

# Particle Physics for Specialists

## Electron–Proton Scattering

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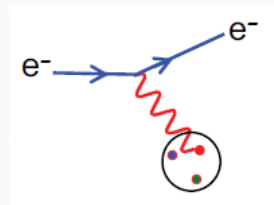
# Introduction

## Organizational matters

- 3x45 minutes for two topics
- split into two lectures
- today:  $ep$  scattering
- next week: forward physics

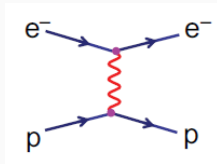
## Motivation for interest in $ep$ scattering

- proton is a composite particle
- probing proton structure a small probe
- photon resolution  $\sim 1 / \text{virtuality}$



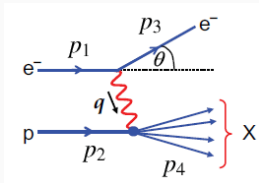
# Types of $ep$ scattering

## Elastic $ep$ scattering



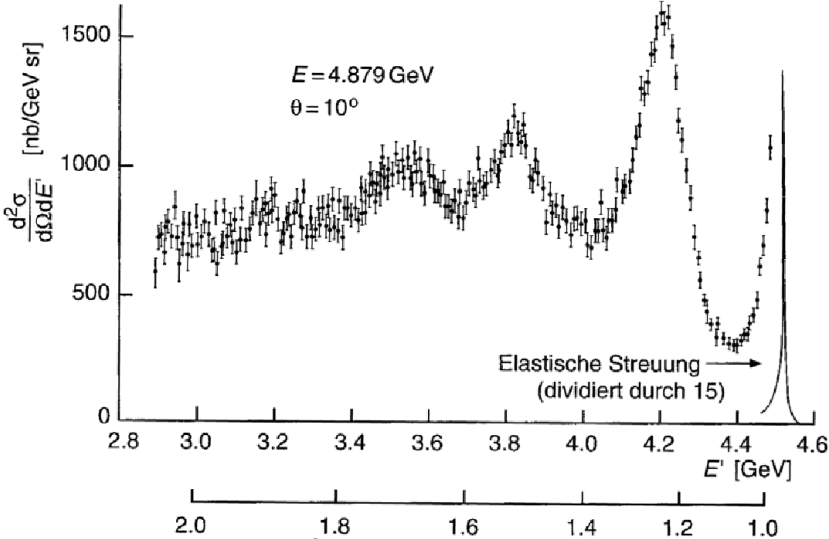
- 4 particles in the process:  
16 4-momentum components
- 3 DoF: boost of the reference frame
- 2 DoF: orientation of the ref. frame
- 4 constraints from fixed masses
- 4 constraints from  $(E, \vec{p})$  conserv.
- 3 remaining DoF, for example:
  - centre-of-mass energy
  - azimuthal scattering angle – trivial distribution (when no polarization)
  - **polar scattering angle**

## Inelastic $ep$ scattering



- Proton brakes up into state  $X$
- $M_X > M_p$  (baryon conservation)
- *Inclusive* analysis – state  $X$  only described by its invariant mass, the exact composition is not taken into account
- Then, one more DoF than in the elastic  $ep$  scattering
- Considering composition of the  $X$  state not covered in this lecture

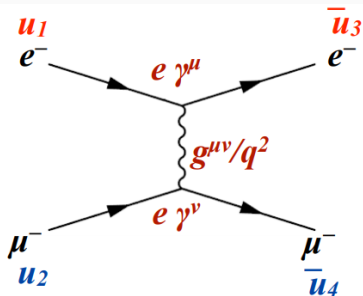
# Elastic and inelastic scattering



Elastic scattering

Inelastic scattering

# Electron–muon scattering



$$\mathcal{M} = e^2 \frac{g^{\mu\nu}}{q^2} J_{13}^\mu J_{24}^\nu$$

Vertex Couplings

$$\mathcal{M} = e^2 \frac{g^{\mu\nu}}{q^2} (\bar{u}_3 \gamma^\mu u_1) (\bar{u}_4 \gamma^\nu u_2)$$

Photon propagator, Electron current, Muon current

$$|\mathcal{M}|^2 = \frac{e^4}{q^4} \frac{1}{(2S_1 + 1)(2S_2 + 1)} \sum_{S_3, S_4} (\bar{u}_3 \gamma^\mu u_1) (\bar{u}_3 \gamma^\nu u_1)^* (\bar{u}_4 \gamma^\mu u_2) (\bar{u}_4 \gamma^\nu u_2)^*$$

## Electron–muon scattering

$$\begin{aligned} |\mathcal{M}|^2 &= \frac{e^4}{q^4} \frac{1}{(2S_1 + 1)(2S_2 + 1)} \sum_{S_3, S_4} (\bar{u}_3 \gamma^\mu u_1) (\bar{u}_3 \gamma^\nu u_1)^* (\bar{u}_4 \gamma^\mu u_2) (\bar{u}_4 \gamma^\nu u_2)^* \\ &= \frac{e^4}{q^4} \left( \frac{1}{(2S_1 + 1)} \sum_{S_3} (\bar{u}_3 \gamma^\mu u_1) (\bar{u}_3 \gamma^\nu u_1)^* \right) \left( \frac{1}{(2S_2 + 2)} \sum_{S_4} (\bar{u}_4 \gamma^\mu u_2) (\bar{u}_4 \gamma^\nu u_2)^* \right) \\ &= \frac{e^4}{q^4} L_e L_\mu \end{aligned}$$

$$\begin{aligned} L_e &= \frac{1}{(2S_1 + 1)} \sum_{S_3} (\bar{u}_3 \gamma^\mu u_1) (\bar{u}_3 \gamma^\nu u_1)^* \\ &= 2 [p_3^\mu p_1^\nu + p_3^\nu p_1^\mu - (p_3 \cdot p_1 - m_e^2) g^{\mu\nu}] \end{aligned}$$

$$L_\mu = 2 [p_4^\mu p_2^\nu + p_4^\nu p_2^\mu - (p_4 \cdot p_2 - m_\mu^2) g^{\mu\nu}]$$

## Rutherford scattering

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_K \sin^4 \Theta/2}$$

- Low energy approximation ( $E_K \ll m_e$ )
- $E_K$  – electron kinetic energy (low energy )
- $\Theta$  – scattering angle in the lab frame

## Mott scattering

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E \sin^4 \Theta/2} \cos^2 \frac{\Theta}{2}$$

- High energy approximation ( $m_e \approx 0$ )
- $E$  – electron energy (at high energy, equivalent to  $E_K$ )
- $\cos^2 \Theta/2$  factor related to electron helicity

In both formulae:

- proton is treated as a point-like spin-half particle
- proton recoil is neglected



## Form factors

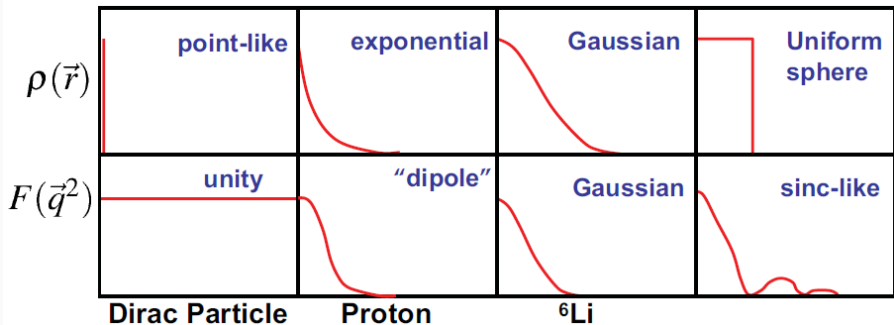
For an extended charge distribution:

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \rightarrow \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} |F(\vec{q})|^2$$

- $\vec{q}$  – momentum of the virtual photon, related to electron:  $q^2 = -4p^2 \sin^2 \Theta/2$
- $F(\vec{q})$  – form factor, Fourier transform of the charge distribution

$$F(\vec{q}) = \int \rho(\vec{r}) \exp(i\vec{q} \cdot \vec{r}) d^3\vec{r}$$

- $\rho(\vec{r})$  – charge distribution (normalised to unity:  $\int \rho(\vec{r}) d^3\vec{r} = 1$ )



## Mott formula

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E \sin^4 \Theta/2} \cos^2 \frac{\Theta}{2}$$

## Taking into account proton recoil

$$\frac{d\sigma}{d\Omega} = \underbrace{\frac{\alpha^2}{4E_1 \sin^4 \Theta/2}}_{\text{Mott}} \frac{E_3}{E_1} \left( \underbrace{\cos^2 \frac{\Theta}{2}}_{\text{Mott}} - \frac{q^2}{2M^2} \sin^2 \frac{\Theta}{2} \right)$$

- $\frac{E_3}{E_1}$  factor related to recoil
- $\frac{q^2}{2M^2} \sin^2 \frac{\Theta}{2}$  term due to spin-spin interaction

## Point-like proton

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \Theta/2} \frac{E_3}{E_1} \left( \cos^2 \frac{\Theta}{2} + 2\tau \sin^2 \frac{\Theta}{2} \right), \quad \tau = -q^2/4M^2$$

## Finite-size proton

- In Feynman diagram calculation, proton vertex:

$$\gamma^\mu \rightarrow F_1(q^2)\gamma^\mu + \frac{\kappa}{2M}F_2(q^2)i\sigma^{\mu\nu}q_\nu$$

- Rosenbluth formula

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \Theta/2} \frac{E_3}{E_1} \left( \frac{G_E^2 + \tau G_M^2}{1 + \tau} \cos^2 \frac{\Theta}{2} - 2\tau G_M^2 \sin^2 \frac{\Theta}{2} \right)$$

$$G_E = F_1 + \frac{\kappa q^2}{4M^2}F_2 \quad G_M = F_1 + \kappa F_2$$

- $G_E$  and  $G_M$  can be interpreted as Fourier transform of charge and magnetic moment distributions *only* for very small scattering angles
- $G_E(0) = 1$ ,  $G_M(0) = 2.79$
- Only one (relevant) DoF describing the final state:  $\Theta$ ,  $E_3$  and  $q^2$  are dependent

## Experimental result

- Proton well described by a dipole form factor

$$G_E = \frac{1}{(1 + q^2/0.71 \text{ GeV}^2)^2}$$

$$G_M = 2.79G_E$$

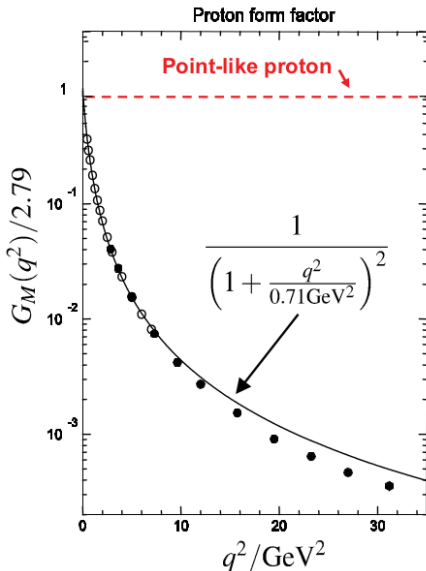
- Corresponds to exponential charge distribution

$$\rho(\vec{r}) = \rho_0 e^{-r/a}$$

with  $a = 0.24 \text{ fm}$

- This corresponds to proton radius

$$\langle r \rangle = 0.8 \text{ fm}$$



Elastic scattering

Inelastic scattering

# Kinematics

- Photon virtuality

$$Q^2 = -q^2$$

- Bjorken  $x$

$$x = \frac{Q^2}{2p_2 \cdot q}$$

- Invariant mass of  $X$ :

$$W = M_X$$

- Electron energy loss

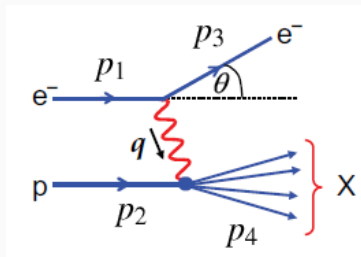
$$\nu = \frac{p_2 \cdot q}{m_p}$$

$$\nu = E_1 - E_3 \text{ (in the proton rest frame)}$$

- Inelasticity

$$y = \frac{p_2 \cdot q}{p_2 \cdot p_1}$$

$$y = \frac{\nu}{E_1} \text{ (in the proton rest frame)}$$



# Structure functions

- Elastic scattering

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \Theta/2} \frac{E_3}{E_1} \left( \frac{G_E^2 + \tau G_M^2}{1 + \tau} \cos^2 \frac{\Theta}{2} - 2\tau G_M^2 \sin^2 \frac{\Theta}{2} \right)$$

$G_E$  and  $G_M$  are functions of  $q^2$

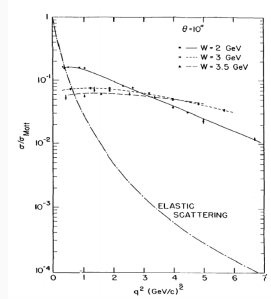
- Inelastic scattering

$$\frac{d\sigma}{dE_3 d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \Theta/2} \frac{E_3}{E_1} \left( W_2(\nu, q^2) \cos^2 \frac{\Theta}{2} - 2W_1(\nu, q^2) \sin^2 \frac{\Theta}{2} \right)$$

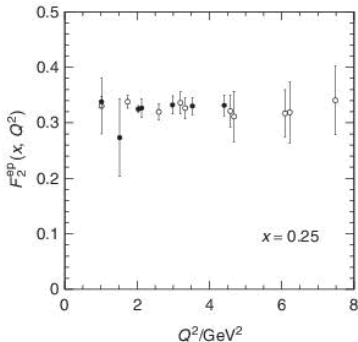
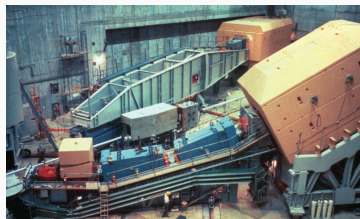
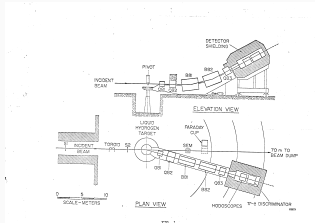
- Equivalent formulation in the limit of high  $Q^2$ :

$$\frac{d\sigma}{dQ^2 dx} = \frac{4\pi\alpha^2}{Q^4} \left[ \frac{1-y}{x} F_2(x, Q^2) + y^2 F_1(x, Q^2) \right]$$

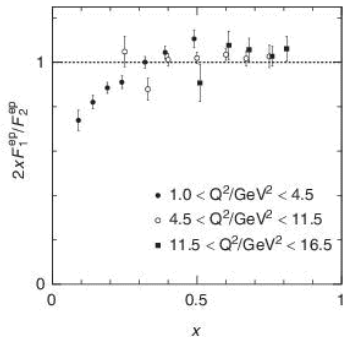
- At high  $Q^2$ , inelastic scattering dominates



# Experimental measurements at SLAC



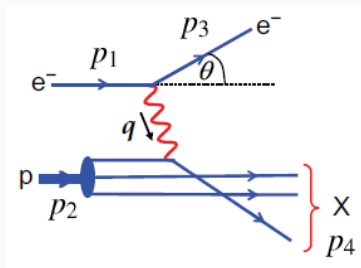
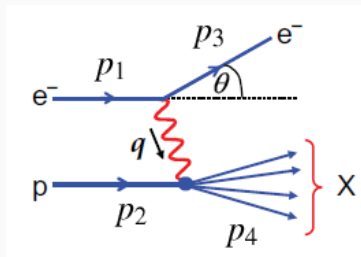
Bjorken scaling



Callan-Gross relation



# Quark-Parton Model



Electron-quark scattering:

- quark momentum before scattering:  $p_{\text{quark}}$
- quark momentum after scattering:  $p'_{\text{quark}} = p_{\text{quark}} + q$
- negligible quark mass and no breaking up:  $p_{\text{quark}}^2 = p'^2_{\text{quark}} = m_{\text{quark}}^2 \approx 0$

$$(p_{\text{quark}} + q)^2 = 0 \quad \rightarrow \quad Q^2 = 2 p_{\text{quark}} \cdot q$$

$$x = \frac{Q^2}{2 p_2 \cdot q} \quad \rightarrow \quad p_{\text{quark}} = x p_2$$

- $x$  is the fraction of proton momentum carried by the quark  
(in a frame where the proton is very fast)

## Parton Distribution Functions

- electron–quark scattering:

$$\frac{d\sigma_{eq}}{dQ^2} = \frac{2\pi\alpha^2 e_q^2}{Q^4} [1 + (1 - y)^2]$$

- $q(x)$  – probability density of scattering off a given quark flavour, then

$$\frac{d\sigma_{ep}}{dQ^2 dx} = q(x) \frac{d\sigma_{eq}}{dQ^2}$$

- Comparing with

$$\frac{d\sigma_{eq}}{dQ^2 dx} = \frac{4\pi\alpha^2}{Q^4} \left[ \frac{1-y}{x} F_2(x, Q^2) + y^2 F_1(x, Q^2) \right]$$

- Structure function in terms of parton distributions

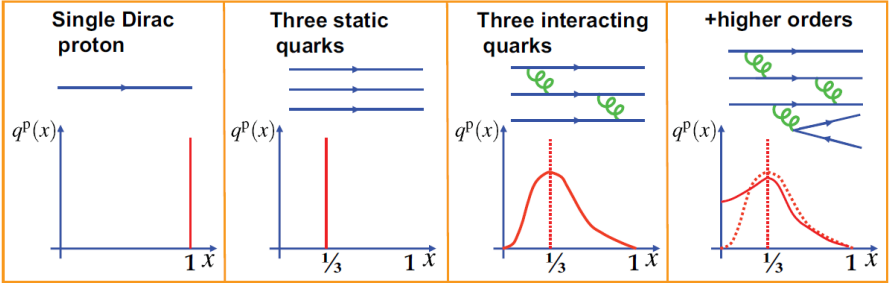
$$F_1(x, Q^2) = \frac{1}{2} \sum_q e_q^2 q(x)$$

$$F_2(x, Q^2) = 2x F_1(x, Q^2)$$

For example, including only  $u$  and  $d$  quarks:

$$\sum_q e_q^2 q(x) = \frac{4}{9} [u(x) + \bar{u}(x)] + \frac{1}{9} [d(x) + \bar{d}(x)]$$

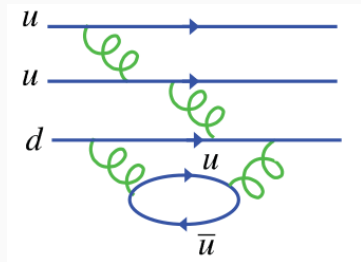
# Parton Distribution Functions



# Valence quarks, see quarks, gluons

## Valence and see quarks

- proton =  $uud$  ← valence quarks
- see quarks – quantum fluctuations  
eg.  $g \rightarrow q\bar{q}$
- $u(x) = u_v(x) + u_s(x)$ ,  $\bar{u}(x) = \bar{u}_s(x)$
- $d(x) = d_v(x) + d_s(x)$ ,  $\bar{d}(x) = \bar{d}_s(x)$
- similar masses of  $u$  and  $d$ :  $u_s(x) = d_s(x)$

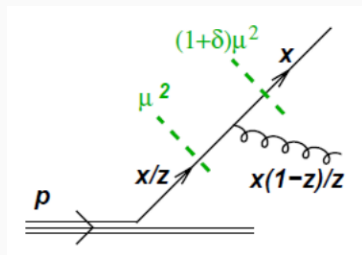


## Momentum fraction

- $q(x)$  – quark density
- $xq(x)$  – momentum density
- $\int xq(x)dx = f_q$  – proton momentum fraction carried by  $q$
- $F_2 = \sum_q x e_q^2 q(x) \rightarrow \int F_2(Q^2, x)dx = \frac{4}{9}f_u + \frac{1}{9}f_d$
- neutron:  $u \leftrightarrow d \rightarrow \int F_2^{\text{neutron}}(Q^2, x)dx = \frac{4}{9}f_d + \frac{1}{9}f_u$
- $f_u \approx 0.36$ ,  $f_d \approx 0.018$
- $\sim 50\%$  of proton momentum carried by neutral partons (gluons)

## DGLAP – evolution of PDFs

- DGLAP = Dokshitzer, Gribov, Lipatov, Altarelli, Parisi
- Ambiguity in splitting between proton structure and quark–electron process
- But  $F_2$  is well defined and measurable
- Renormalization group equations



- Simplified case ( $P_{qq}(z)$  – splitting function):

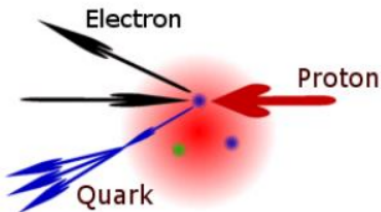
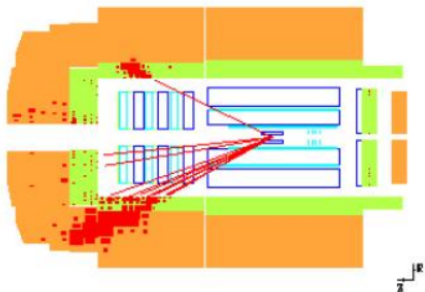
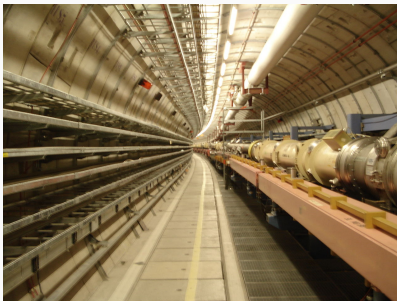
$$\frac{dq(x, Q^2)}{d \log Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 q(x, Q^2) P_{qq} \left( \frac{x}{y} \right) \frac{1}{y} dy$$

- In reality, also gluons are involved:

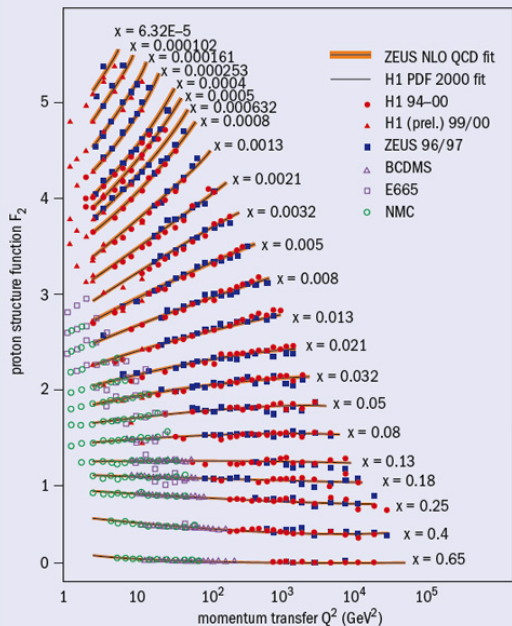
$$\frac{d}{d \log Q^2} \begin{pmatrix} q \\ g \end{pmatrix} = \begin{pmatrix} P_{qq} & P_{gq} \\ P_{qg} & P_{gg} \end{pmatrix} \otimes \begin{pmatrix} q \\ g \end{pmatrix}$$

- DGLAP  $\rightarrow$  evolution of PDFs in  $Q^2$  predicted by QCD

# HERA Accelerator at DESY

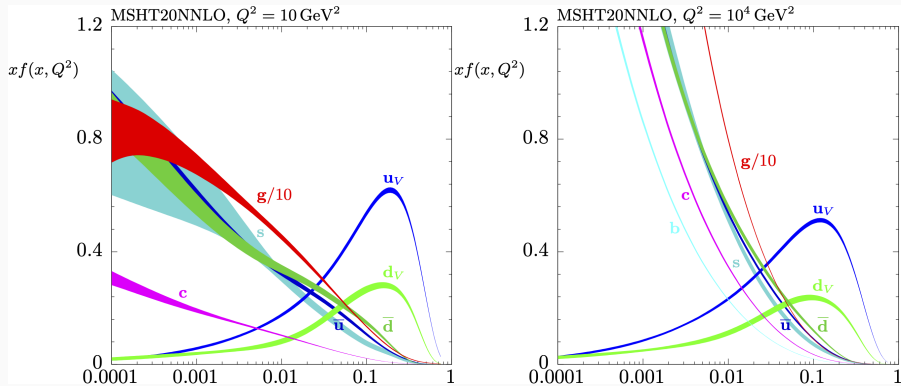


# $F_2$ measurement at HERA



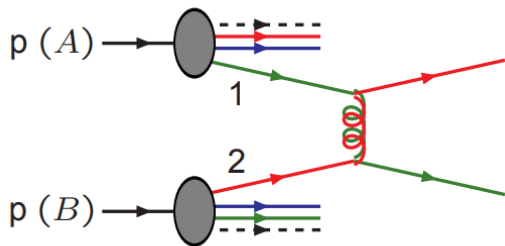
# Global PDF fits

- Assume functional form of the  $x$  dependence of PDFs at a fixed  $Q_0$
- A simplistic example:  $u(x, Q_0^2 = 1 \text{ GeV}^2) = A \cdot x^B \cdot (1 - x)^C$
- Evolve PDFs to the needed  $Q^2$  using DGLAP
- Compute the observable and compare with the experimental measurement
- Build a global  $\chi^2$  function, find parameters  $(A, B, C, \dots)$  minimising the  $\chi^2$





# Proton-proton collisions



$$s = (p_A + p_B)^2$$

$$x_1 \approx E_1/E_A$$

$$x_2 \approx E_2/E_B$$

$$\hat{s} = x_1 x_2 s$$

$$\sigma = \sum_{i,j} \iiint dx_1 dx_2 d\hat{t} f_i^{(A)}(x_1, Q^2) f_j^{(B)}(x_2, Q^2) \frac{d\hat{\sigma}_{ij}}{d\hat{t}}$$

## Related topics, not covered in this lecture

- NLO, NNLO
- higher twist effects
- BFKL
- saturation
- Other QCD factorisations
- More general parton distributions: GPD, TMD, ...
- Spin-dependent proton structure
- Nuclear PDFs
- Multi-parton structure
- PDFs from lattice

## Acknowledgements

This lecture was inspired by:

- Halzen and Martin *Quarks and leptons*
- Prof. Mark Thomson's lectures (available online)