^{-5} < x < 0.65$)
- $\chi^2/dof=1.21$

[Phys. Rev. D 99 (2019) no. 7, 074008]

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4 FLNS:

the same functional form & data as 5FL - parameters are re-fitted

•
$$m_b \to \infty \& \alpha_s(M_Z^{n_f=4}) = 0.1128$$

•
$$\chi^2/dof = 1.25$$

[arXiv:2106.09791]