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# Heavy flavour physics

## Lecture 1

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IFJ PAN, Kraków  
26 November 2020

# Contents

## **Lecture 1**

- What is flavour physics
- Brief historical reminder
- CKM mechanism
- SM flavour sector

# What is flavour physics?

| Fermions<br>("matter")  | Bosons<br>("forces")   |
|---|--|
| $  \left\{ \begin{array}{l}  \text{Quarks} \\  uuu \quad ccc \quad ttt \\  ddd \quad sss \quad bbb \\  \\  \text{Leptons} \\  e \quad \mu \quad \tau \\  \nu_e \quad \nu_\mu \quad \nu_\tau  \end{array} \right\} \times \left\{ \begin{array}{l}  \text{MATTER} \\  \text{ANTIMATTER}  \end{array} \right\}  $ | $  \begin{array}{l}  gggggggg \\  \gamma \\  W^+ \\  W^- \\  Z \\  \\  H  \end{array}  $ |

## Flavour physics:

- transitions between different kinds of quarks
- its all about weak interactions...
- strong interactions as a "background"

# Parameters of the Standard Model

- 3 gauge couplings:  $\alpha_{EM}$ ,  $\alpha_{weak}$ ,  $\alpha_{strong}$
- 2 Higgs parameters:  $v$ ,  $m_H$

- 6 quark masses:
- 3 quark mixing angles + 1 phase (*CKM matrix*)
- 3 (+3) lepton masses
- (3 lepton mixing angles + 1 phase) (*PMNS matrix*)

( ) = with Dirac neutrino masses

# Open questions in flavour physics

- Why are there so many different fermions?
- What is responsible for their organisation into generations / families?
- Why are there 3 generations / families each of quarks and leptons?
- Why are there flavour symmetries?
- What breaks the flavour symmetries?
- What causes matter–antimatter asymmetry?

# Flavour physics issues

## Families / generations

3 pairs of quarks (are we sure?)

3 pairs of leptons (are we sure?)

## Hierarchies

$m(t) > m(c) > m(u)$        $m(b) > m(s) > m(d)$

$m(\tau) > m(\mu) > m(e)$        $m(\nu_\tau) > m(\nu_\mu) > m(\nu_e) ?$

## Mixings & couplings

hierarchy in quark mixings

what about lepton mixings?

# Flavour physics issues

## **Mixings & couplings**

universality

(no) flavour changing neutral currents (at tree level in the Standard Model)

## **Symmetry principles & their violation**

P violation / C violation

CP violation / T violation

baryon asymmetry of the universe

lepton flavour violation

## **Unification**

# Why is heavy flavour physics interesting?

- Hope to learn something about the mysteries of the flavour structure of the Standard Model
- CP violation and its connection to the matter–antimatter asymmetry of the Universe
- Discovery potential far beyond the energy frontier via searches for rare or SM forbidden processes

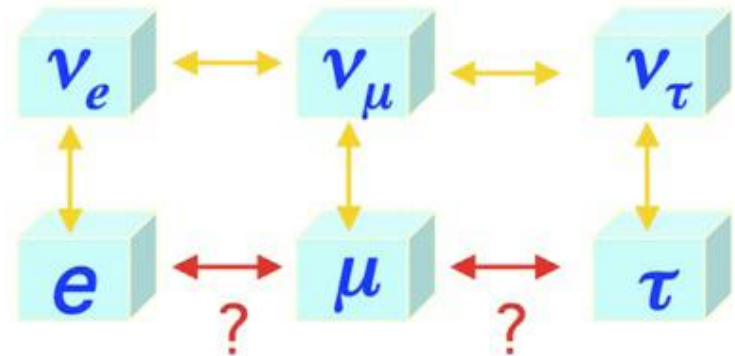


# What breaks the flavour symmetries?

- In the Standard Model, the vacuum expectation value of the Higgs field breaks the electroweak symmetry
- Fermion masses arise from the Yukawa couplings of the quarks and charged leptons to the Higgs field (*taking  $m_\nu = 0$* )
- The CKM matrix arises from the difference between weak eigenstates and mass eigenstates
- Consequently, the only flavour-changing interactions are the charged current weak interactions
  - *no flavour-changing neutral currents (GIM mechanism)*
  - *not generically true in most extensions of the SM*
  - *flavour-changing processes provide sensitive tests*

# Lepton flavour violation

- No right-handed neutrinos in the SM, implies they are massless
  - neutrinos only left-handed (chirality)
  - antineutrinos only right-handed (chirality)
- Neutrino oscillations show they have small but finite masses
  - **where are the right-handed neutrinos?**
  - charged lepton flavour violation
  - physics beyond the Standard Model
- Why do we not observe the decay  $\mu \rightarrow e \gamma$ ?
  - exact (but accidental) lepton flavour conservation in the SM with  $m_\nu = 0$
  - SM loop contributions suppressed by  $(m_\nu / m_W)^4$
  - LFV - a mechanism beyond the SM needed



# What causes matter–antimatter asymmetry?

- The CKM matrix arises from the relative misalignment of the Yukawa matrices for the up- and down-type quarks

$$V_{CKM} = U_u U_d^\dagger$$

(U - diagonalisation of mass matrices)

- It is a 3x3 complex **unitary** matrix
  - described by 9 (real) parameters
  - 5 can be absorbed as phase differences between the quark fields
  - 3 can be expressed as (Euler) mixing angles
  - the fourth makes the CKM matrix complex (i.e. gives it a phase)
    - weak interaction couplings differ for quarks and antiquarks
    - CP violation

# Symmetries

The (probably) most important concept in physics: **concept of symmetry**

T. D. Lee:

„The root to all symmetry principles lies in the assumption that it is impossible to observe certain basic quantities; the non-observables”

- if a quantity is fundamentally non-observable it is related to an **exact symmetry**
- if a quantity could in principle be observed by an improved measurement the **symmetry** is said to be **broken**

**Noether theorem:** symmetry  $\Leftrightarrow$  conservation law

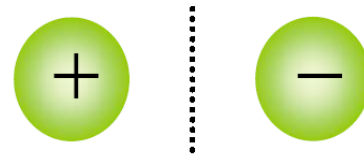
## Examples

| Non-observables            | Symmetry Transformations                                 | Conservation Laws |
|----------------------------|--|-------------------|
| Absolute spatial position  | Space translation $\vec{r} \rightarrow \vec{r} + \Delta$ | Momentum          |
| Absolute time              | Time translation $t \rightarrow t + \tau$                | Energy            |
| Absolute spatial direction | Rotation $\hat{r} \rightarrow \hat{r}'$                  | Angular momentum  |

# Three discrete symmetries

## Charge conjugation C

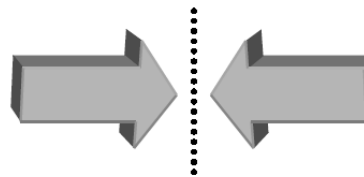
Particle  $\leftrightarrow$  Anti-particle



$$e^- \rightarrow e^+$$

$$\gamma \rightarrow \gamma$$

## Parity P

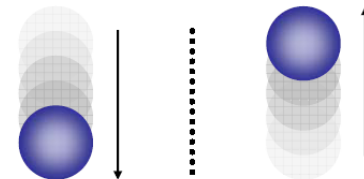


$$\vec{r} \rightarrow -\vec{r}$$

$$\vec{p} \rightarrow -\vec{p}$$

$$\vec{L} \rightarrow \vec{L}$$

## Time inversion T



$$t \rightarrow -t$$

## CPT theorem

- all interactions are invariant under combined  $C$ ,  $P$  and  $T$
- implies particle and anti-particle have equal masses and lifetimes
- one of the most important and generally valid theorems in *local* quantum field theory

# Brief history

# 1932: Isospin

What is the difference between the proton (charge = +1) and the neutron (charge = 0)?

masses almost identical

coupling to the strong interaction identical

Heisenberg (1932) proposed (p,n) members of isospin doublet:

p:  $(I, I_z) = (1/2, +1/2)$  n:  $(I, I_z) = (1/2, -1/2)$

pions form an isospin triplet  $\pi^{+,0,-}$ :  $(I, I_z) = (1, +1, 0, -1)$

*All particles in the same isospin representation are identical if EM is switched off*

Strong interaction same for proton & neutron

Hamiltonian invariant under global SU(2) rotation

pions thought to be Yukawa particles

gauge bosons responsible for mediating strong force

Isospin is not an exact symmetry

nonetheless, very useful concept

*successful because  $m_u \sim m_d$  and  $m_u, m_d < \Lambda_{\text{QCD}}$*

# 1947: Strangeness

## New particle observed

produced in strong interaction

long lifetime  $O(10^{-10} \text{ s})$  – “strange”

decays only weakly

## Observation in 1947 by G. D. Rochester and C. C. Butler

neutral particle (no track)  $\rightarrow$  two charged pions

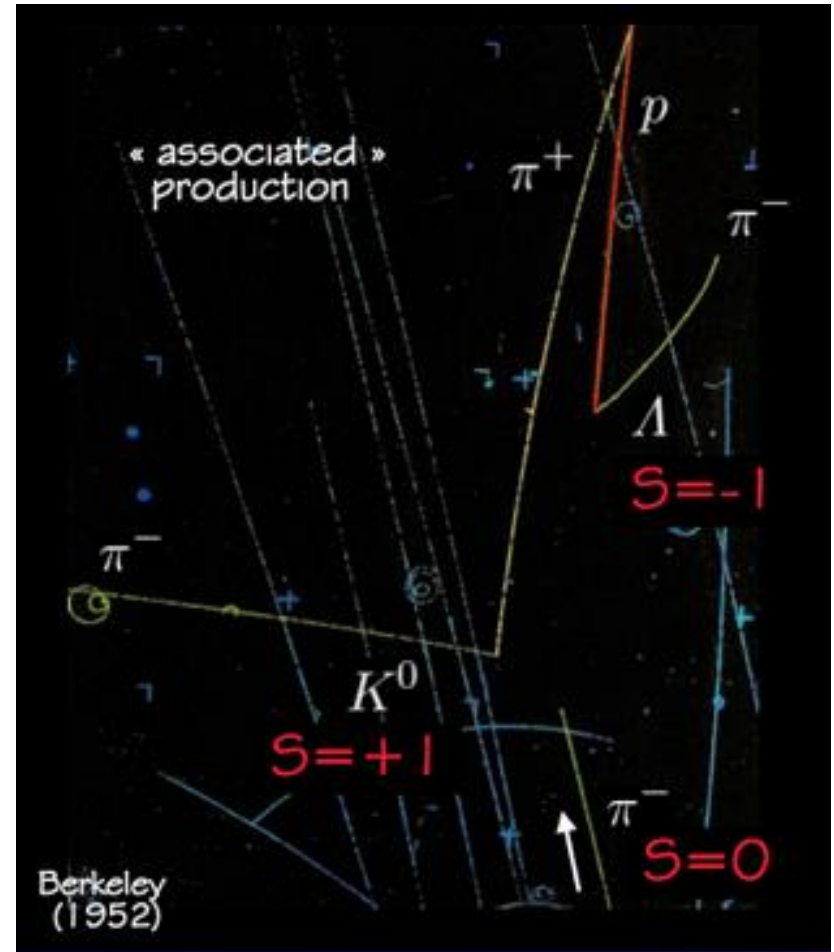
charged particle (track)  $\rightarrow$  charged pion + X

## M. Gell-Mann & K. Nishijima (1953) introduce new quantum number

### strangeness $S$

$S$  conserved in strong interactions

$S$  not conserved in weak interactions





# Quark model (1960s)

Originally introduced as a model to explain the particle „zoo“

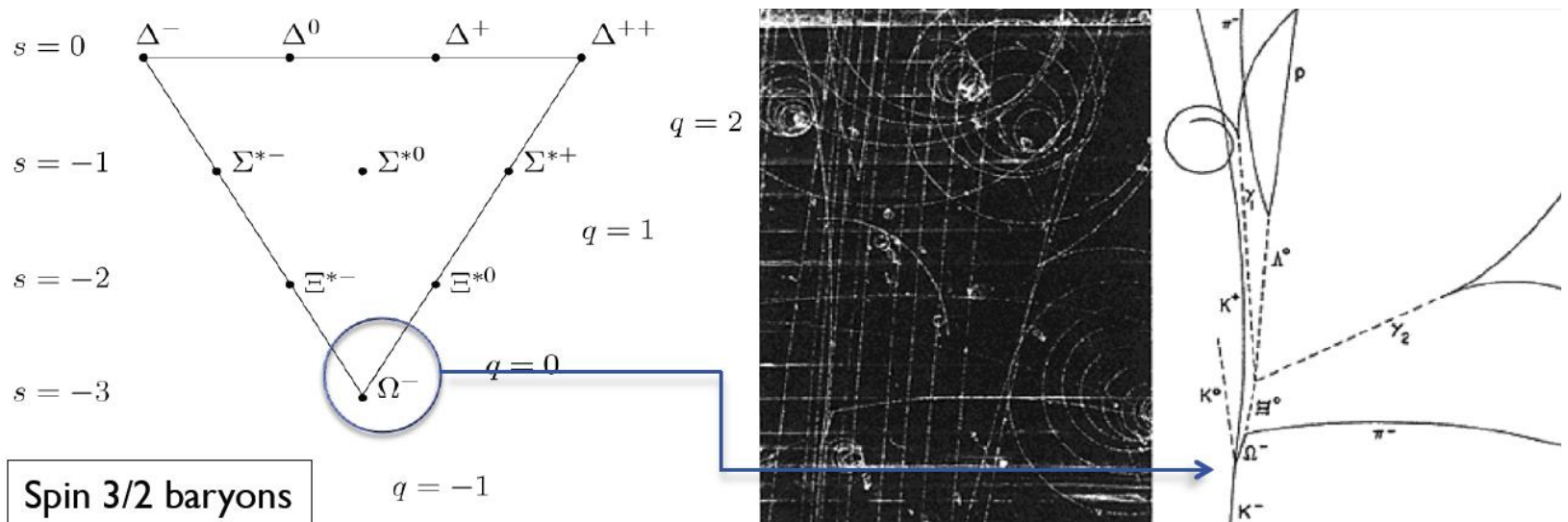
**Gell-Mann/Nishijima law:**  $Q = e (I_z + Y/2)$

hipercharge  $Y = B + S$  (baryon number + strangeness)

Gell-Mann/Nishijima formula developed into „**eightfold way**“ classification:

→ all known mesons and baryons could fit in **SU(3) representations**

Discovery of  $\Omega^-$  (sss) [1964 at Brookhaven] in particular validated theory



# 1950-56: The $\Theta$ - $\tau$ puzzle

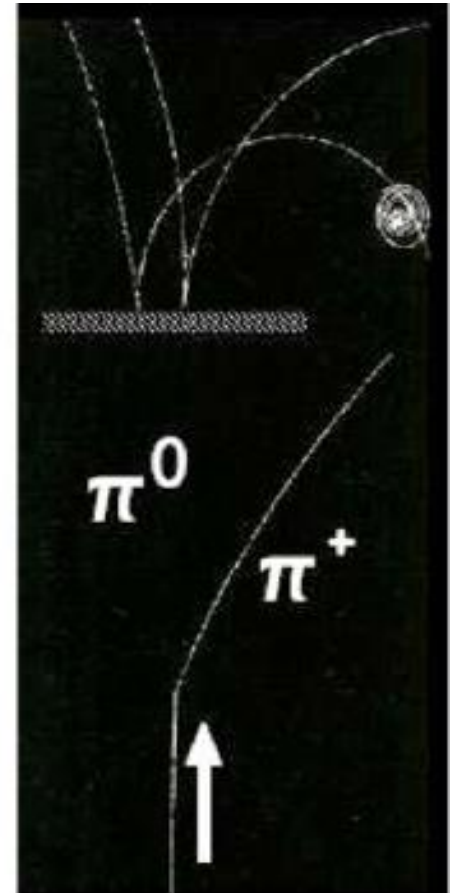
Observation of two strange mesons with:

- same mass
- same production rate
- same lifetime
- particle decaying to  $\pi^+\pi^0$  was originally called the  $\theta$
- „another” particle (called  $\tau$ ) decaying to  $\pi^+\pi^-\pi^+$  was also discovered

**But:** decay into final states with different parities

1956: Lee and Yang:

“Is parity violated in the weak interaction?”



$$\theta \rightarrow \pi^+\pi^0; \quad P(\pi^+\pi^0) = +1$$
$$\tau \rightarrow \pi^+\pi^+\pi^-; \quad P(\pi^+\pi^+\pi^-) = -1$$

# 1957: Parity violation



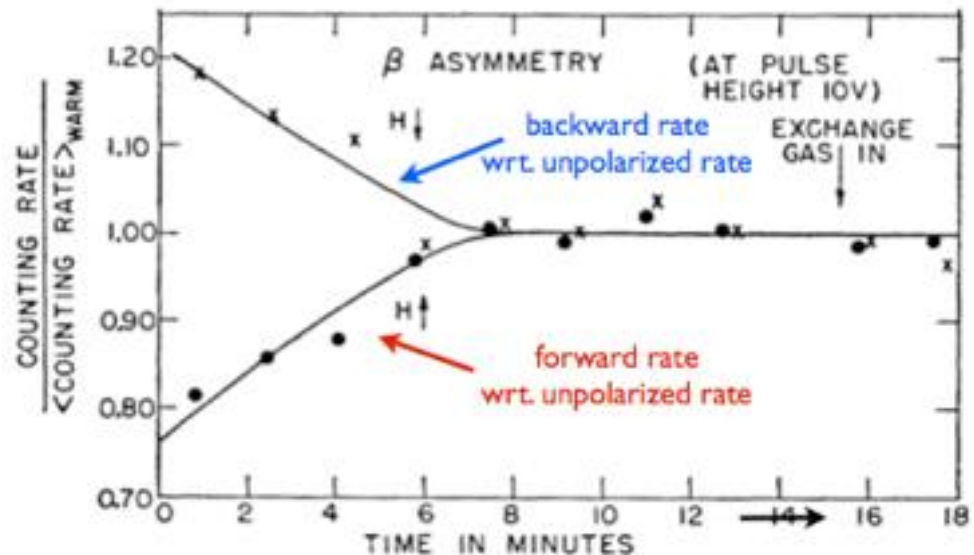
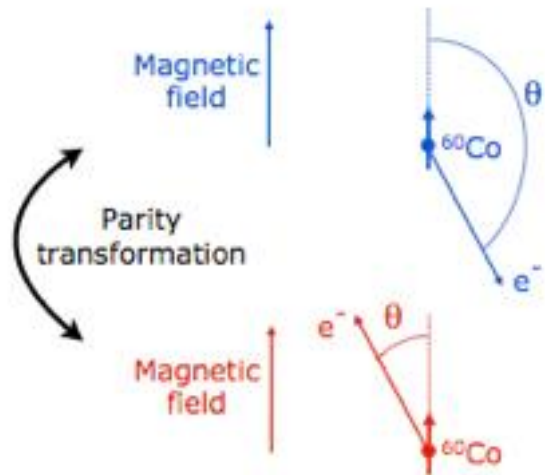
C. S. Wu

$\beta$  rays( $e^-$ ) from the  $^{60}\text{Co}$  atoms emitted asymmetrically under parity inversion (by magnetic field).

Measure angular distribution of electrons from  $\beta$  decays of polarized  $^{60}\text{Co}$ .

Most of the electrons are measured in the opposite direction to the spin of the  $^{60}\text{Co}$

→ **parity is maximally violated!**



$^{60}\text{Co}$  polarization decreases as a function of time as the temperature increases

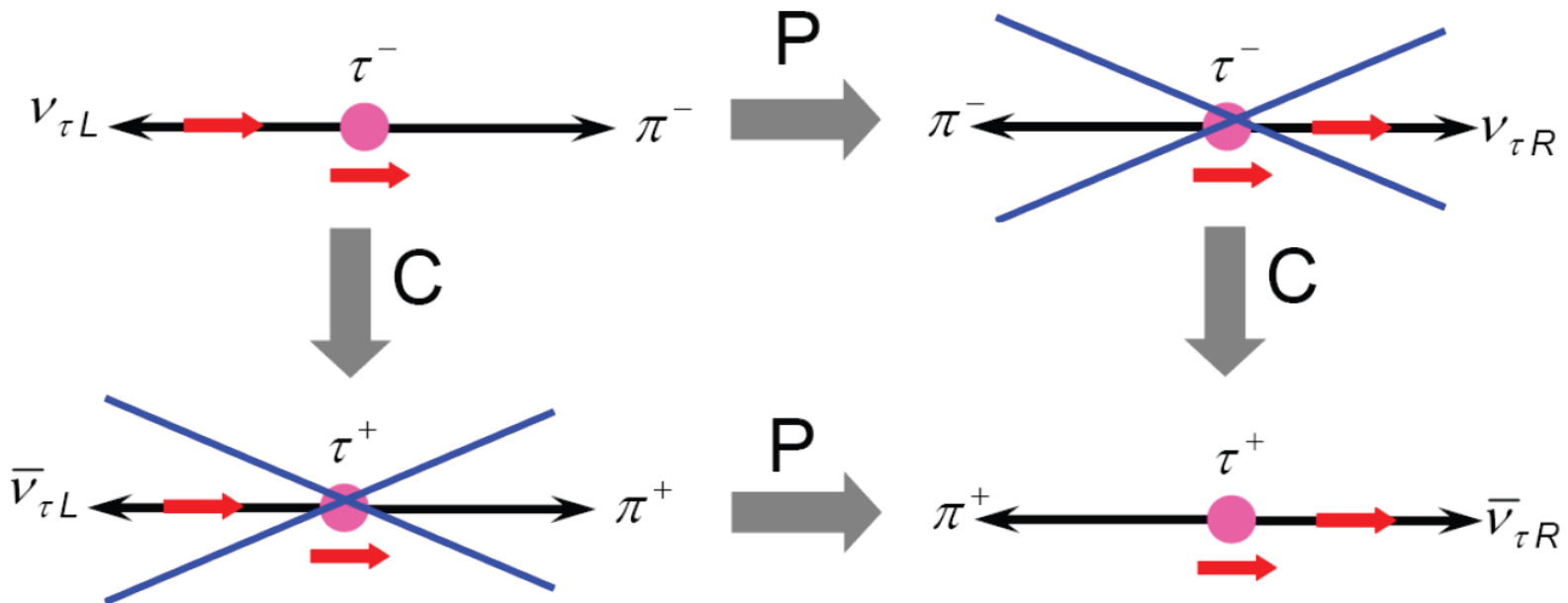
# P, C and CP symmetries in weak interactions

Now understood that P is maximally violated in  $\beta$  decays

no right-handed neutrinos

However, C is also maximally violated

no left-handed antineutrinos



But CP was thought to be a good symmetry, until 1964

# 1964: CP violation - Cronin-Fitch experiment

Both  $K^0 \rightarrow \pi\pi$  and  $\text{anti-}K^0 \rightarrow \pi\pi$  occur

- $K^0$  may turn into its antiparticle, so are not mass eigenstates

The mass eigenstates are:

$$|K_S^0\rangle = \frac{1}{\sqrt{2}} (|K^0\rangle + |\bar{K}^0\rangle)$$

$$|K_L^0\rangle = \frac{1}{\sqrt{2}} (|K^0\rangle - |\bar{K}^0\rangle)$$

CP operator gives:

$$\mathbf{CP}|K^0\rangle = |\bar{K}^0\rangle, \mathbf{CP}|K_S\rangle = +|\bar{K}_S\rangle, \mathbf{CP}|K_L\rangle = -|\bar{K}_L\rangle$$

Thus:

$$\text{only } K_S \rightarrow \pi\pi, \text{ but } K_L \rightarrow 3\pi$$

## **Under CP symmetry:**

$K_S$  (CP=+1): can only decay (hadronically) to  $2\pi$ 's (CP=+1)

$K_L$  (CP=-1): can only decay (hadronically) to  $3\pi$ 's (CP=-1)

**If CP conserved, should *not* see the decay  $K_L \rightarrow 2\pi$ 's**

# 1964: CP violation - Cronin-Fitch experiment

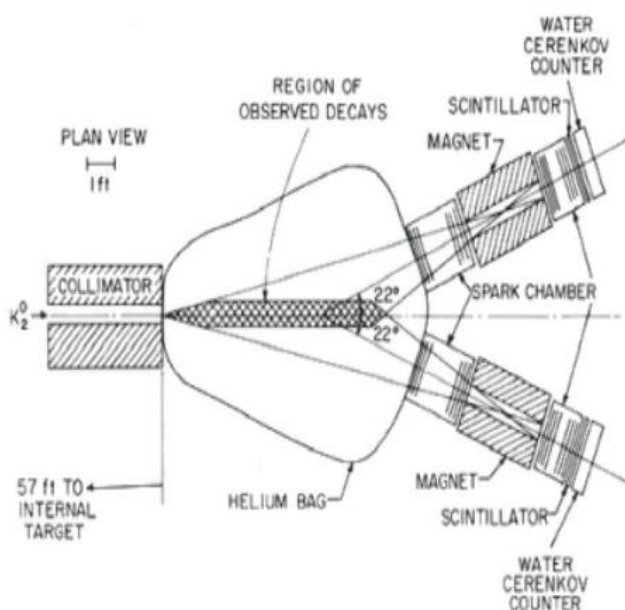
**Observation of  $K_2 \rightarrow \pi^+ \pi^-$**  → Christenson, Cronin, Fitch, Turlay (1964)

The experiment shoot protons on a target to produce  $K^0$ , after a long enough trip in a vacuum pipe, they achieved a pure  $K_2$  beam.

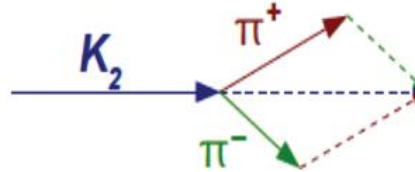
Experimentally use invariant mass (energy conservation) and angle between  $K_2$  and  $\pi^+ \pi^-$  (momentum conservation).

Find excess of  $\sim 56$  events in the signal region:  **$BF(K_2 \rightarrow \pi^+ \pi^-) \sim 2 \times 10^{-3}$**

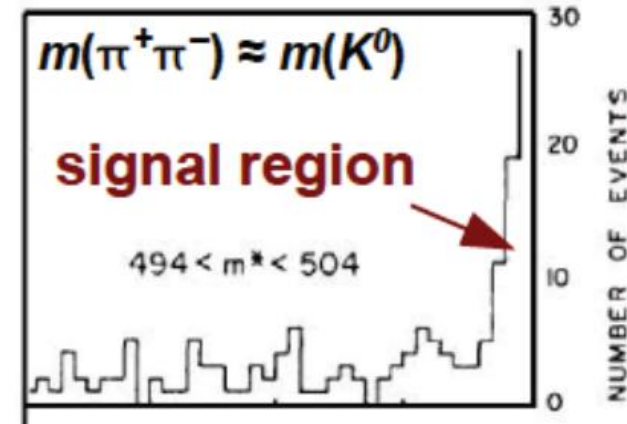
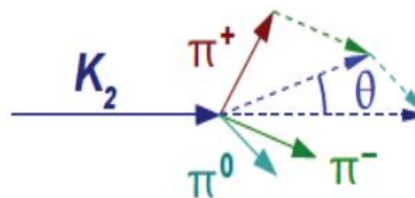
→ **CP violation!**



**2-body decay (signal):**



**3-body decay (background):**



# 1963: Cabibbo mixing

The weak coupling did not look to be universal:

$$s \rightarrow u \quad \text{e.g.} \quad K^+ \rightarrow \mu^+ \nu_\mu$$

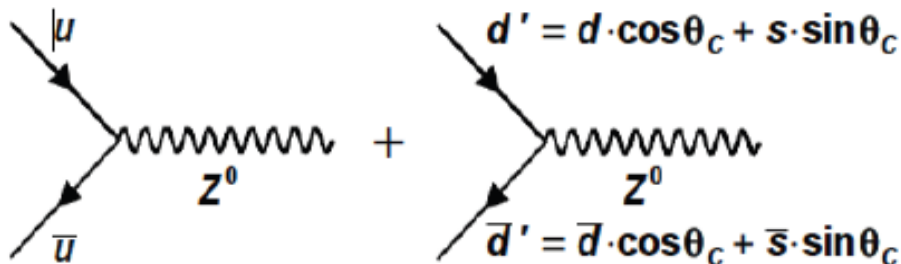
$$d \rightarrow u \quad \text{e.g.} \quad \pi^+ \rightarrow \mu^+ \nu_\mu$$

$s \rightarrow u$  transitions suppressed by a factor  $\sim 20$

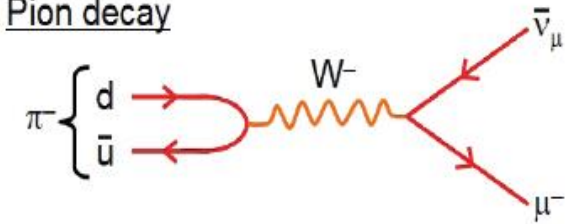
Cabibbo (1963): **weak interactions couples to a linear combination:**

$$\mathbf{d}' = \mathbf{d} \cdot \cos \theta_c + \mathbf{s} \cdot \sin \theta_c$$

$\sin \theta_c = 0.22$  (empirically)

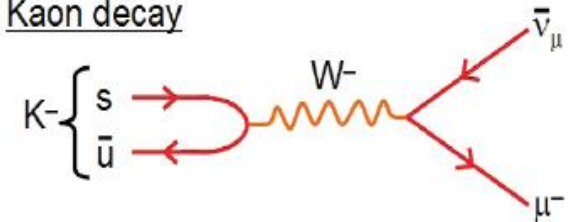


Pion decay



$$\pi^-(d\bar{u}) \rightarrow \mu^- + \bar{\nu}_\mu$$

Kaon decay



$$K^-(s\bar{u}) \rightarrow \mu^- + \bar{\nu}_\mu$$

But, if the neutral weak currents also couples to  $d'$  expect large FCNC  
Experimentally, however,  $BR(K \rightarrow \mu\mu) \sim 7 \times 10^{-9}$

# 1970: GIM mechanism

$K^+ \rightarrow \mu^+ \nu_\mu$  so why not

$K^0 \rightarrow \mu^+ \mu^-$  ?

$K^+ \rightarrow \pi^0 \mu^+ \nu_\mu$  so why not

$K^0 \rightarrow \pi^0 \mu^+ \mu^-$  ?

$\text{BR}(K_L \rightarrow \mu^+ \mu^-) \sim 7 \cdot 10^{-9}$

$\text{BR}(K_L \rightarrow e^+ e^-) \sim 10^{-11}$

$\text{BR}(K^0 \rightarrow \pi^0 \mu^+ \mu^-) < \sim 10^{-10}$

## GIM (Glashow, Iliopoulos, Maiani) mechanism (1970)

assume a **new (not yet observed) quark**  
in SU(2) quark doublets

no tree level flavour changing neutral currents

suppression of FCNC via loops

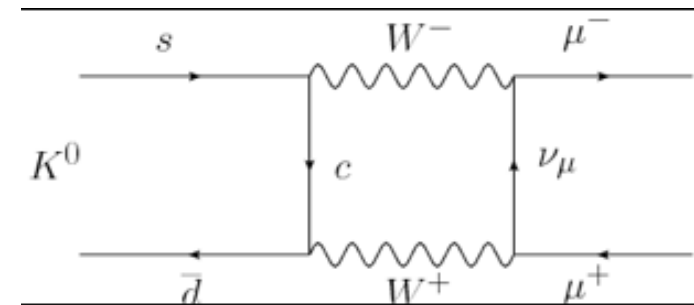
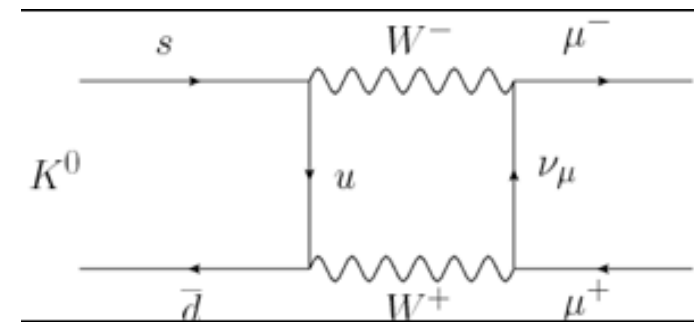
Requires that quarks come in pairs (doublets)

prediction of a **2nd** up-type quark

additional Feynman graph cancels the „u-box graph”

prediction of  $m(c) \approx 1.5 \text{ GeV}$

→ *Gaillard and Lee (1974)*





# CKM mechanism

# 1973: The CKM mechanism

1973: **Kobayashi & Maskawa** demonstrate that CP violation arises naturally from quark mixing if there are **3 generations of quarks**



CKM Matrix

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$



## 3x3 matrix of complex numbers

- $\Rightarrow$  18 parameters
- unitary  $\Rightarrow$  9 parameters
- quark fields absorb unobservable phases  $\Rightarrow$  4 parameters

**3 mixing angles and 1 phase allowing for CP violation**

# 1974: Discovery of the charm quark

Observation of a **narrow resonance** at a mass of **3.1 GeV**

in **proton-Be collisions** at BNL

( $p + \text{Be} \rightarrow e^+e^- + X$ , Ting et al.)

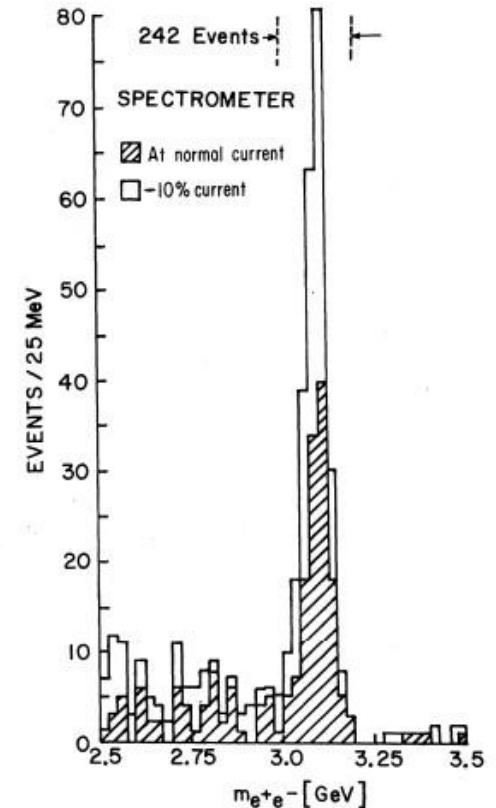
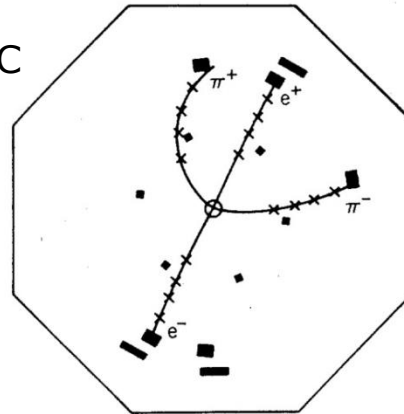
in  $e^+e^- \rightarrow e^+e^-, \mu^+\mu^-, \text{hadrons}$  at SLAC

(Richter et al.)

## New resonance $J/\psi$

narrow width, therefore a **long lifetime**  
(excluding interpretations as a  $uds$  state)

most plausible explanation was a bound state  
of a **new quark (charm)** with mass  $\sim 1.5 \text{ GeV}$ !

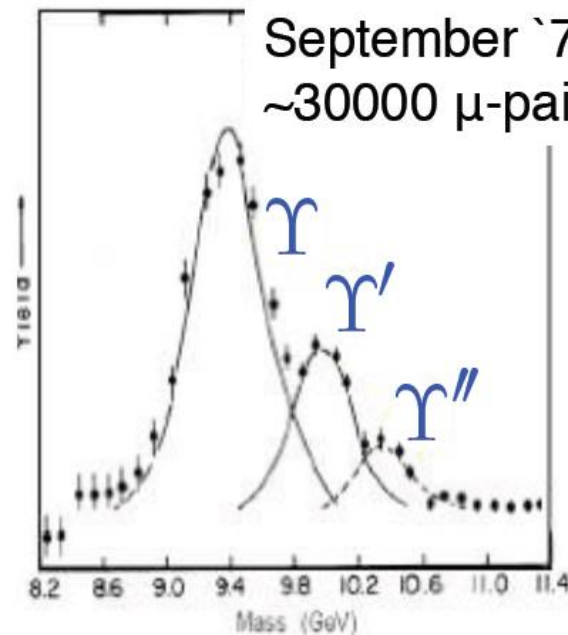
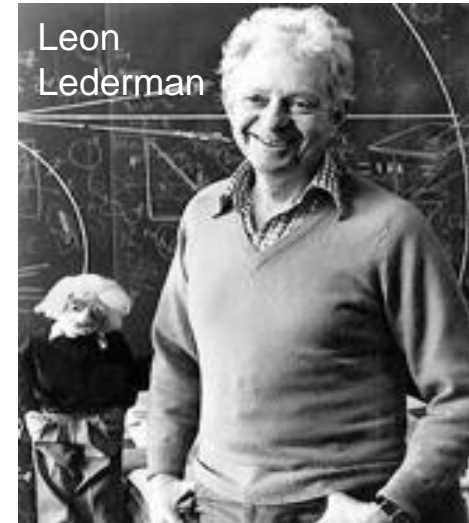
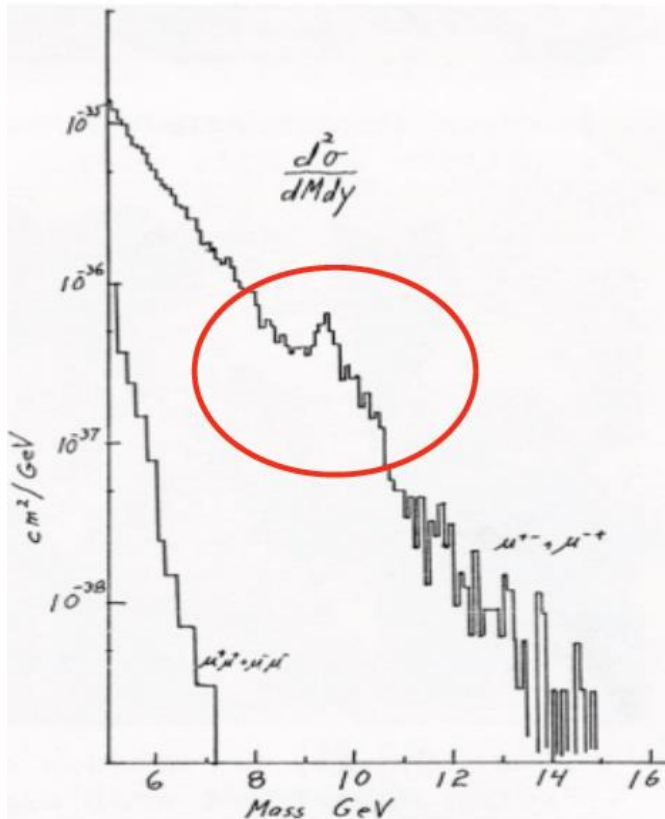


Soon after confirmed by the observation of new  $cc$  states and of open charm  
( $D$  mesons)

# 1977: Discovery of the bottom quark

Are there really 3 generations?

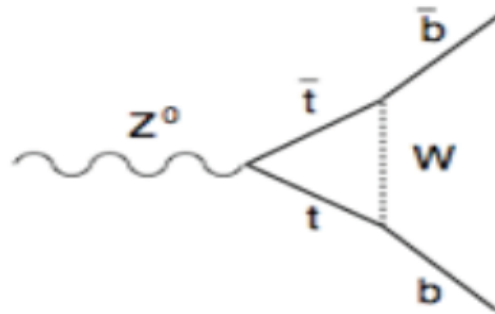
Fermilab E288 Experiment observed excess of di-muon events at a mass of around 9-10 GeV (3 resonances)



Discovery of  
**bottomonium!**

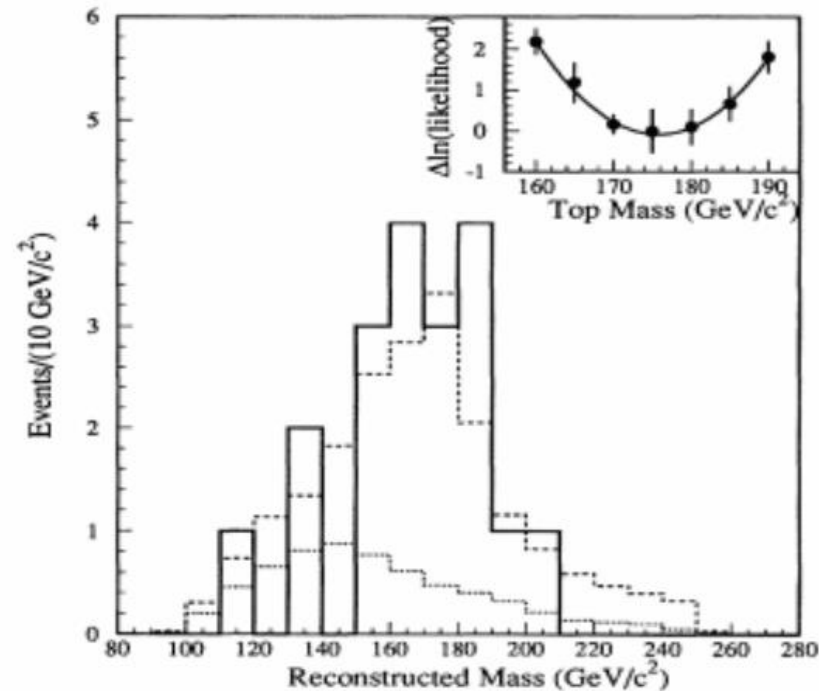
# 1995: Discovery of the top quark

- **t-quark** mass was predicted to be large (**>50 GeV**) from **B-mixing measurements (ARGUS, 1987)**
- between **150 and 200 GeV** from **LEP** precision EW measurements **in the 90s**



**CDF/D0 (1995):** Observation of  $t\bar{t}$  production in  $p\bar{p}$  collisions **at the Tevatron**

CDF:  $175 \pm 8 \pm 10$  GeV  
D0:  $199^{+19}_{-21} \pm 22$  GeV



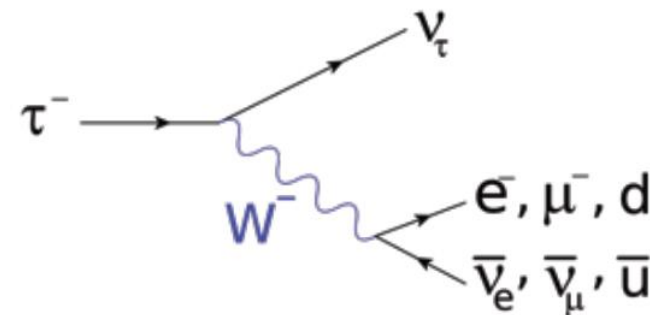
# Leptons

- Anderson, Neddemeyer discovered the  $\mu$  with cosmic rays at Caltech in 1936. But because its mass was so close to the Yukawa pion, it was not recognized as a heavy electron until 1947 → “Who ordered that?”
- In 1930 Pauli proposed the existence of the neutrino to explain  $\beta$  decay. In 1956 Reines and Cowan using neutrinos from nuclear reactors, demonstrated their existence using the inverse  $\beta$  decay reaction:



- In 1962 Lederman, Schwartz and Steinberger discovered that there were at least two kind of neutrinos with different properties. They used  $\pi \rightarrow \mu \nu$  decays

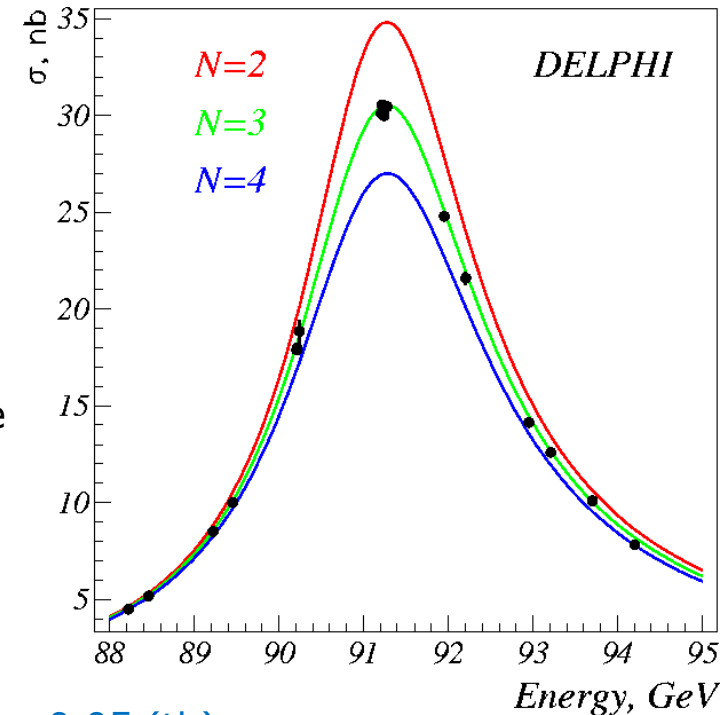
- The  $\tau$  lepton was observed in a series of experiments between 1974-77 by Perl et al. at SLAC. They found a number of unexplained events of the type  $e^+e^- \rightarrow e\mu^+$   $\geq 2$  undetected. The interpretation was  $e^+e^- \rightarrow \tau^+\tau^- \rightarrow e\mu + 4\nu$  with  $m_\tau \sim 1.6\text{-}2 \text{ GeV}$



# Three families in lepton sector

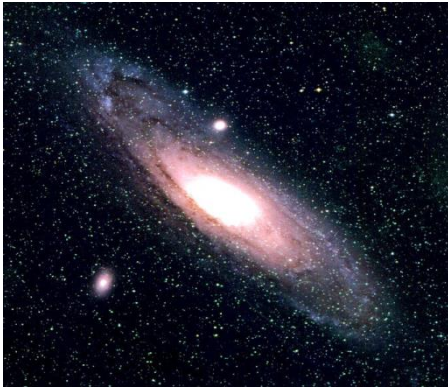
- When LEP started producing  $e^+e^-$  collisions around the mass of the Z boson, there were already indications that the number of light neutrinos was three from previous experiments as well as from astrophysical arguments
- In 1989 after few months since the first collisions, the LEP experiments were able to measure precisely the total width of the Z boson, related to the  $N_\nu$
- For instance, ALEPH measured:

$$N_\nu = 3.27 \pm 0.24(\text{stat}) \pm 0.16(\text{syst}) \pm 0.05 (\text{th})$$



- LEP measurements became very precise with more statistics, and the final number,  $N_\nu = 2.9840 \pm 0.0082$ , leaves no doubt that there are not more than three light neutrinos
- The third neutrino ( $\nu_\tau$ ) was observed in 2000 by the DONUT Collaboration at Fermilab.

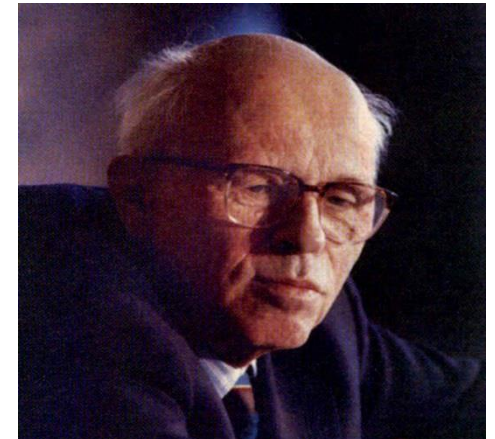
# Matter-antimatter asymmetry



- We know that the matter – anti-matter symmetry in the Universe is broken: the Universe consists of matter.
- But, shortly after the Big Bang, there should have been equal amounts of matter and anti-matter  
→ how did the Universe develop a preference of matter?

In 1966, Andrei Sakharov showed that necessary for evolution of matter dominated universe, from symmetric initial state, are:

- (1) baryon number violation
  - (2) C & CP violation
  - (3) thermal inequilibrium
- No significant amounts of antimatter observed!
  - $(N(\text{baryon}) - N(\text{antibaryon})) / N_\gamma \sim 10^{-10}$



Standard Model *CPV* cannot explain matter asymmetry in the universe

→ the *only* CP violating phase in SM leads to  $10^{-17} \Delta N_B / N_\gamma$



SM flavour sector

# Flavour in Standard Model

- Higgs field was introduced to give masses to  $W^+$ ,  $W^-$  and  $Z^0$  bosons (after SBB)
- Since we have a Higgs field we can add (ad-hoc) interactions between the Higgs field  $\phi$  and the fermions in a gauge invariant way (Yukawa couplings):

$$-L_{Yukawa} = Y_{ij} \left( \overline{\psi_{Li}} \phi \right) \psi_{Rj} + h.c.$$

↑ doublets
↑ doublets
↑ singlet

- The quark flavour structure within the SM is described by 6 couplings and 4 CKM params
- It is convenient to move the CKM matrix from Yukawa sector to the weak current sector
- We can diagonalize the  $Y_{ij}$  matrices, such that we arrive in the „mass basis“

However, then the Lagrangian of the charged weak current should also be rewritten:

$$-L_{W^+} = \frac{g}{\sqrt{2}} (\bar{u}, \bar{c}, \bar{t})_L \left( V_{CKM} \right) \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L \gamma^\mu W_\mu^+$$

CKM matrix (rotation matrix)

$V_{CKM}$  originates from the diagonalization of the Yukawa couplings

# Weak interactions in the SM

- After SSB, the charged current of a  $W^-$  exchange can be written as:

$$J^{\mu-} = (\bar{u}_L, \bar{c}_L, \bar{t}_L) \gamma^\mu V_{\text{CKM}} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix}$$

- Weak interaction only couples to left-handed field
  - left-handed quarks or right-handed anti-quarks
  - manifestly violates parity

The weak eigenstates are related to the mass eigenstates by the CKM matrix:

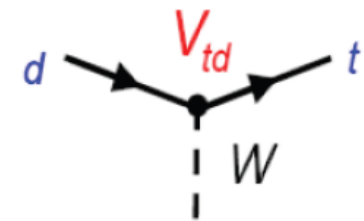
$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = V_{\text{CKM}} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

Weak eigenstates                      Mass eigenstates

# CP transformation & the weak interaction

Quarks

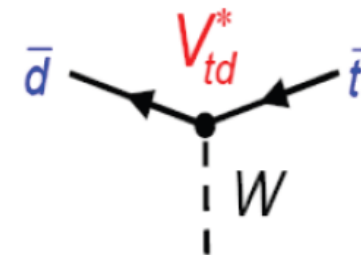
$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$



----- CP -----

Anti-quarks:

$$\begin{pmatrix} \bar{d}' \\ \bar{s}' \\ \bar{b}' \end{pmatrix} = \begin{pmatrix} V_{ud}^* & V_{us}^* & V_{ub}^* \\ V_{cd}^* & V_{cs}^* & V_{cb}^* \\ V_{td}^* & V_{ts}^* & V_{tb}^* \end{pmatrix} \begin{pmatrix} \bar{d} \\ \bar{s} \\ \bar{b} \end{pmatrix}$$



CP violation requires complex matrix elements

# Relative phases

**Q: How many parameters does the CKM matrix have?**

18 parameters (9 complex numbers):

9 unitary conditions:  $V_{\text{CKM}} V_{\text{CKM}}^\dagger = 1$

5 relative phases of the quark fields

4 parameters (\*)

(\*) 3 (real) **Euler angles** and 1 **phase** (single source of CP violation in the SM)

- with 2 generations there is only one real (Euler) angle: **the Cabibbo angle**
- CP violation requires 3 generations!

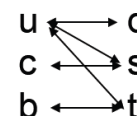
When I do a phase transformation of the (left-handed) quark fields:

$$u_{Lj} \rightarrow e^{i\phi_j} u_{Lj} \quad d_{Lk} \rightarrow e^{i\phi_k} d_{Lk}$$

And a simultaneous transformation of the CKM matrix:

$$V \rightarrow \begin{pmatrix} e^{-i\phi_u} & & & \\ & e^{-i\phi_c} & & \\ & & e^{-i\phi_t} & \\ & & & \end{pmatrix} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} e^{-i\phi_d} & & & \\ & e^{-i\phi_s} & & \\ & & e^{-i\phi_b} & \\ & & & \end{pmatrix} \quad \text{or} \quad V_{jk} \rightarrow \exp(-i(\phi_j + \phi_k)) V_{jk}$$

There are only 5 relative phases (+ one overall phase)



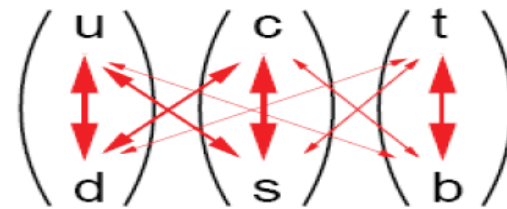
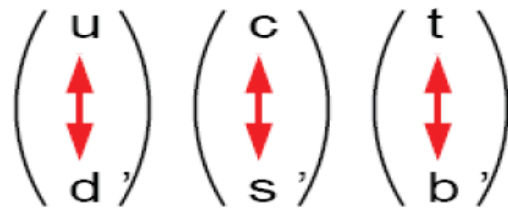
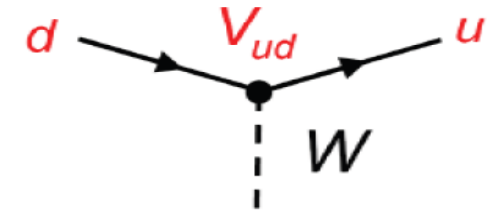
The charged current (i.e. the physics) remains invariant:

$$J_{CC}^\mu = \overline{u_{Li}} \gamma^\mu V_{ij} d_{Lj}$$

In other words, I can always absorb the 5 relative phases by redefining the quark fields  
 → **these 5 phases are unobservable**

# Hierarchy in quark mixing

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} u & \color{red}{\square} & \color{red}{\square} & \color{red}{\cdot} \\ c & \color{red}{\square} & \color{red}{\square} & \color{red}{\cdot} \\ t & \color{red}{\cdot} & \color{red}{\square} & \color{red}{\square} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$



- Diagonal elements of CKM matrix are close to one
- Only small off-diagonal contributions
- Mixing between quark families is „CKM suppressed”

# Wolfenstein parametrization

Makes use of the fact that the off-diagonal elements are small compared to the diagonal elements

→ expansion in  $\lambda \approx V_{us}$ ,  $A \approx V_{cb} / \lambda^2$  and  $\rho, \eta$

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{array}{l} \lambda \sim 0.22 \text{ (=sin}\theta_C, \text{ sine of Cabibbo angle)} \\ A \sim 1 \text{ (actually 0.80)} \\ \rho \sim 0.14 \\ \eta \sim 0.34 \end{array}$$

$$= \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

$$\lambda^2 \equiv \frac{|V_{us}|^2}{|V_{ud}|^2 + |V_{us}|^2}$$

$$A^2\lambda^4 \equiv \frac{|V_{cb}|^2}{|V_{ud}|^2 + |V_{us}|^2}$$

$$\bar{\rho} + i\bar{\eta} = -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}$$

$$\rho + i\eta = \frac{\sqrt{1 - A^2\lambda^4}(\bar{\rho} + i\bar{\eta})}{\sqrt{1 - \lambda^2} [1 - A^2\lambda^4(\bar{\rho} + i\bar{\eta})]}$$

# CKM angles and unitarity triangle

Writing the complex elements explicitly:

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & \sim \lambda^3 e^{-i\gamma} \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ \sim \lambda^3 e^{-i\beta} & \sim -\lambda^2 e^{-i\beta_s} & 1 \end{pmatrix} + O(\lambda^4)$$

Definition of the angles:

$$\alpha \equiv \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$$

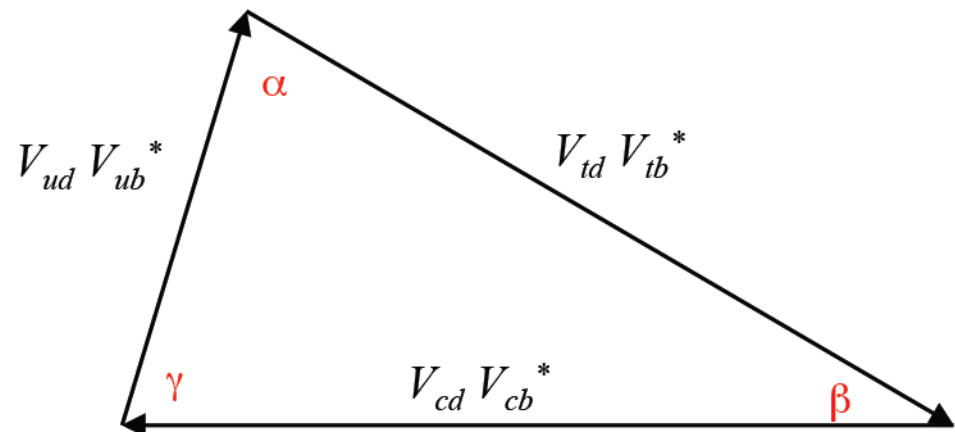
$$\beta \equiv \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

$$\gamma \equiv \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$$

$$\beta_s \equiv \arg\left(-\frac{V_{ts}V_{tb}^*}{V_{cs}V_{cb}^*}\right)$$

Using one of the 9 unitarity relations:  $V_{\text{CKM}} V_{\text{CKM}}^\dagger = 1$   
 Multiply first „d” column with last „b” column:

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$





# CKM angles and unitarity triangle

Writing the complex elements explicitly:

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & \sim \lambda^3 e^{-i\gamma} \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ \sim \lambda^3 e^{-i\beta} & \sim -\lambda^2 e^{-i\beta_s} & 1 \end{pmatrix} + O(\lambda^4)$$

Definition of the angles:

$$\alpha \equiv \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$$

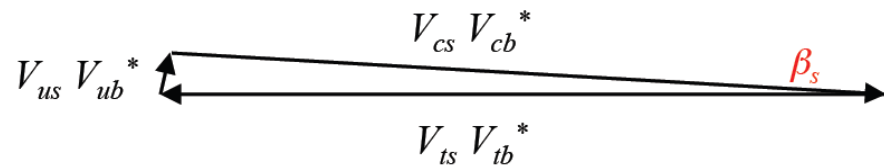
$$\beta \equiv \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

$$\gamma \equiv \arg\left(-\frac{V_{ud}V_{tb}^*}{V_{cd}V_{cb}^*}\right)$$

$$\beta_s \equiv \arg\left(-\frac{V_{ts}V_{tb}^*}{V_{cs}V_{cb}^*}\right)$$

Using another unitarity relation:  $V_{\text{CKM}} V_{\text{CKM}}^\dagger = 1$   
 Multiply second „s” column with last „b” column:

$$V_{ub}V_{us}^* + V_{cb}V_{cs}^* + V_{tb}V_{ts}^* = 0$$



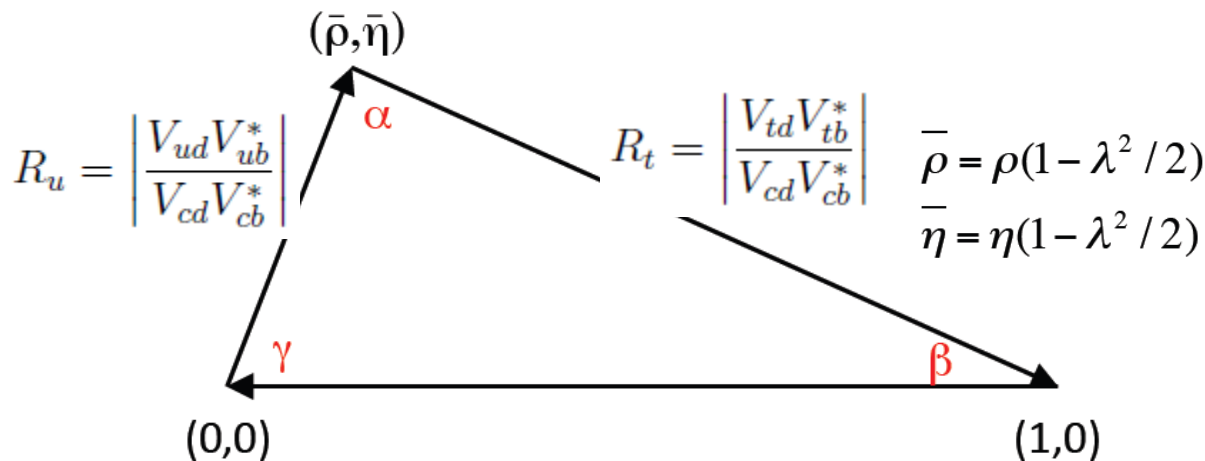
„Squashed unitarity triangle”

# CKM angles and unitarity triangle

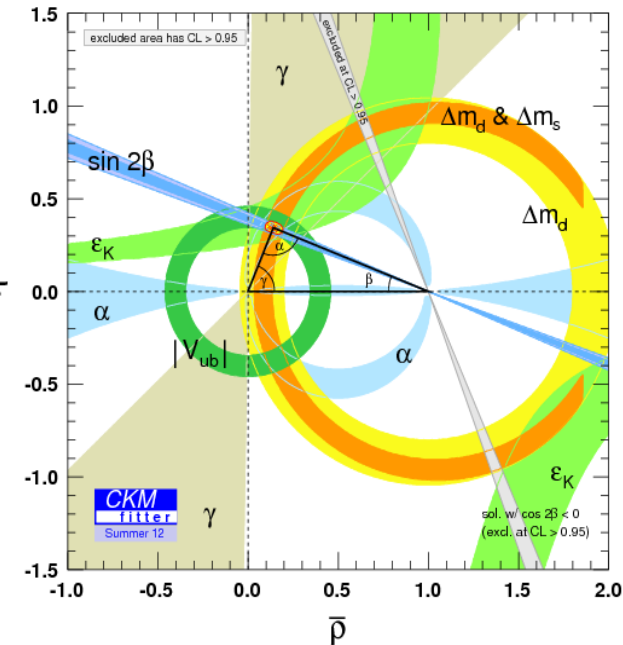
- Imposing unitarity to the CKM matrix results in six equations that can be seen as the sum of three complex numbers closing a triangle in the complex plane
- Two of these triangles are relevant for study of CP-violation in B-physics and define the angles

## Normalized CKM triangle:

→ divide each side by  $V_{cd} V_{cb}^*$



Current knowledge of UT  
(from CKMFitter)

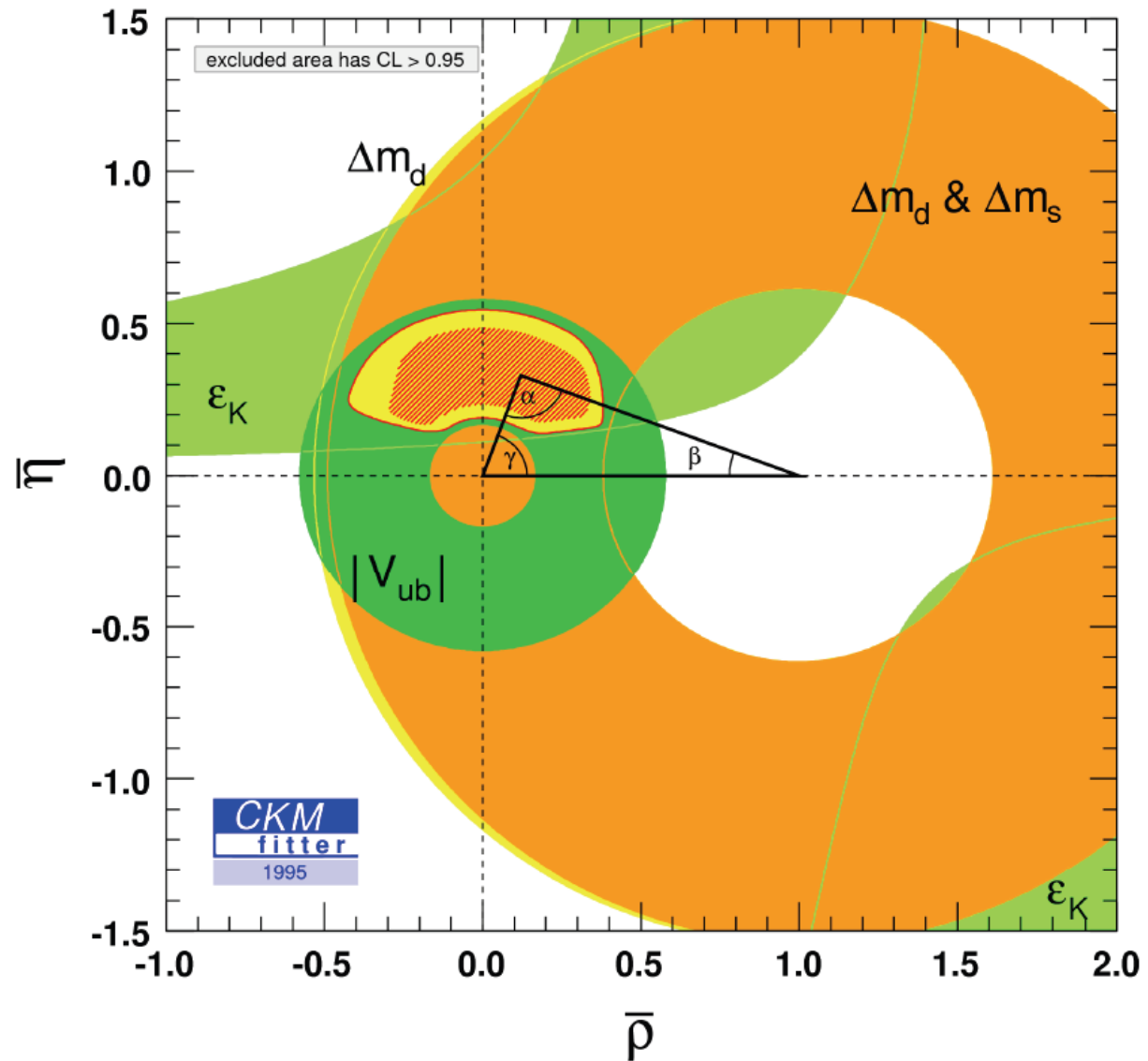


## The unitarity triangle:

- Shows the size of the CP violation (no CPV means no triangle!)
- Presents our knowledge of CKM parameters
- Shows how consistent the measurements are!

# Progress in UT

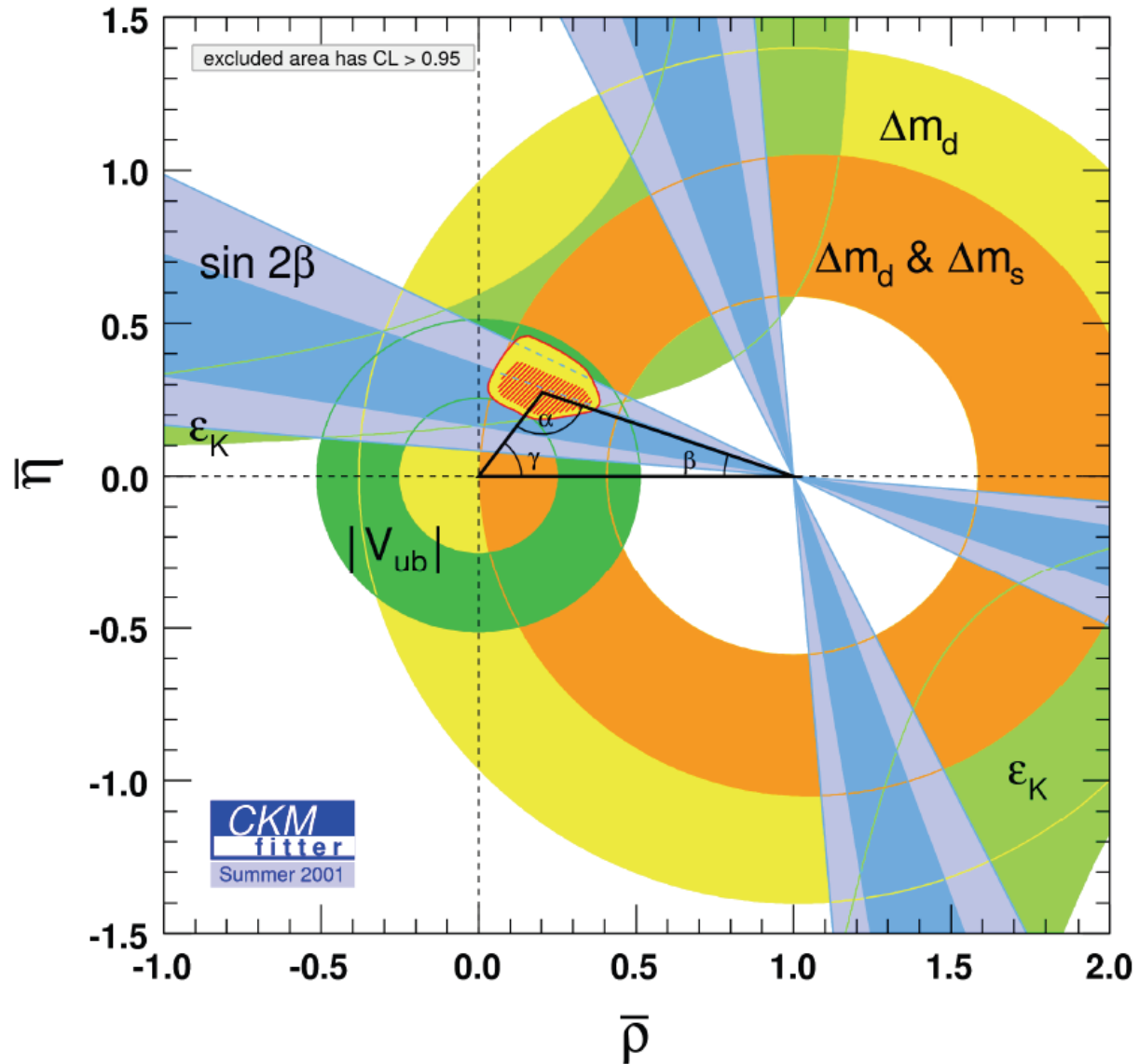
1995



# Progress in UT

2001

first observation  
of non-kaon CPV



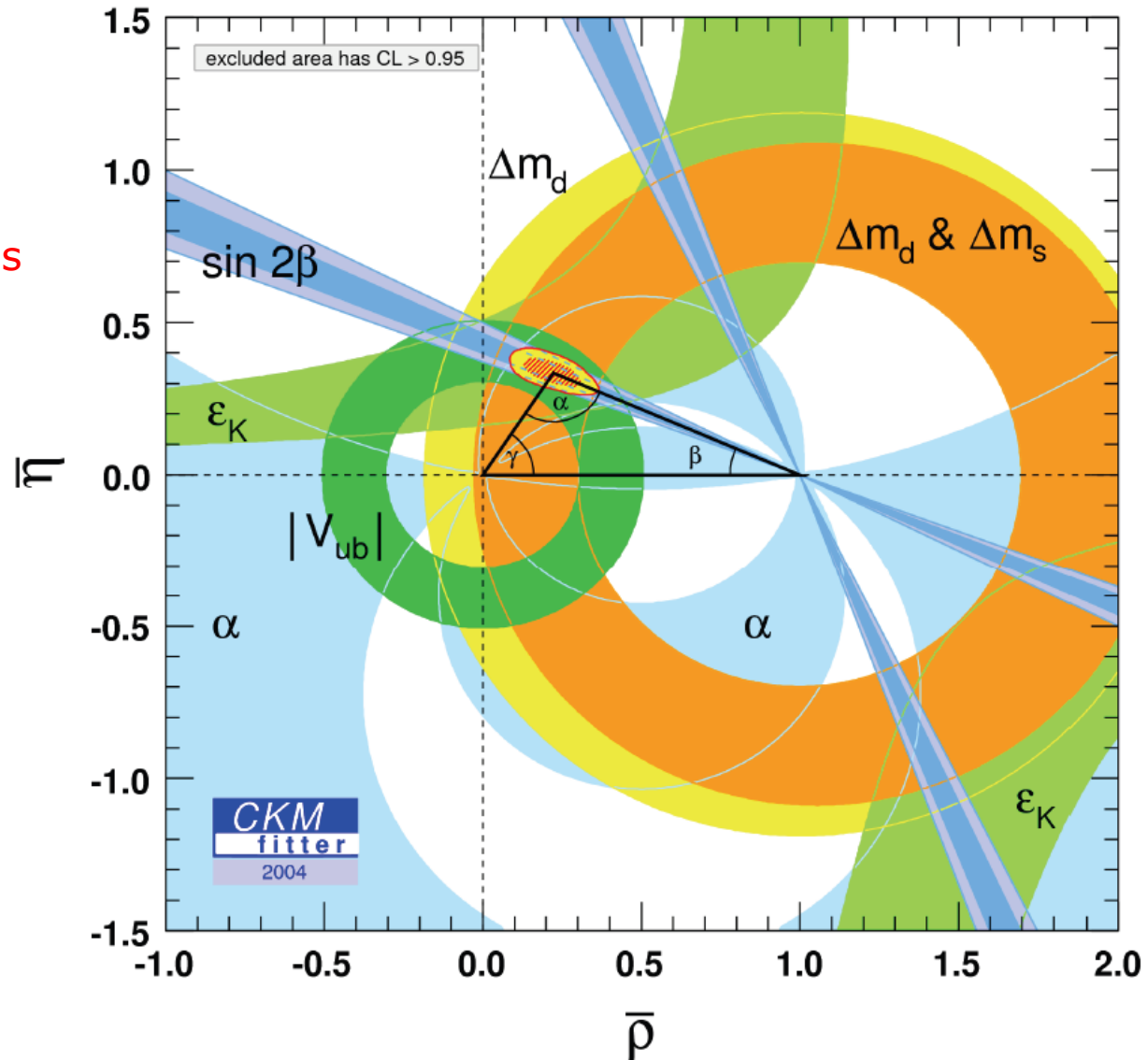
# Progress in UT

2004

improvement in  
lattice calculations

more data on  
 $A_{CP}(B \rightarrow J/\psi K_S)$

first constraints  
on the angle  $\alpha$   
from  $B \rightarrow \rho\rho$



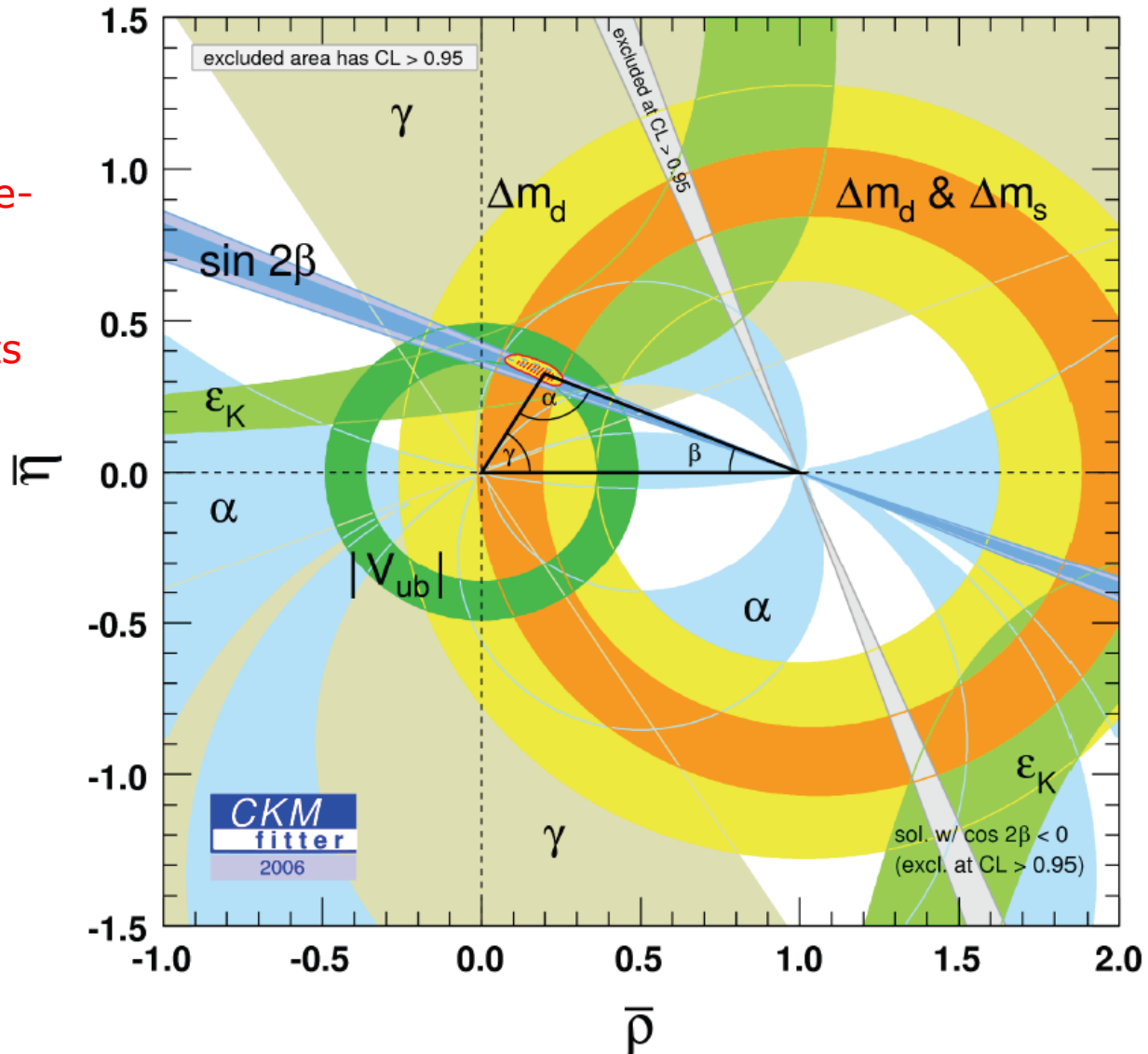
# Progress in UT

2006

Tevatron measurement of  $\Delta m_s$

tighter constraints on  $\alpha$

first constraints on  $\gamma$  from CPV in  $B \rightarrow K \pi$

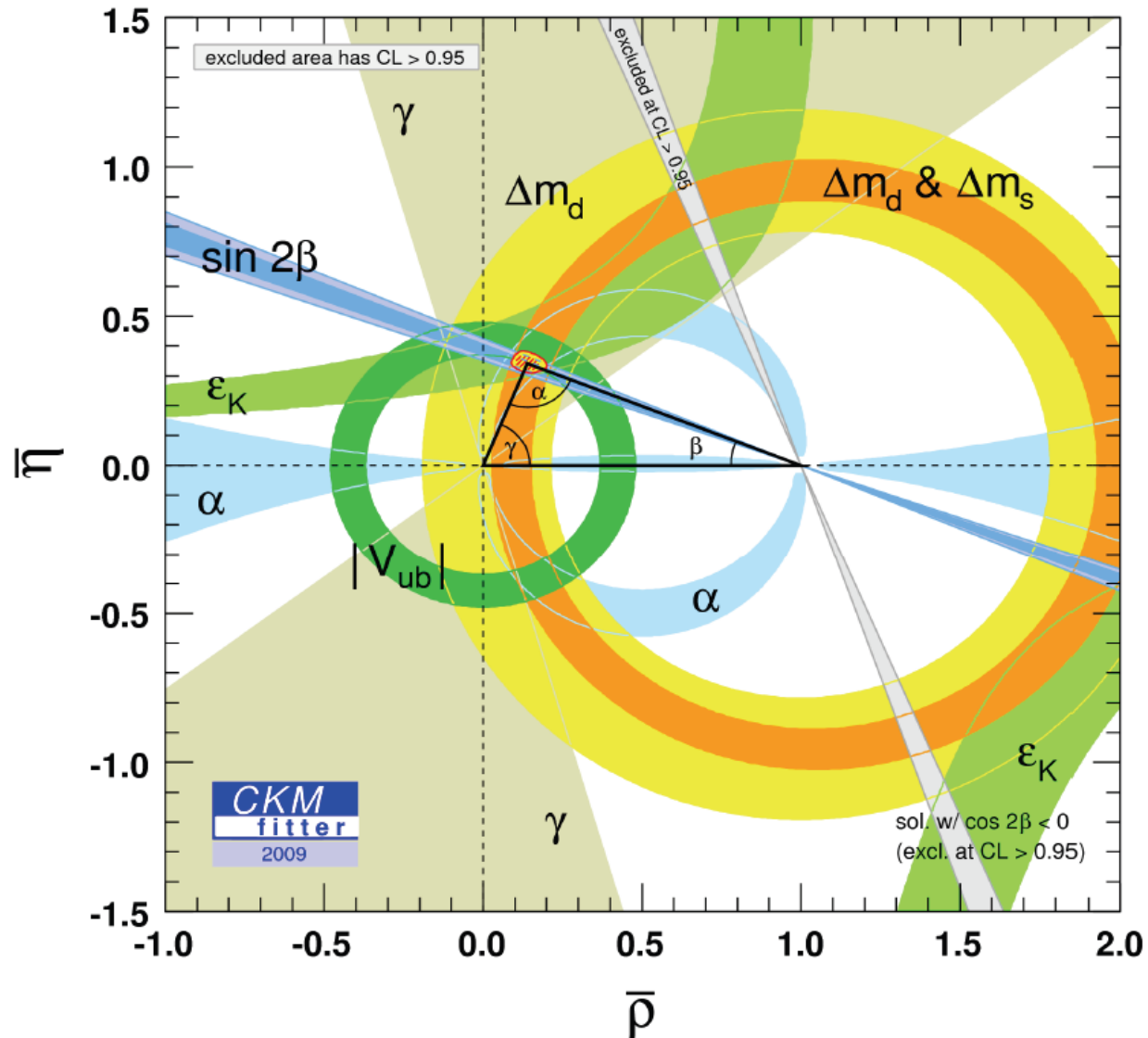


# Progress in UT

2009

more constraints  
from B mixing

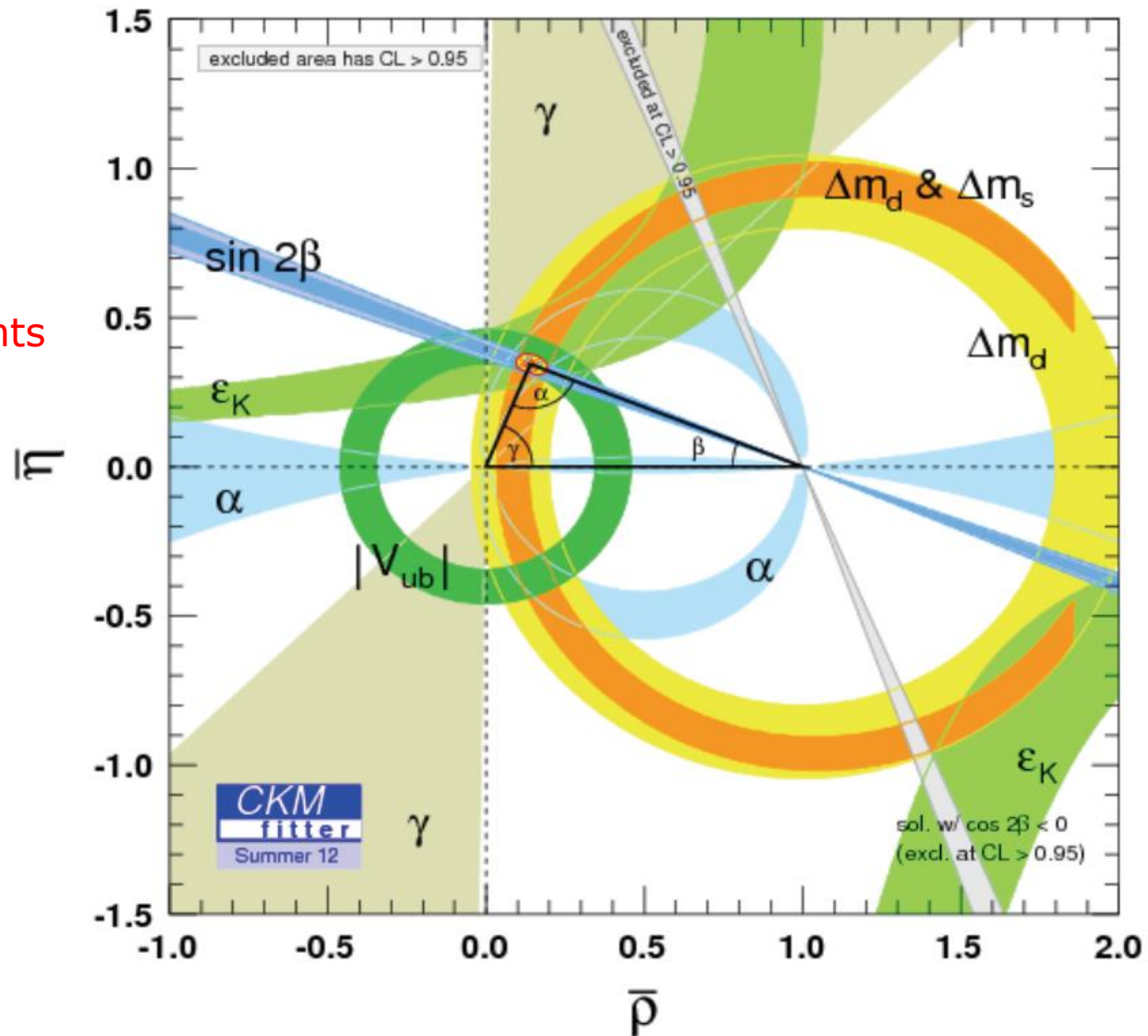
constraints from  
BaBar on  $\beta$   
from CPV  
in  $B \rightarrow \chi_{0c} K_s$



# Progress in UT

2012

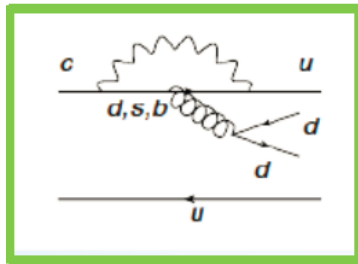
LHCb measurement of  $\Delta m_s$   
tighter constraints on  $\gamma$



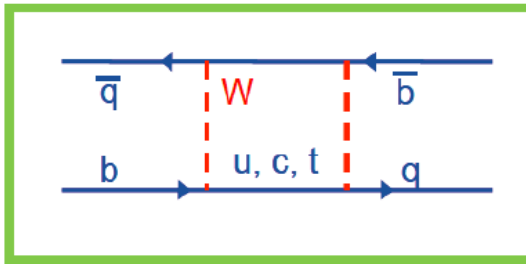


# FCNC loops in the SM

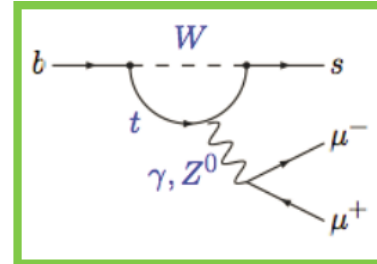
Map of flavour transitions and type of loop processes



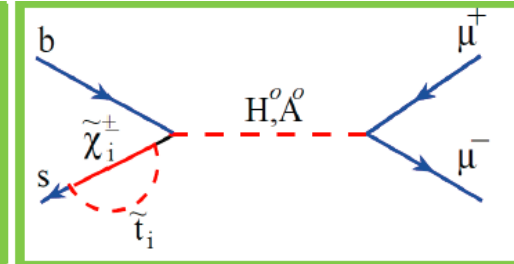
QCD penguin



$\Delta F=2$  box



EW penguin



Higgs penguin

|                  | $b \rightarrow s$   | $b \rightarrow d$  | $c \rightarrow u$                 | $s \rightarrow d$   |
|------------------|---|--|-----------------------------------|---|
| QCD penguin      | $A_{CP}(B_s \rightarrow hhh)$                                 | $A_{CP}(B^0 \rightarrow hhh)$                            | $\Delta a_{CP}(D \rightarrow hh)$ | $K \rightarrow \pi^0 ll$<br>$\varepsilon' / \varepsilon$    |
| $\Delta F=2$ box | $\Delta M_{B_s}$<br>$A_{CP}(B_s \rightarrow J/\psi \phi)$     | $\Delta M_{B_d}$<br>$A_{CP}(B^0 \rightarrow J/\psi K_s)$ | $x, y, q/p$                       | $\Delta M_K$<br>$\varepsilon_K$                             |
| EW penguin       | $B \rightarrow K^{(*)} \mu \mu$<br>$B \rightarrow X_s \gamma$ | $B \rightarrow \pi \mu \mu$<br>$B \rightarrow X \gamma$  | $D \rightarrow X_u ll$            | $K \rightarrow \pi^0 ll$<br>$K \rightarrow \pi^\pm \nu \nu$ |
| Higgs penguin    | $B_s \rightarrow \mu \mu$                                     | $B^0 \rightarrow \mu \mu$                                | $D \rightarrow \mu \mu$           | $K^0 \rightarrow \mu \mu$                                   |