

Transitions in matter induced by intense X-ray radiation and their diagnostics

B. Ziaja^{1,2}

¹ Center for Free-Electron Laser Science, DESY, Hamburg

² Institute of Nuclear Physics, PAS, Kraków



Newly created group at CFEL, DESY:
"X-ray Irradiated Materials: Theory and Computation"



Goal:

computational studies of X-ray irradiated materials relevant for the areas of materials science, diffractive imaging, plasma, and warm dense matter physics investigated with XFEL and synchrotron light sources, with the focus on possible technology development and potential industrial applications.



← FS-CFEL-XM →



Joint initiative of Deutsches Elektronen Synchrotron (DESY) in Hamburg and the Henryk Niewodniczański Institute of Nuclear Physics, Polish Academy of Sciences (IFJ PAN) in Kraków.



Outline

- 1. Transitions in matter triggered by X-rays**
- 2. Electronic transitions triggered by low-fluence X-ray pulses**
- 3. Structural transformations at X-ray fluences above damage threshold**
- 4. X-ray induced magnetic transitions**
- 5. Summary**

Transitions in matter ...

Energy delivered to a thermodynamic system → transition into a different phase or state of matter

Examples:

Structural transition → leads to a change of a system structure

Magnetic transition → changes magnetic properties (e.g., demagnetization)

Superconductivity → superconducting phase

...

Or

Solid-to-solid → leads to a change of solid's structure

Solid-to-liquid → melting

Solid-to-plasma → ionization

...

Interaction of X rays with matter:

X-ray photons:

elastic scattering, Compton scattering ← scattering: $\gamma + e_{\text{bound}} \rightarrow \gamma'$

photoionization from valence band or core shells, Auger & fluorescence decays of core holes ← ionization: $\gamma + e_{\text{bound}} \rightarrow e_{\text{free}}$

← Auger decay:



Electrons:

collisional ionization and recombination from/to bands, thermalization ← electron excitation and relaxation:



Ions:

charging ← electrostatic repulsion due to Coulomb interaction



Main interactions:

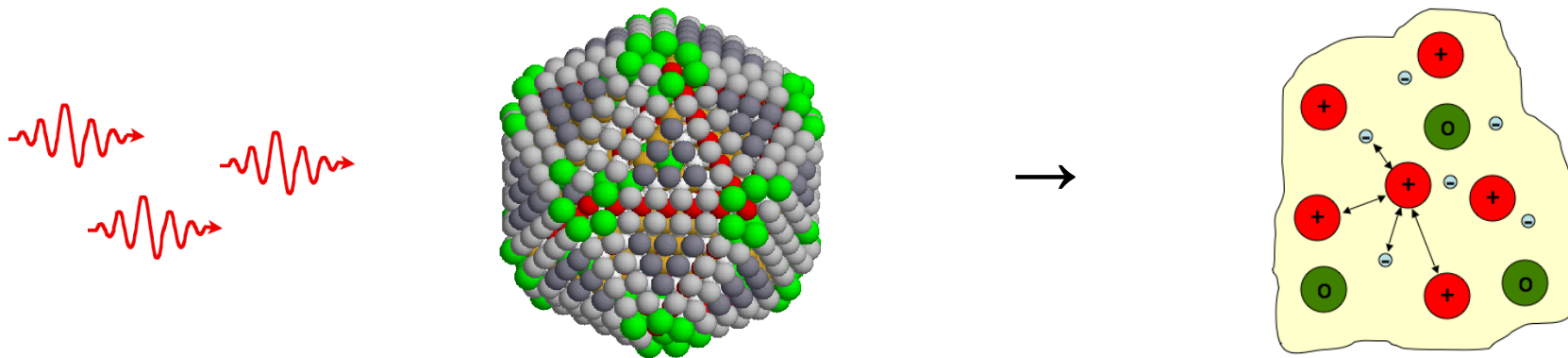
X-ray photons: elastic scattering, Compton scattering, photoionization from valence band or core shells, Auger & fluorescence decays of core holes

Electrons: collisional ionization and recombination from/to bands, thermalization → band modification

→ ELECTRONIC or MAGNETIC TRANSITION

Ions: electrostatic repulsion & band modification →

→ STRUCTURAL TRANSITION



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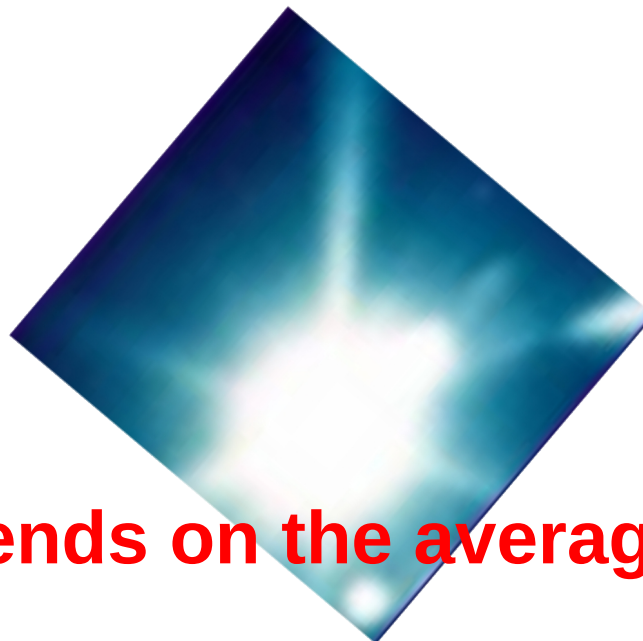
Transitions in solid materials induced by X-ray radiation

... Femtosecond intense pulses from X-ray free-electron laser ...

FLASH



European XFEL



Transition depends on the average absorbed dose

Outline

1. **Transitions in matter triggered by X-rays**

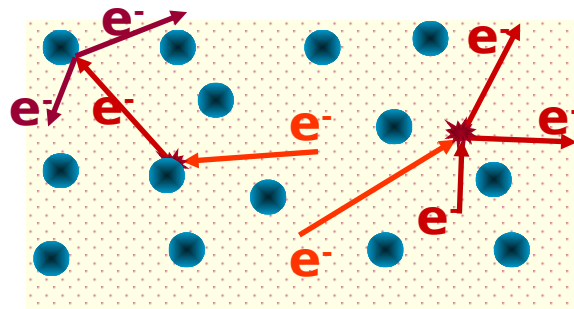
2. **Electronic transitions triggered by low-fluence X-ray pulses**

3. **Structural transformations at X-ray fluences above damage threshold**

4. **X-ray induced magnetic transitions**

5. **Summary**

X-ray triggered **electronic** transitions



Collaborators for this part:

V. Lipp

CFEL/DESY



N. Medvedev

now ASCR, Prague



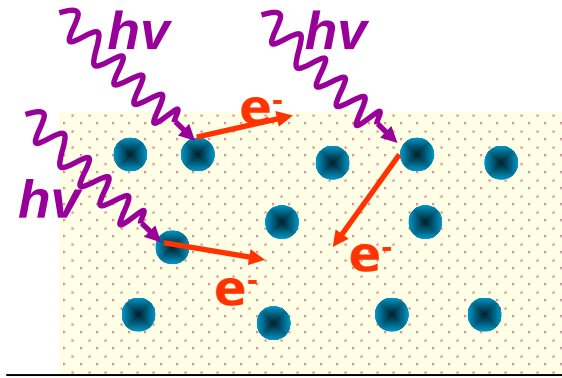
V. Tkachenko

IFJ & EuXFEL

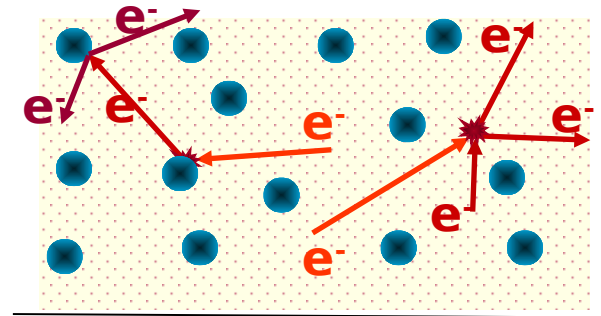


Electronic transitions triggered by low fluence X-ray pulse

Low dose



Photoabsorption
during X-ray pulse:
photo- and Auger
electron emission



Electron kinetics:
impact ionization,
elastic scatterings,
Auger recombination

→ **Electronic density increases in the irradiated sample ...**

Damage Threshold



Efficient in-house simulation tool: XCASCADE (3D) code

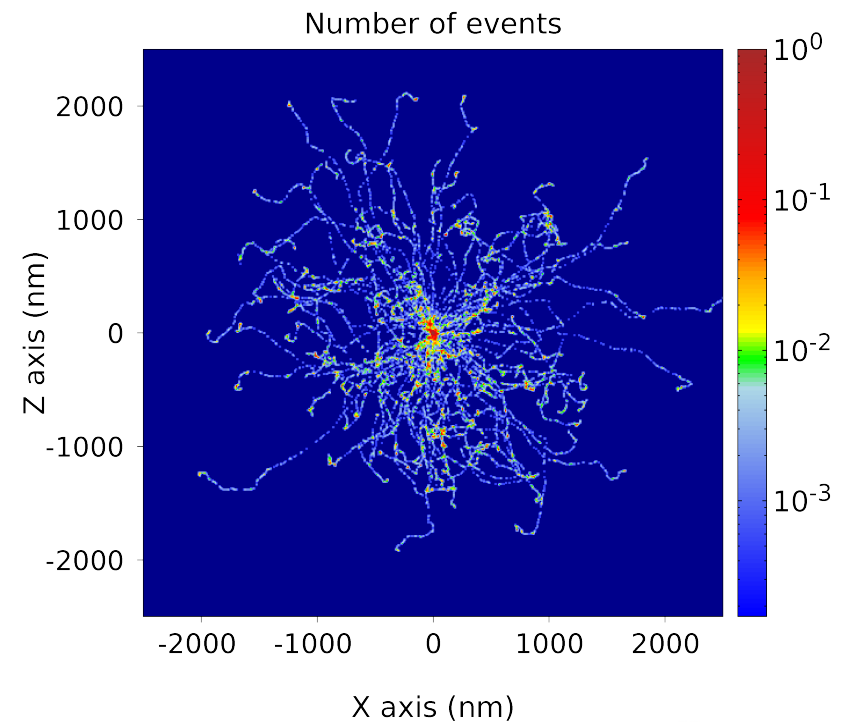
→ Resolves 3D spatio-temporal structure of electron cascades initiated by a primary (photo)electron impact

→ Efficient simulation scheme due to independent electron cascade approximation and low ionization degree of material in which electrons propagate

[N. Medvedev, *Appl. Phys. B* 118 (2015) 417]

[V. Lipp, N. Medvedev, B. Ziaja, *SPIE Proc.* 10236 (2017) 10236 H]

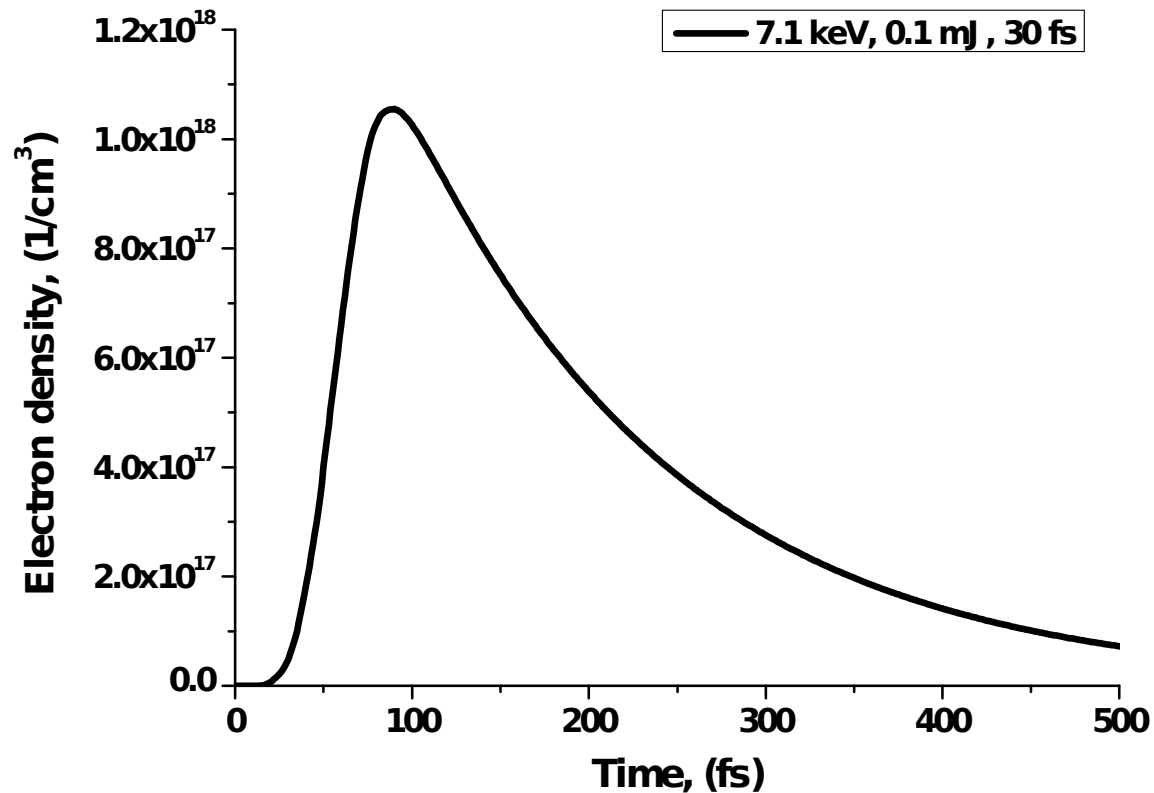
100 cascades in LiF after 10 keV
electron impact



Electronic transitions triggered by low fluence X-ray pulse

Low dose Electron density translates into transient change of optical properties

Example:
 SiO_2



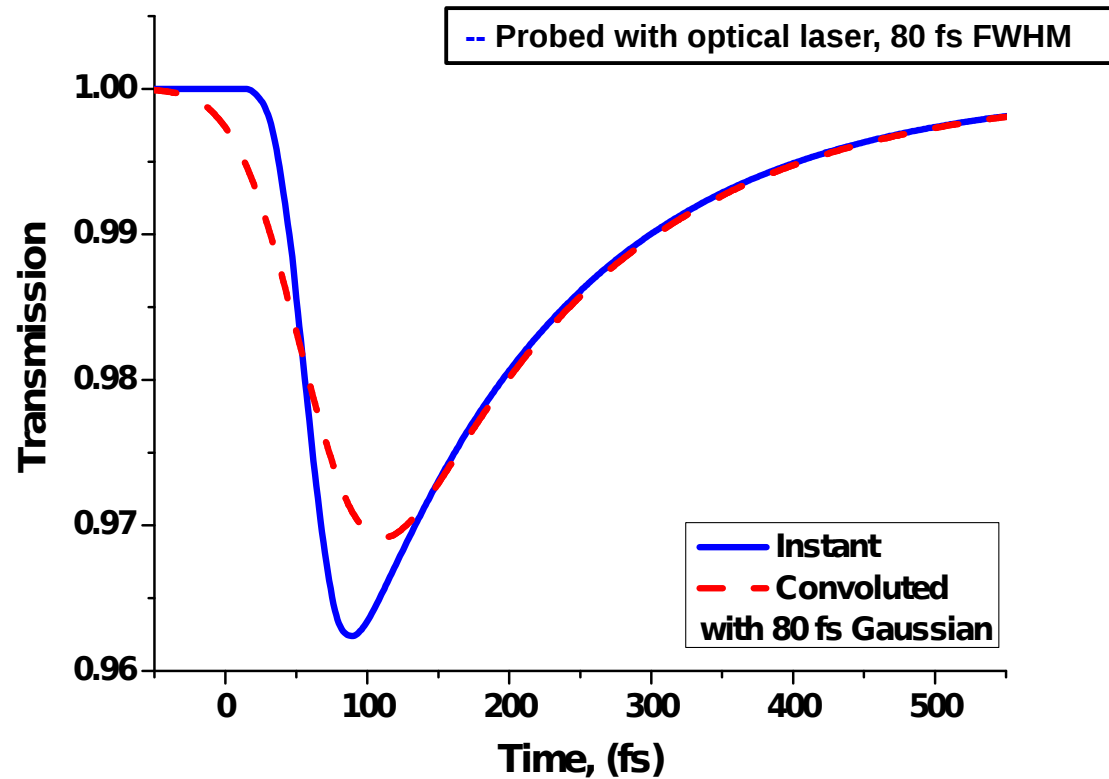
Damage Threshold



Electronic transitions triggered by low fluence X-ray pulse

Low dose Electron density translates into transient change of optical properties

Example:
 SiO_2



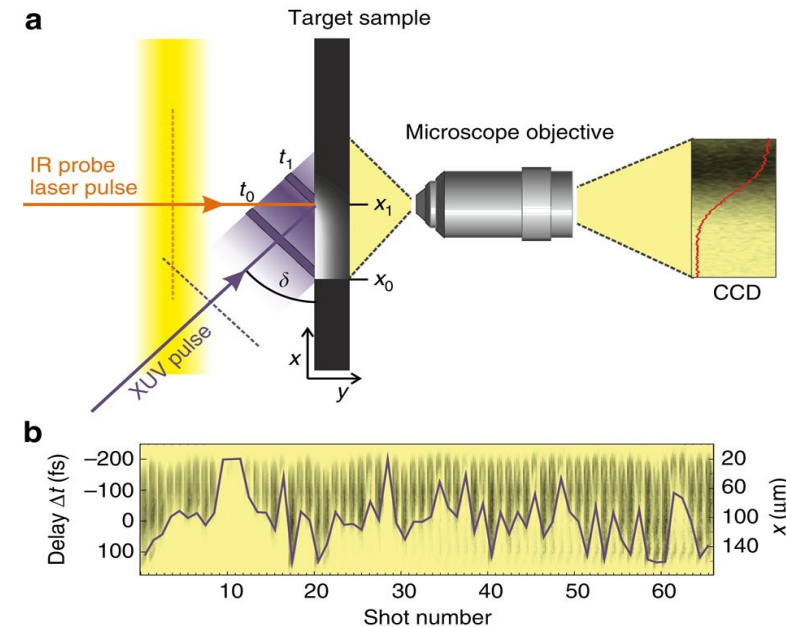
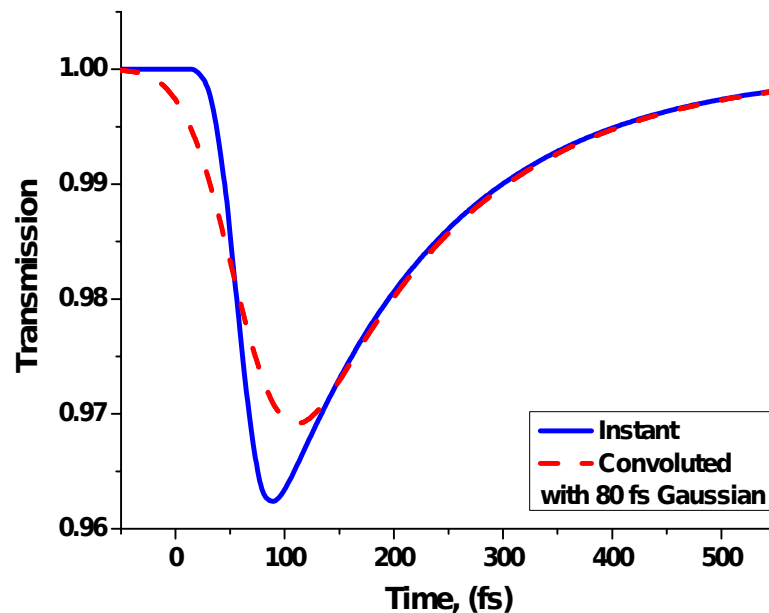
Damage Threshold



Electron density increase triggered by low fluence X-ray pulse

Low fluence Electron density translates into transient change of optical properties

Example:
 SiO_2



Structural damage fluence



→ Applications for **FEL pulse duration and pulse arrival diagnostics tools**



Electron density increase triggered by low fluence X-ray pulse

Low dose Electron density translates into transient change of optical properties

→ Applications for FEL pulse duration and pulse arrival diagnostics tools:

[Harmand et al., Nat. Phot. 7 (2013) 215]

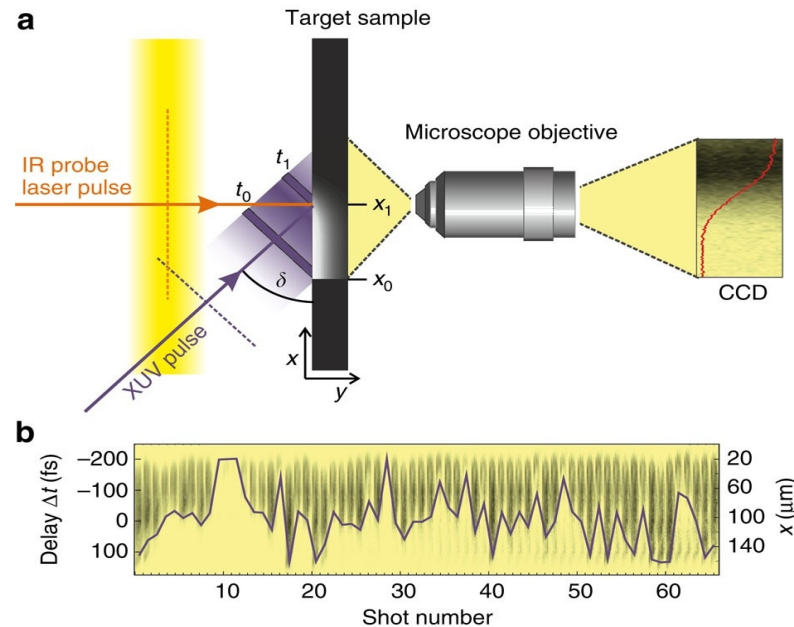
[Riedel et al, Nat. Commun. 4 (2013) 1731]

[Finetti et al., PRX 7 (2017) 021043]

[Tkachenko et al., Opt. Lett. 45(1), 33 - 36 (2020)]

[Tkachenko et al., Sci. Rep. (2021) accepted]

...



Damage Threshold



Outline

1. **Transitions in matter triggered by X-rays**
2. **Electronic transitions triggered by low-fluence X-ray pulses**
3. **Structural transformations at X-ray fluences above damage threshold**
4. **X-ray induced magnetic transitions**
5. **Summary**

X-ray triggered **structural** transformations



[Courtesy: DESY]

Collaborators for this part:

V. Lipp

CFEL/DESY



N. Medvedev

now ASCR, Prague



V. Tkachenko

IFJ & EuXFEL



M. Abdullah

DESY



Z. Jurek

CFEL/DESY



Structural transformations by X-ray pulses of fluence above the structural damage threshold

Structural damage fluence

Non-thermal transformation (~100 fs) Thermal melting (~ ps)



Change of interatomic potential
induced by presence
of many excited electrons

Heating of atomic lattice due to
electron-phonon coupling within
the same interatomic potential

Melting fluence

Simulations with an **in-house hybrid code XTANT** combining **ab-initio transferable description of band structure** (DFTB,TTB) with **Parinello-Rahman molecular dynamics** for nuclei and **Monte-Carlo approach** to treat energetic electrons and core holes.

[N. Medvedev, Viktor Tkachenko, V. Lipp, Zheng Li, and B. Ziaja, 4Open 1,3 (2018)]

Modeling tool: in-house code XTANT using modular hybrid approach

- MD (Parrinello-Rahman scheme) to describe dynamics of nuclei
- MC approach to describe (de)excitation of high energy free electrons in conduction band and creation and relaxation of core holes
- Instantaneous thermalization assumed for electrons within the valence and conduction bands
- Transferable tight binding/DFTB+ module to describe changes of band structure in response to nuclei dislocations; potential energy surface used to derive forces
- Scattering/ionization rates calculated using tables or from complex dielectric function updated at each time step
- Electron-ion coupling treated through Boltzmann collision integral

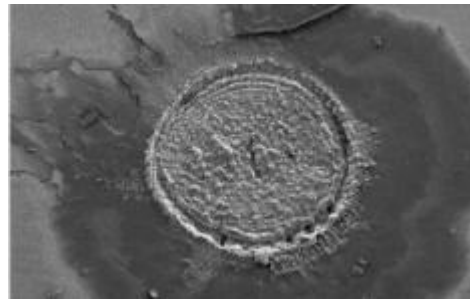


[Optical pulses: H. Jeschke, M. Garcia, K. Bennemann, PRB 60 (1999) R 3701, PRL 87 (2001) 015003
→ X-rays: Medvedev et al. (BZ): NJP 15 (2013) 015016;
PRB 88 (2013) 224304 & 060101; PRB 91 (2015) 054113;
PRB 95(2017) 014309]

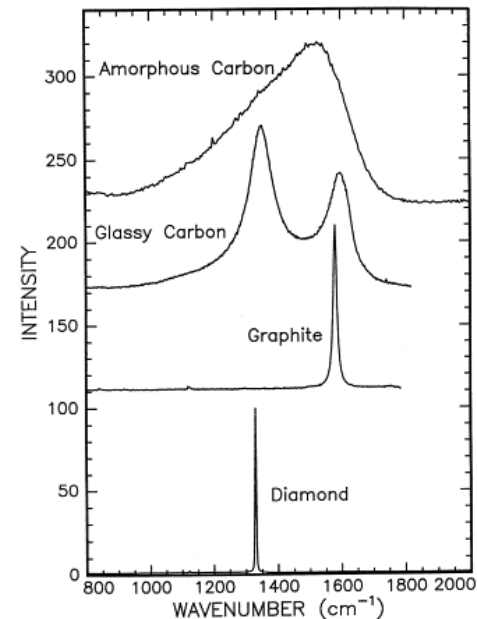


Diagnostics of transitions in experiments?

Damage thresholds → post mortem measurements on samples



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matsci4uwi.wordpress.com



Example: X-ray induced graphitization of diamond

Structural damage fluence

Ultrafast non-thermal process
on timescale of 100-200 fs

- X-ray pulse excites electrons from VB to CB
- the increase of electronic density in conduction band changes interatomic potential; $sp^3 \rightarrow sp^2$
- nuclei rebind to form an (overdense) graphite structure
- overdense graphite relaxes

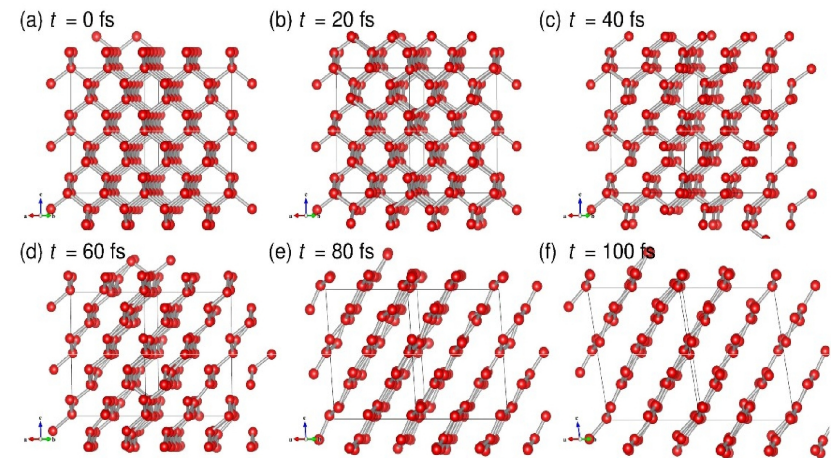
Melting fluence

[Medvedev et al. (BZ): NJP 15 (2013) 015016;
PRB 88 (2013) 224304 & 060101;
PRB 91 (2015) 054113]



Results: Atomic snapshots

Photon energy 92 eV, FWHM = 10 fs



Ultrafast graphitization of diamond

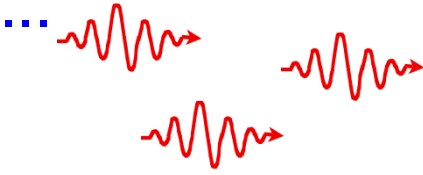
[N. Medvedev, H. Jeschke, B. Ziaja, NJP 15 (2013) 015016]

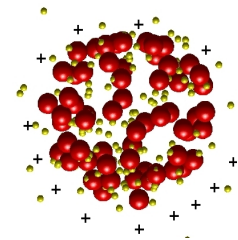
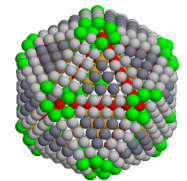
**Damage thresholds for various
X-ray photon energies in good
agreement with experiments!**

How to detect transition during experiment?

→ *time-resolved diagnostics of transitions*

Pump-probe experiments:

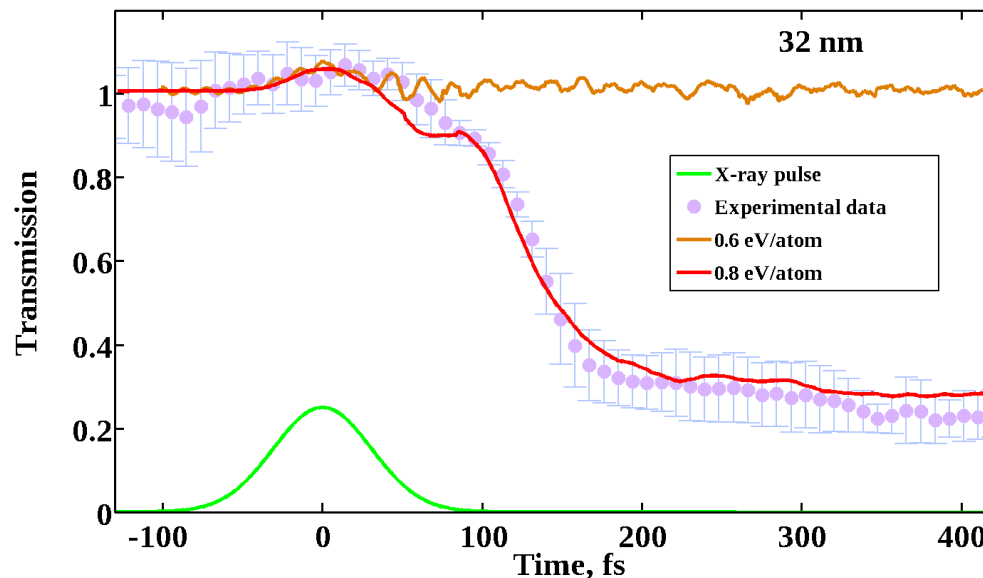
- pump pulse initiates transition ... 
- probe pulse probes it at varying time delay ...



Transient optical properties as diagnostics of electronic and structural transitions

Damage threshold

Example: First observation of time-resolved graphitization with transmission



Absorbed dose = 0.8 eV/atom
FEL pulse duration = 51 fs
FEL photon energy = 47.4 eV
Probe pulse duration = 32.8 fs
Probe wavelength = 630 nm
X-ray incidence angle = 20°

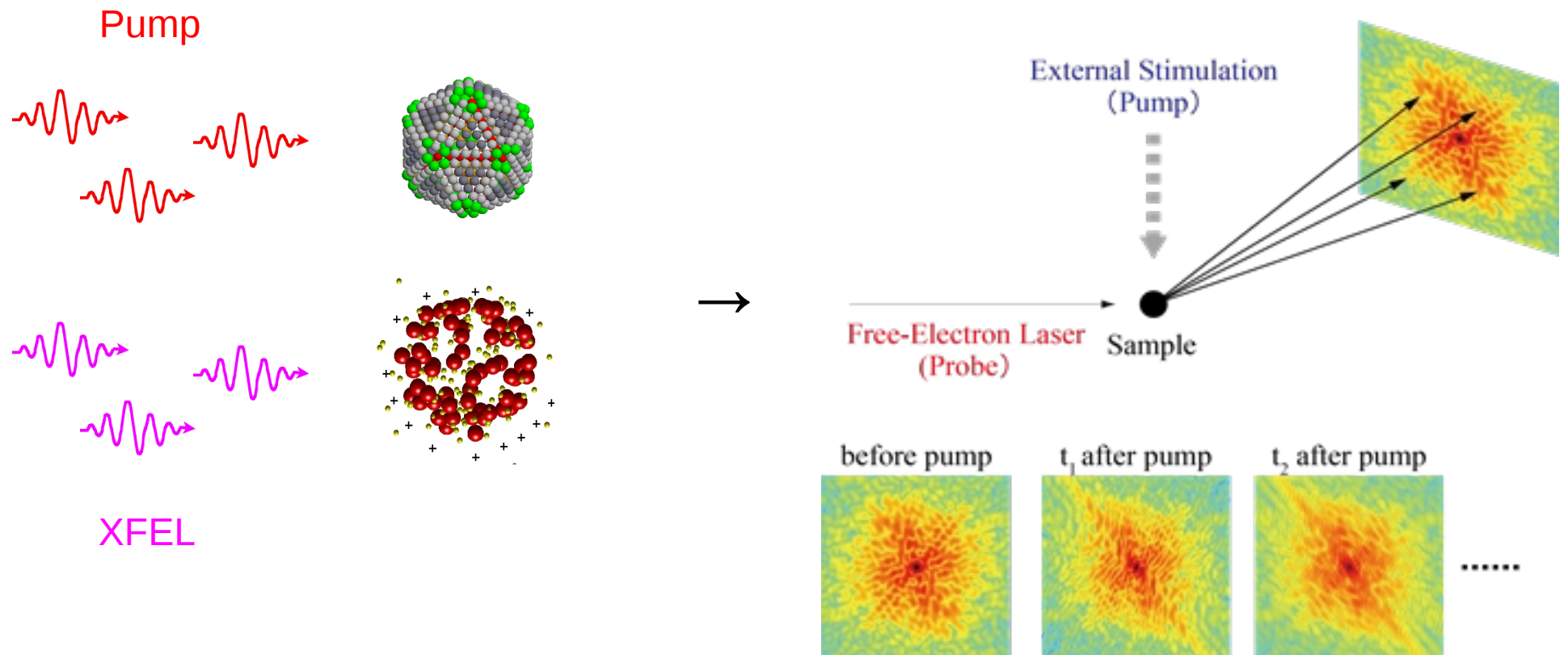
Experiment performed by **Sven Toleikis, Franz Tavella, Hauke Hoepfner, Mark Prandolini et al.** at FERMI facility

-- Characteristic drop of transmission is observed during the experiment on **fs** time-scale -- Evidence of phase transition within **~150 fs**.

-- Very good agreement with our theoretical predictions for the absorbed dose of 0.8 eV/atom (above graphitization threshold)

Melting threshold

X-ray diffraction imaging as diagnostics of structural transitions



[cxo-www.es.hokudai.ac.jp]



Example: Ultrafast bond breaking in X-ray-excited diamond

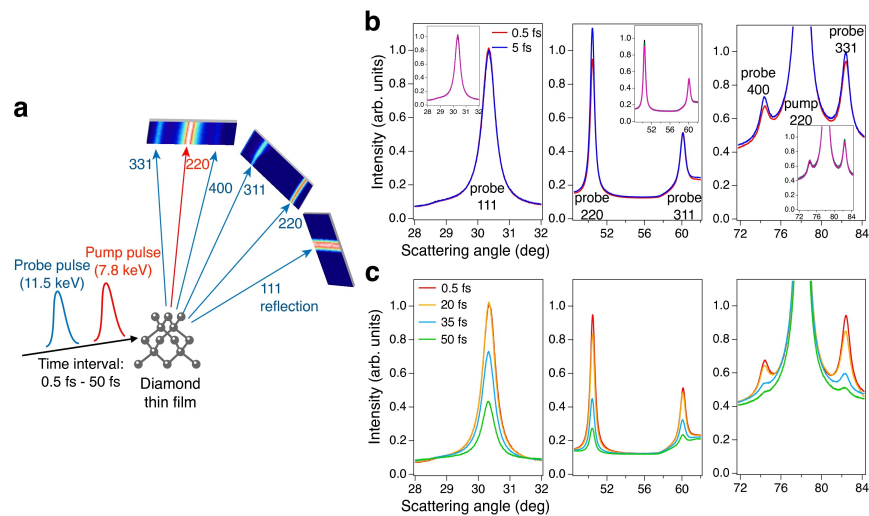
Structural damage fluence



Melting fluence



X-ray pump - x-ray probe experiment at SACLA:
measured Bragg reflections from diamond film



in various directions (111), (220),(400) etc.

Theory predictions with our in-house code XTANT

Inoue et al. [PRL 126, 117403 (2021)]

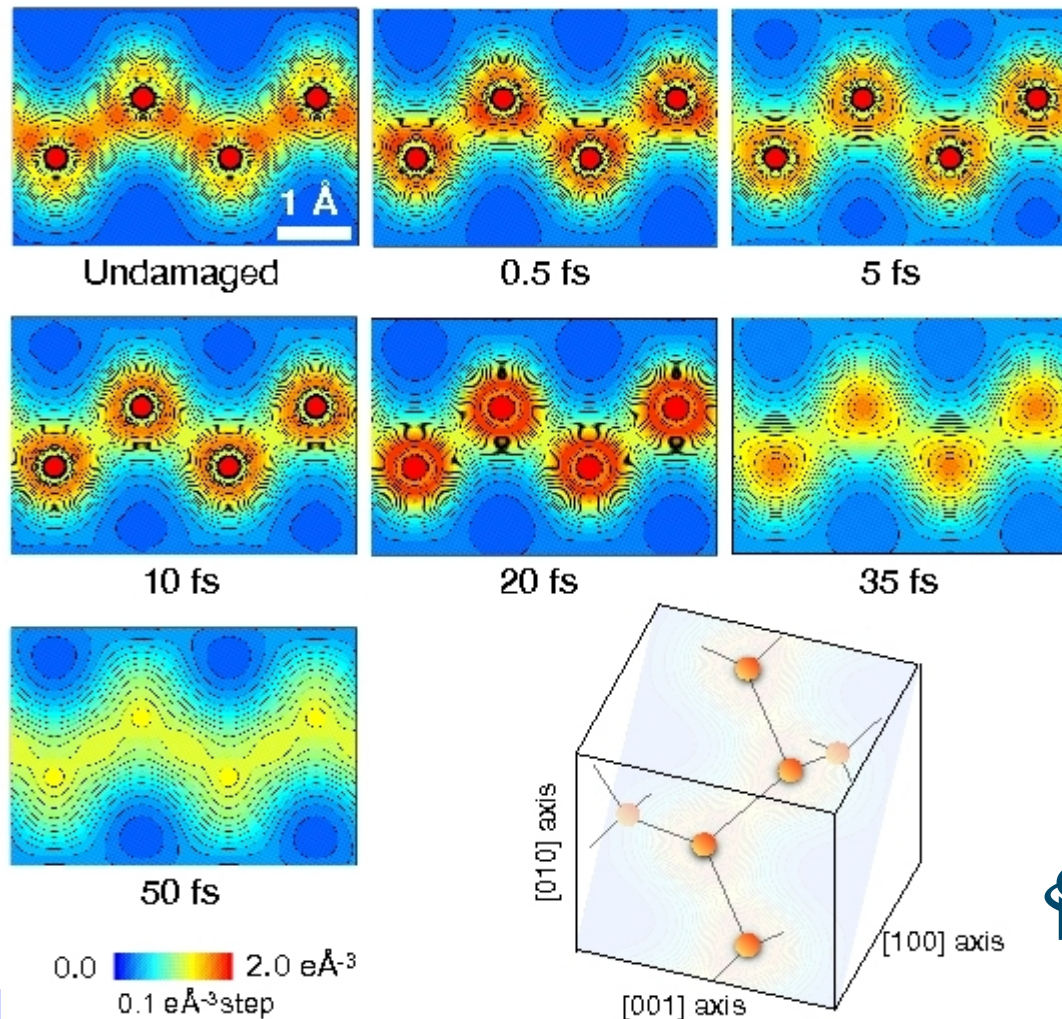
Example: Ultrafast bond breaking in X-ray-excited diamond

Structural damage fluence

X-ray pump - x-ray probe experiment at SACLA:
valence charge density distribution in (110) plane



Melting fluence



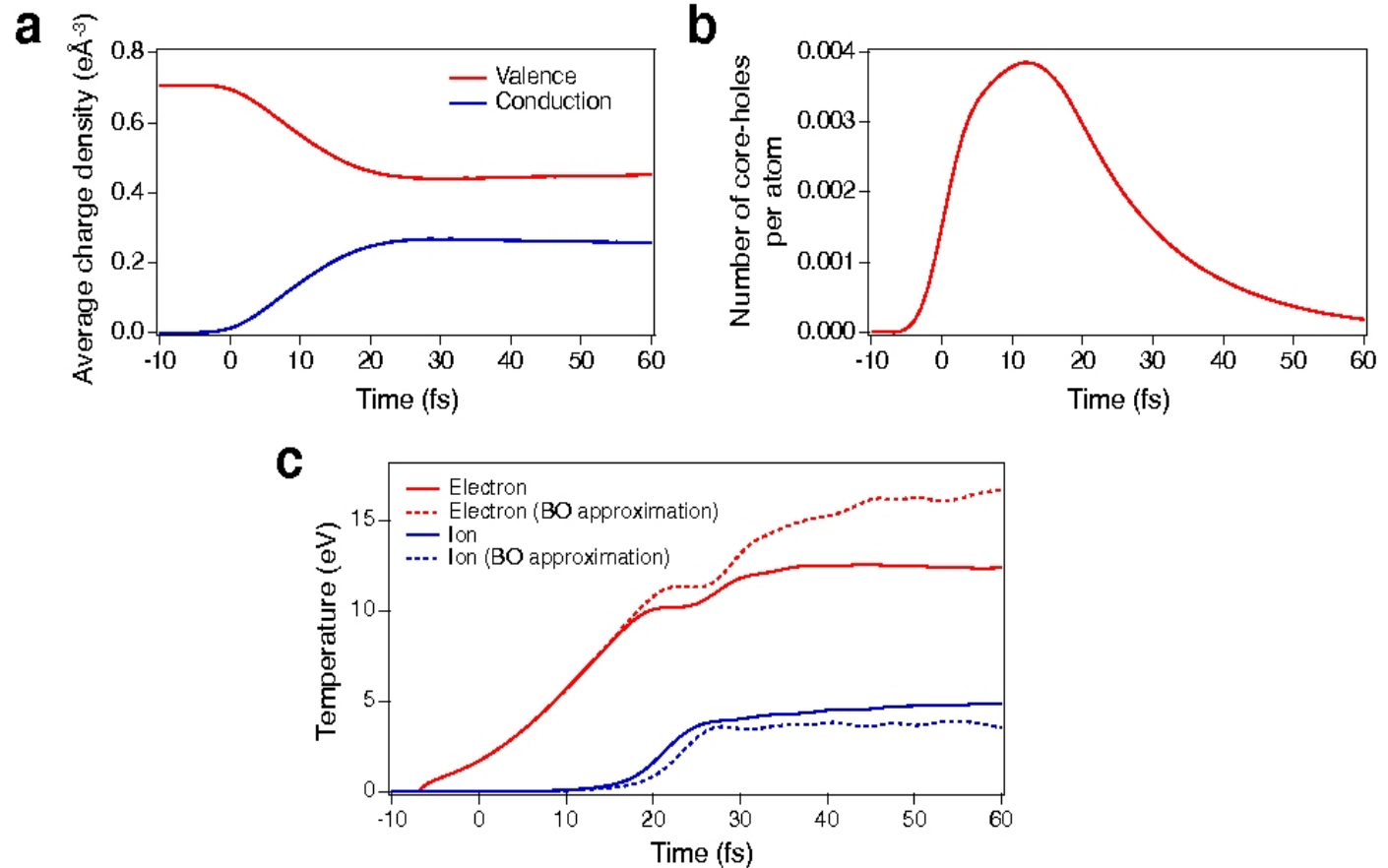
Example: Ultrafast bond breaking in X-ray-excited diamond

Structural damage fluence

X-ray pump - x-ray probe experiment at SACLA



Melting fluence



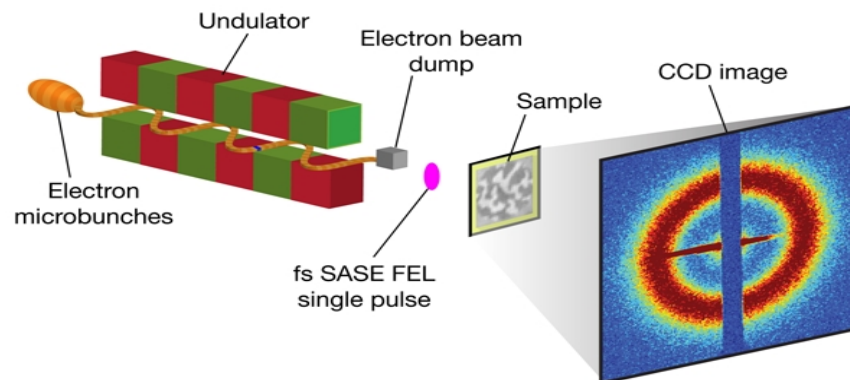
Theory predictions for (a) average charge density, (b) number of K-holes & (c) electron and ion temperature

→ **ultrafast non-thermal transformation**

Outline

1. **Transitions in matter triggered by X-rays**
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4. **X-ray induced magnetic transitions**
5. **Summary**

X-ray induced magnetic transitions



Collaborators for this part:

V. Tkachenko **K. Kapcia** **P. Piekarz** **A. Kobs** **L. Mueller** **S. Molodtsov** **A. Lichtenstein**

IFJ & EuXFEL
theory

AMU
theory

IFJ
theory

DESY
exp.

DESY
exp.

EuXFEL
exp.

EUXFEL/Hamburg U.
theory

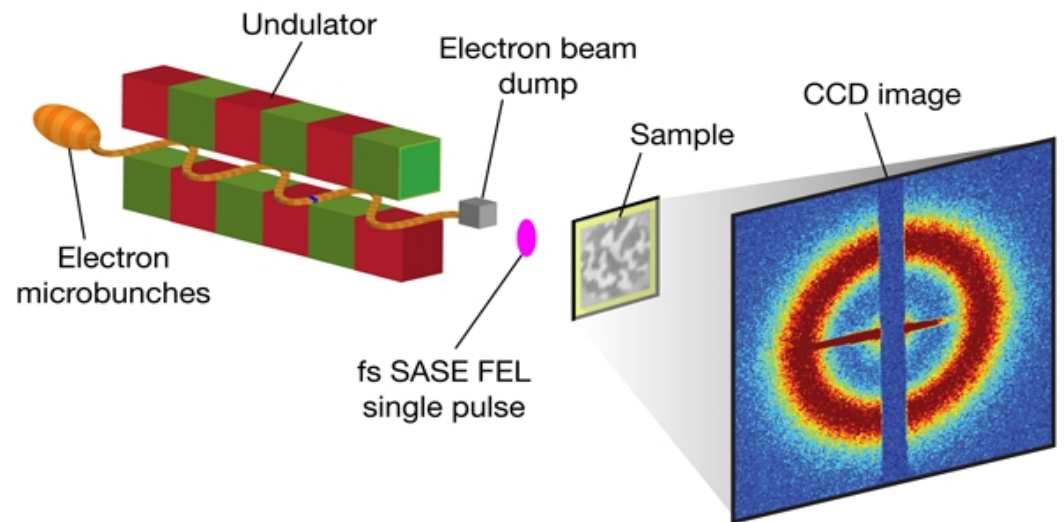


X-ray induced magnetic transitions at low X-ray fluences

Current project status

Experiment performed by A. Kobs, L. Mueller et al. at FERMI
on Co M-edge [PRL (2019) under revision]

- Co/Pt multilayer system irradiated with XUV pulses, 70 fs FWHM of 60 eV photons; mSAXS images recorded
- normalized scattering strength obtained as a function of pulse fluence
- scattering efficiency:
 $S(Q_{\text{peak}}) \sim \int I(t) m^2(t) dt$
where $I(t)$ pulse intensity and $m^2(t)$ magnetization



Preliminary theoretical predictions

- currently only in the first Co (0.8nm) layer
- various width Δ of the probed 3d band region tested ← finite width of 3p band

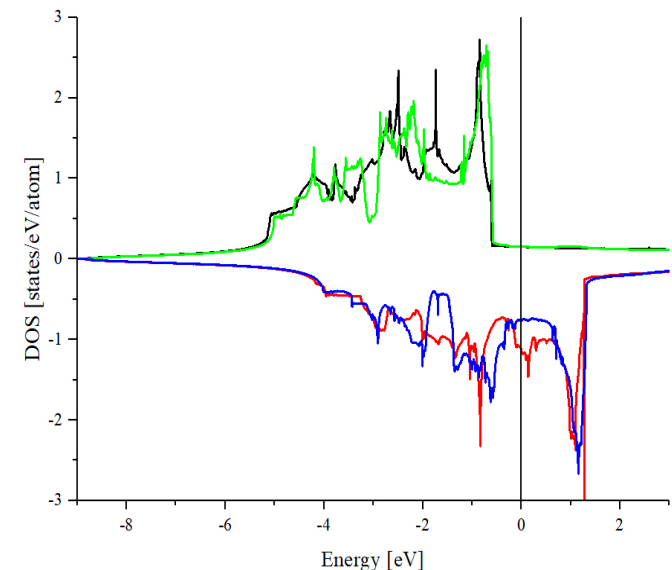
[Image courtesy A. Kimel <https://physics.aps.org/articles/v3/20>]

X-ray induced magnetic transitions at low X-ray fluences

Current project status

Dedicated code XSPIN:

- uses the description of X-ray irradiation and electronic damage from XTANT
- introduces two electronic distributions for **spin-up** and **spin-down** electrons; **spin is conserved** in individual electron transitions
- DOS from DFT (VASP) → **electronic energy levels**
- spin flips during the thermalization of total (**spin-up** + **spin-down**) electron distribution
- low-fluence assumption → **nuclei kept frozen** during the simulation



Comparison between DOS for fcc-Co (bulk lattice parameters, black, red lines) and hcp-Co (bulk lattice parameters, green, blue lines) [Image courtesy of K. Kapcia]

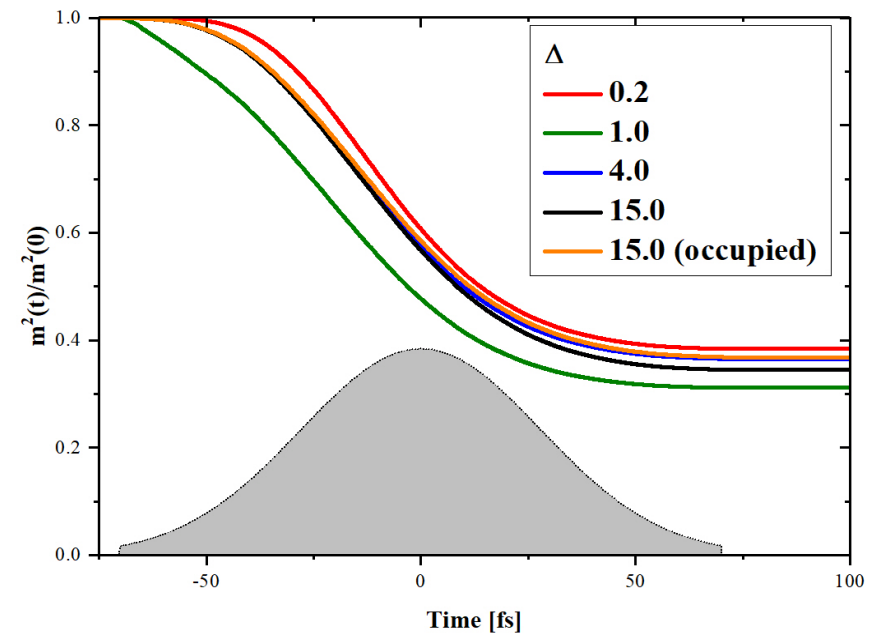
X-ray induced magnetic transitions at low X-ray fluences

Current project status

Preliminary predictions with code XSPIN for Co M-edge:

→ normalized magnetization as a function of time

→ scattering efficiency as a function of pulse fluence
will be compared to experimental data



Note: more processes are contributing to dose/fluence conversion in multilayer system!

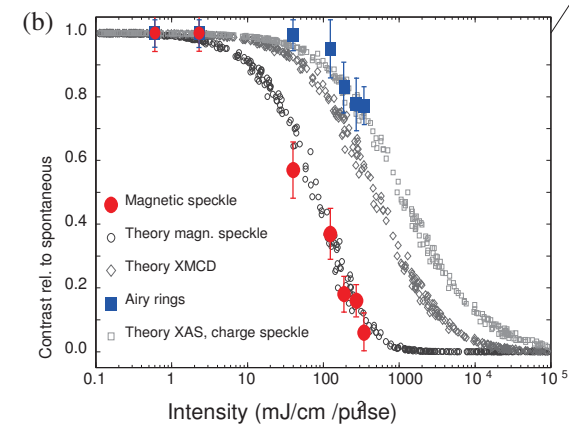
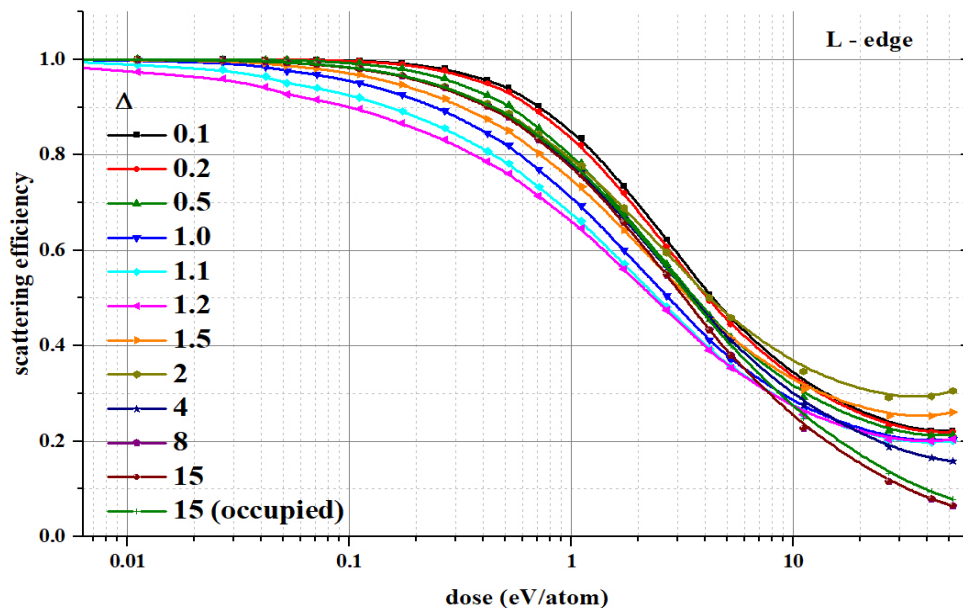
[Images courtesy of K. Kapcia & V Tkachenko]

X-ray induced magnetic transitions at low X-ray fluences

Current project status

Preliminary predictions with code XSPIN for Co L-edge:
Experiment by B. Wu et al. [PRL 117 (2016) 027401 for Co/Pd
multilayer system

→ scattering strength as a function of pulse fluence



[Image courtesy of B. Wu, PRL 117 (2016) 027401]

Note: more processes are contributing to dose/fluence conversion in multilayer system!

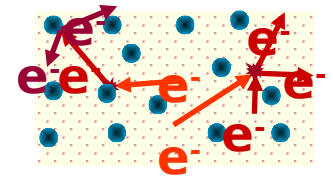
Further step - complete calculation of scattering efficiency for full multilayer system → on-going

Summary

Transitions in solids induced by X-ray radiation depend on material properties and pulse parameters:

-below damage threshold – non-equilibrium electron kinetics

→ XCASCADE



– magnetic transitions → XSPIN

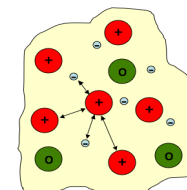
-below melting threshold – also rearrangement of atomic structure:

→ XTANT, XTANT+

-above melting threshold – amorphization; plasma, warm-dense matter formation → XTANT

Diagnostics of transitions:

- transient optical properties ← time-resolved



- X-ray diffraction ← time-resolved

Our computational tools to describe X-ray induced transitions in materials

<https://xm.cfel.de/>

- **XTANT**: a hybrid simulation tool to study X-ray induced electronic and structural transitions in solids
- **XCASCADE (3D)**: Monte Carlo tool to follow electron cascades induced by low intensity X-ray pulses
- **XSPIN**: a hybrid simulation tool to study X-ray induced magnetic transitions in solids



K. Kapcia



V. Lipp



N. Medvedev



V. Tkachenko



B. Ziaja



Thanking my theory collaborators!



Thanking our external collaborators...

[J. Gaudin](#) (CELIA, Bordeaux)

[H. Jeschke](#) (U. Frankfurt), [Z. Li](#) (LCLS), [P. Piekarczyk](#) (INP, Kraków)

[L. Juha](#), [M. Stransky](#) (FZU, Prague), [R. Sobierajski](#) (IF PAN, Warszawa)

[H.-K. Chung](#) (IAEA, Vienna), [R. W. Lee](#) (LBNL, Berkley)

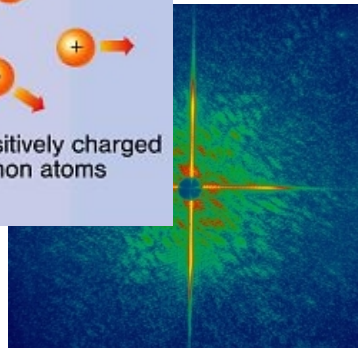
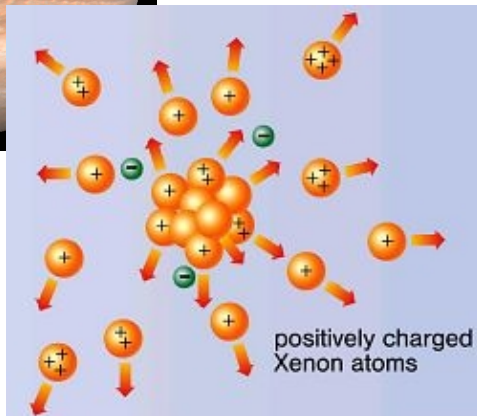
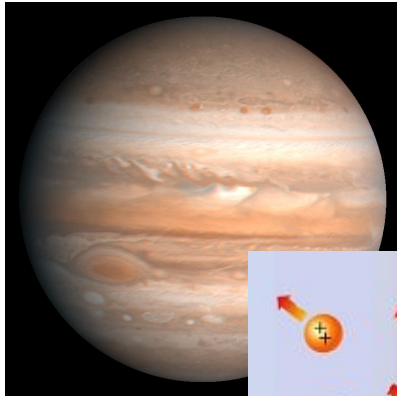
[M. Harmand](#) (LULI,CNRS), [M. Cammarata](#) (U. Rennes)

[A. Ng](#) (U. British Columbia), [Z.Chen](#), [Y.Y. Tsui](#) (U. Alberta), [V. Recoules](#) (CEA, DAM)

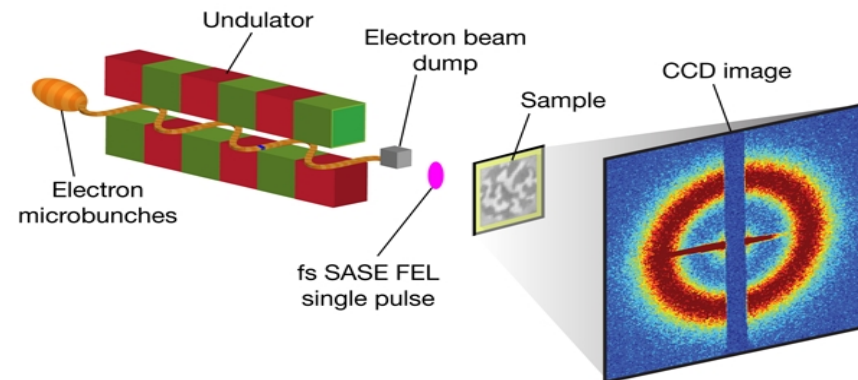
[F. Tavella](#) (LCLS), [U. Teubner](#) (U. Oldenburg) and [FERMI team](#)

[S. Toleikis](#), [H. Hoepfner](#), [M. Prandolini](#), [T. Takanori](#) (DESY)

and ...



Thanking
European XFEL and IFJ PAN
for
XSPIN project support



[Image courtesy A. Kimel <https://physics.aps.org/articles/v3/20>]

Thank you for your attention!



CFEL-XM Group

Check also our website: <https://xm.cfel.de>

Example: X-ray induced ultrafast melting of silicon crystals

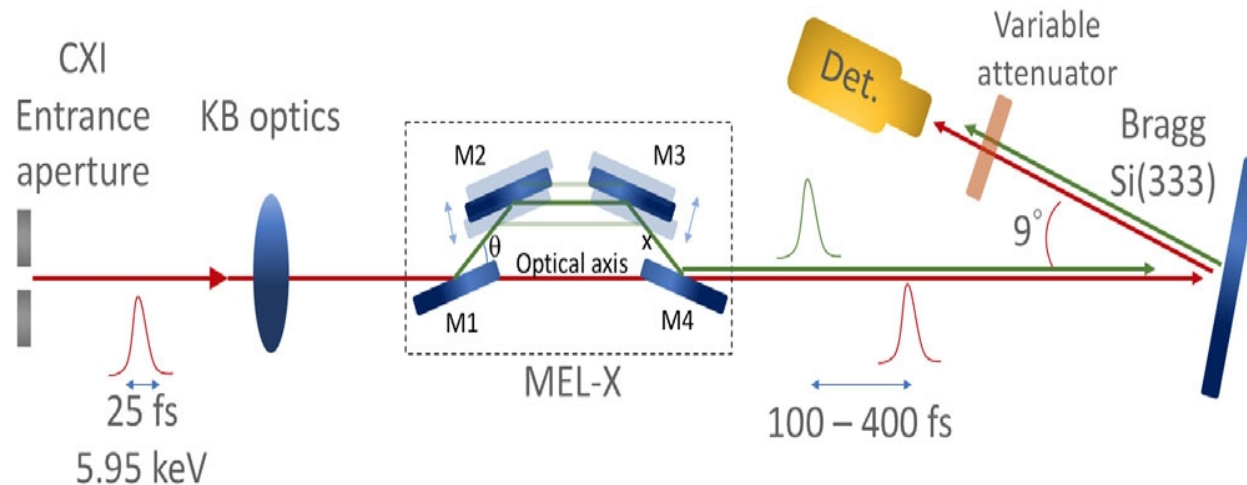
Damage threshold



Melting threshold



Experiment by Pardini et al. at LCLS [PRL 120 (2018) 265701]
single silicon crystal irradiated and probed with hard X-ray pulse;
Bragg reflection Si(333) recorded; 1-2 μm^2 focusing



Example: X-ray induced ultrafast melting of silicon crystals

Damage threshold



Melting threshold



Predictions with XTANT by Tkachenko et al.

Spatially non-uniform X-ray pulse excites various transitions in Si crystal, depending on the distance from beam focus. Results for 100 %, 50 % and 10 % of nominal fluence are shown →

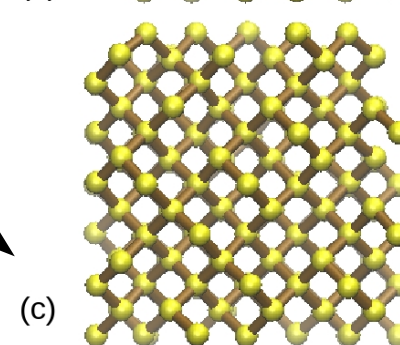
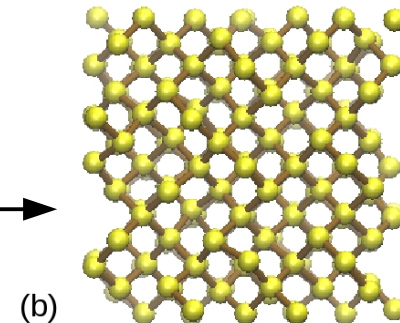
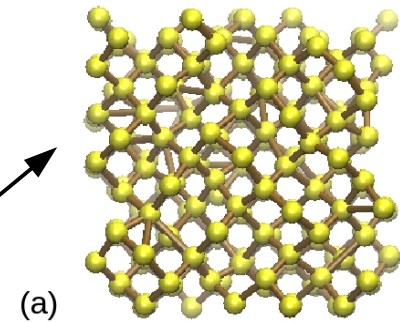
(a) ultrafast non-thermal melting

(b) thermal melting

(c) no structural changes

observed

→ diffracted signal has to be VOLUME INTEGRATED



t=500 fs



Example: X-ray induced ultrafast melting of silicon crystals

Damage threshold



Melting threshold



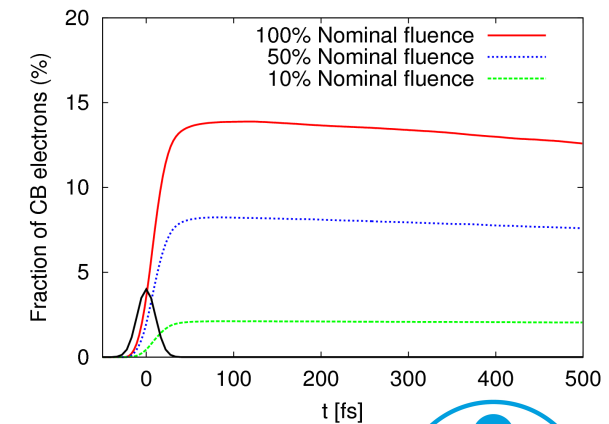
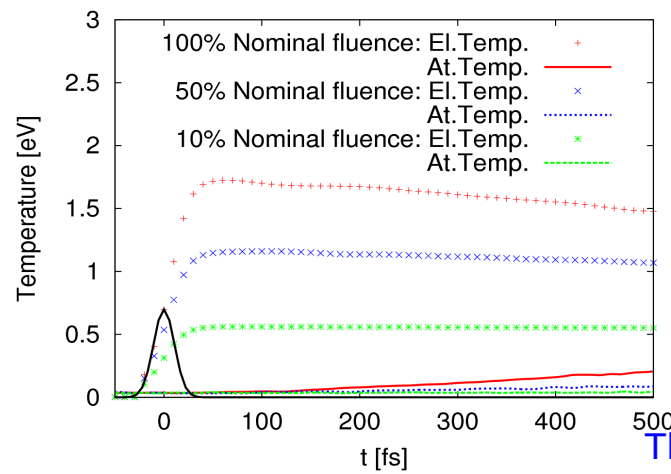
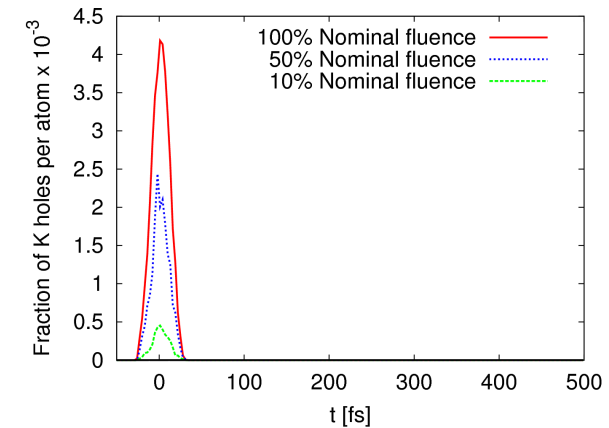
Predictions with XTANT by Tkachenko et al.

Details on irradiation dynamics depending on the incoming X-ray fluence:

(a) K-hole fraction vs. time

(b) CB electron fraction vs. time

(c) Electron and ion temperature vs time



Example: X-ray induced ultrafast melting of silicon crystals

Damage threshold

Predictions with XTANT by Tkachenko et al.

Predicted signal has also to be volume integrated. Integration performed with the code XSINC [M. Abdullah, Z. Jurek, R. Santra, J. Appl. Cryst. 49 (2016) 1048]



M. Abdullah
DESY



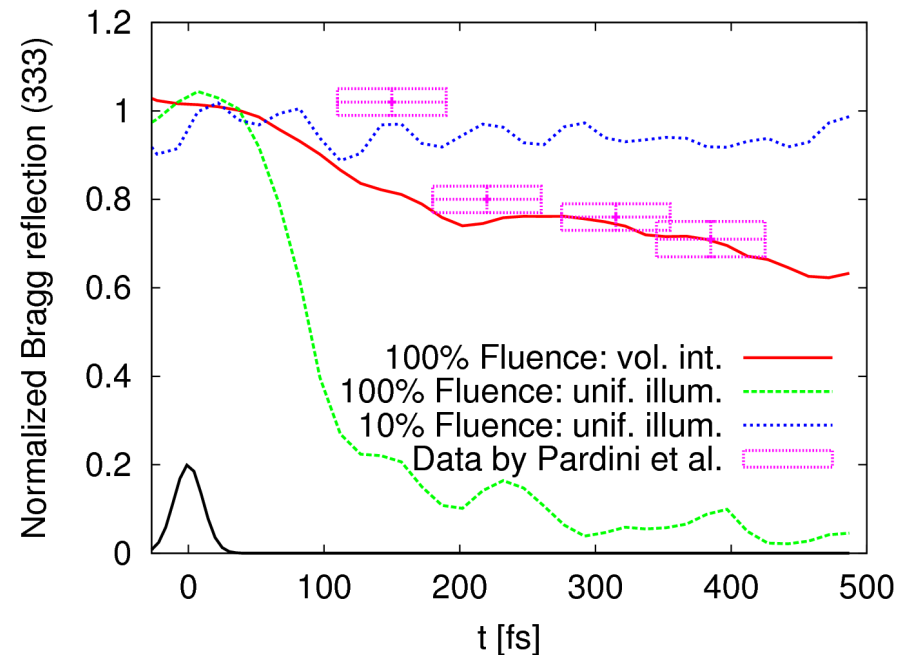
Z. Jurek
CFEL/DESY



M. Makita
EuXFEL

Melting threshold

→ very good agreement with experiment (note that the **data point at 150 fs** was excluded in the recent Erratum to exp. paper)



[Pardini et al. PRL 120 (2018) 265701, Erratum PRL 124 (2020) 129903 (E)]

[Tkachenko et al., Appl. Sci. 11, 5157 (2021)]

Example: X-ray induced ultrafast melting of silicon crystals

Damage threshold

Predictions with XTANT by Tkachenko et al.

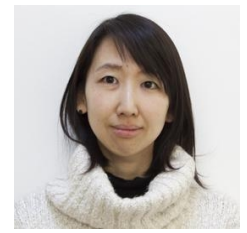
Predicted signal has also to be volume integrated. Integration

Sample was non-uniformly illuminated. X rays induced different processes in various crystal regions.

Volume integration of the predicted signal was necessary for the correct interpretation of experimental results.



urek
EL/DESY



M. Makita
EuXFEL

Melting threshold

[Pardini et al. PRL 120 (2018) 265701, Erratum PRL 124 (2020) 129903 (E)]

[Tkachenko et al., Appl. Sci. 11, 5157 (2021)]

