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Article

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Evidence of isospin-symmetry violation in high-energy collisions of atomic nuclei

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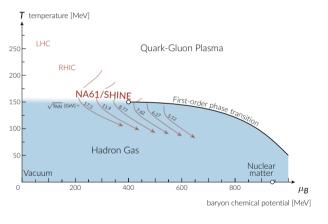
Maciej Lewicki

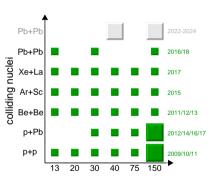
for the NA61/SHINE Collaboration

Institute of Nuclear Physics Polish Academy of Sciences

NA61/SHINE strong interactions program

Exploring the phase diagram of strongly interacting matter with a 2D scan in collision energy and system size

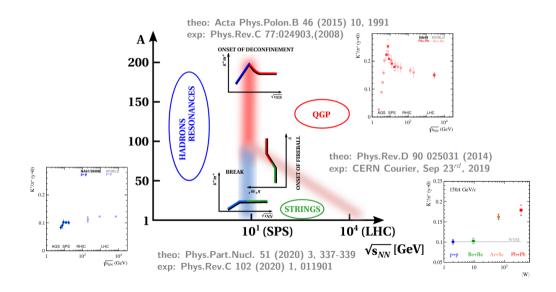


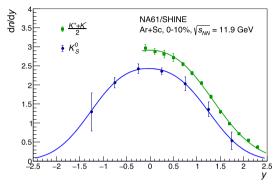


beam momentum (A GeV/c)

$$\sqrt{s_{NN}} \approx 5 - 17 \text{ GeV}$$

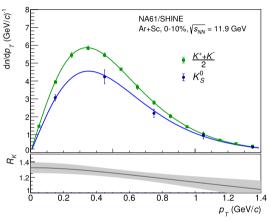
Uniqueness of ion results from NA61/SHINE





$$\frac{K^+ + K^-}{2 \ K_S^0} \quad \text{for } \frac{\mathrm{d} n}{\mathrm{d} y} \text{ at } y \approx 0 = 1.184 \pm 0.014 \pm 0.060$$

(Eur. Phys. J. C 84 (2024) 4, 416) (Nature Comm. 16, 2849 (2025))



• ~four additional charged K mesons per central Ar+Sc collision (extrapolating to 4π)

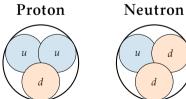
Thank you for your attention!

- Isospin, charge and flavour symmetries
- Testing charge symmetry in production of charged and neutral kaons
- Measuring charged and neutral kaons
- Results on charged-to-neutral kaon ratio
- Symmetry breaking beyond known effects

Isospin, charge and flavour asymmetries

1932: Heisenberg, Wigner → isotopic spin (isospin)

- ► Use spin formalism
- Proton and neutron different manifestation of the same strongly interacting partcile, nucleon
- ► Properties of nuclei and hadrons (Kemmer, 1939)



$$\frac{M_n}{M_p} \approx \frac{940}{938} = 1.002$$

Isospin, charge and flavour asymmetries

Nucleon: Isospin doublet: $I = \frac{1}{2}$, p: $I_z = \frac{1}{2}$, n: $I_z = -\frac{1}{2}$

$$\binom{p}{n} \to \hat{O}\binom{p}{n}$$
,

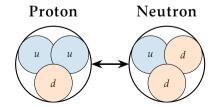
where \hat{O} is a 2 × 2 unitary matrix:

$$\hat{O} = e^{i\vec{\theta} \cdot \hat{\vec{I}}/2}$$

Charge symmetry transformation is a special isospin transformation:

$$\hat{C} \equiv e^{i\pi \hat{I}_y/2} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

Under Ĉ:

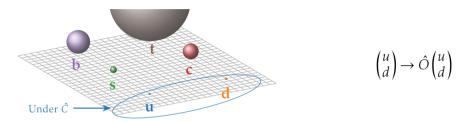


Kaons form isospin doublets, just as the nucleon:

$$\binom{p}{n}$$
, $\binom{K^+}{K^0}$, $\binom{-\bar{K^0}}{K^-}$,...

Isospin, charge and flavour Symmetries

Within QCD, the isospin symmetry of hadrons is traced back to the isospin symmetry of light quarks:

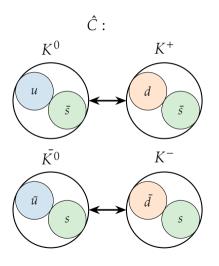


Isospin symmetry is part of flavour symmetry — strong interactions are invariant under the inversion of I_z of every nucleus and hadron of the initial and final states.

Assumption of equal quark masses — good approximation for u and d quarks:

$$m_d - m_u \approx 2.5 \text{ MeV} \ll \Lambda_{\rm OCD} \approx 200 \text{ MeV}$$

- ► Mesonic multiplets (nucleon doublet, pion triplet, kaon doublets)
- ► Reactions conserving isospin (I, I_z) , e.g. $p + p \rightarrow \Lambda + K^+ + p$



$$\hat{C}: (p+p \to K^+ + X) = (n+n \to K^0 + \hat{X})$$

$$\hat{C}:(p+p\to K^-+X)=(n+n\to \bar{K}^0+\hat{X})$$

But these are difficult to measure!

Charge symmetry of strong interactions:

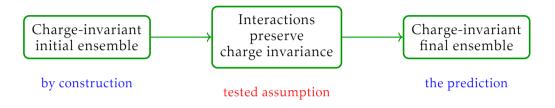
$$\begin{split} (p+p \to K^+ + X) &= (n+n \to K^0 + \hat{X}) \Longrightarrow \langle K^+ \rangle_{pp} = \langle K^0 \rangle_{nn} \\ (p+p \to K^0 + X) &= (n+n \to K^+ + \hat{X}) \Longrightarrow \langle K^0 \rangle_{pp} = \langle K^+ \rangle_{nn} \end{split}$$

Charge-transformation-invariant initial ensemble:

$$\left\{\begin{array}{cc} 50\% & p+p \\ 50\% & n+n \end{array}\right\} \quad \Rightarrow \quad \langle K^+ \rangle = \langle K^0 \rangle$$

Charge-transformation invariant final ensemble

Charge symmetry of interactions \Rightarrow interactions preserve charge-transformation invariance of ensembles



$$\langle K^{+} \rangle = \langle K^{0} \rangle$$

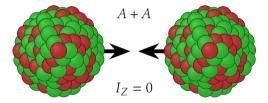
$$\langle K^{-} \rangle = \langle \bar{K}^{0} \rangle$$

$$R_{K} \equiv \frac{\langle K^{+} \rangle + \langle K^{-} \rangle}{\langle K^{0} \rangle + \langle \bar{K}^{0} \rangle} = \frac{\langle K^{+} + K^{-} \rangle}{2 \langle K_{S}^{0} \rangle} = 1$$

$$(m_{K^+} - m_{K^0})/(m_{K^+} + p m_{K^0}) \approx -0.004$$

The first NA61/SHINE friendly test:

- ► Consider collisions of two nuclei with equal number of protons and neutrons: Z = N = A/2
- ► Visualized as:

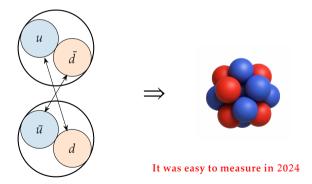


- ► Then the ensemble of A+A initial nuclei does not change under charge transformation:
 - ► It is charge (transformation) invariant

It was easy to measure in 2015+

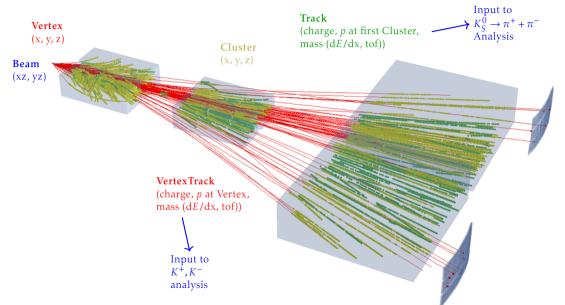
The second NA61/SHINE friendly test:

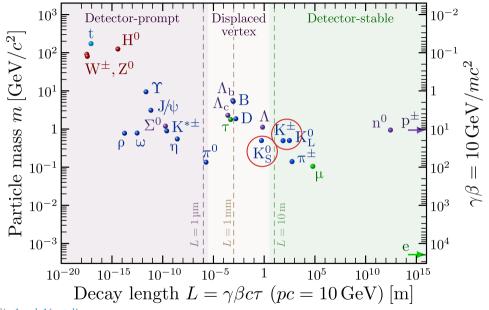
- Consider collisions of $\pi^+ + {}^{12}C$ (50%) and $\pi^- + {}^{12}C$ (50%)
- ► Carbon is charge symmetric: Z = 6, N = 6► $\hat{C}: \pi^+ \to \pi^-$, $\hat{C}: \pi^- \to \pi^+$



► Then the ensemble of $\pi^+/\pi^- + C$ initial pions and nuclei does not change under charge transformation — it is charge (transformation) invariant.

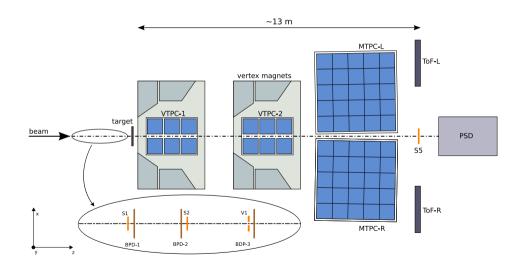
Measuring Charged and Neutral Kaons



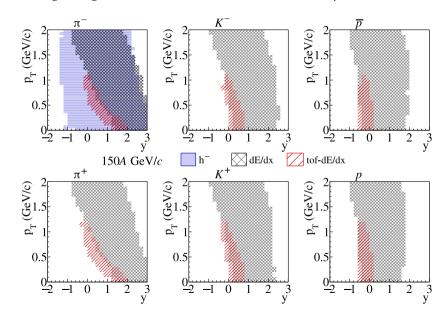


Credit: Izaak Neutelings

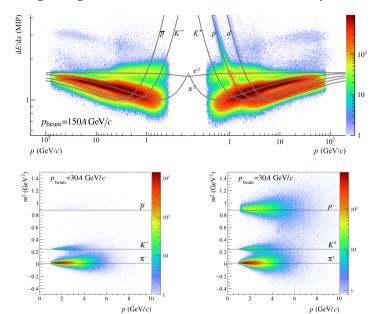
Measuring charged and neutral kaons – NA61/SHINE setup (ca. 2015)



Measuring charged and neutral kaons – dE/dx and to f PID

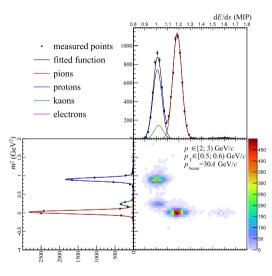


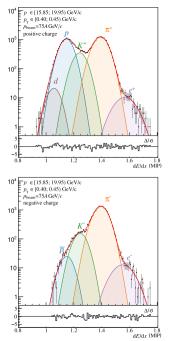
Measuring charged and neutral kaons – dE/dx and tof PID



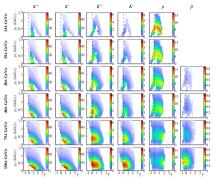
Measuring charged and neutral kaons

PID neutral kaons





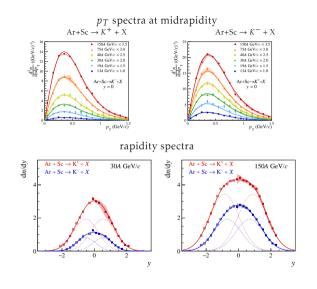
Ar+Sc: charged kaon spectra in y and p_T



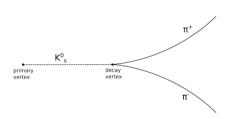
- ► 10% most central ⁴⁰Ar+⁴⁵Sc events
- $ightharpoonup p_T$ spectra fitted with:

$$\frac{\mathrm{d}n}{\mathrm{d}y\,\mathrm{d}p_T} = \frac{S\,p_T}{T^2 + T\,m_K} \exp\left(-\frac{\sqrt{p_T^2 + m_K^2} - m_K}{T}\right)$$

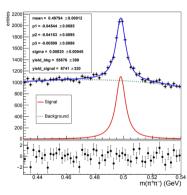
► Rapidity spectra fitted with a sum of symmetric Gaussians to obtain mean multiplicities $\langle K^+ \rangle$, $\langle K^- \rangle$



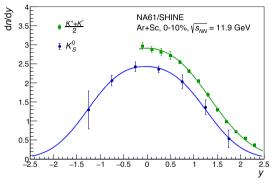
Measuring charged and neutral kaons – K_S^0 PID via decay vertex



- Reconstruction based on decay topology
- K_S^0 decays into π^+ and π^- with BR pprox 69.2%
- Breit-Wigner function is used to describe signal

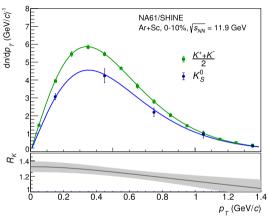


$$y \in (0.5, 1.0)$$
, $p_T \in (1.2, 1.5) \text{ GeV}/c$

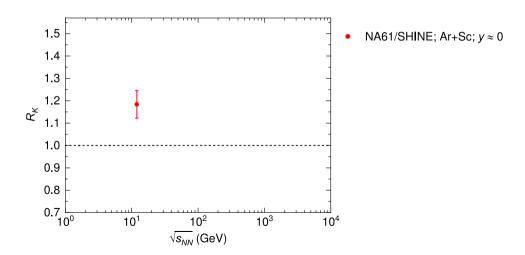


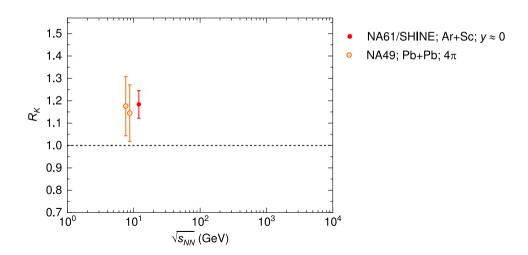
$$\frac{K^+ + K^-}{2 \; K_S^0} \quad \text{for } \frac{\mathrm{d} n}{\mathrm{d} y} \; \text{at} \; y \approx 0 = 1.184 \pm 0.014 \pm 0.060$$

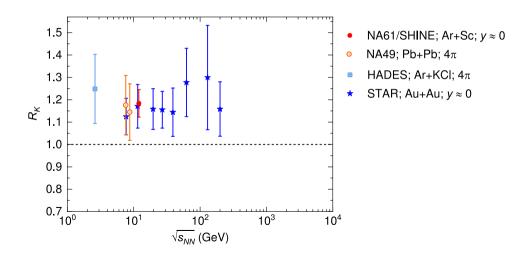
(Eur.Phys.J.C 84 (2024) 4, 416) (Nat Commun 16, 2849 (2025))

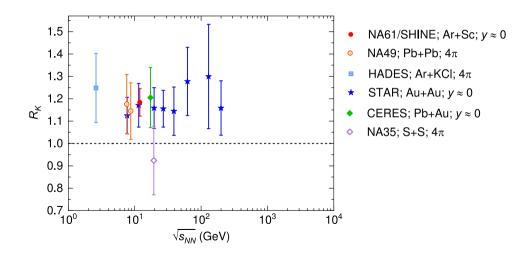


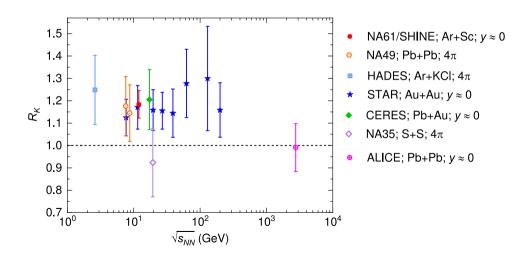
- ► ~four additional charged K mesons per central Ar+Sc collision (extrapolating to 4π)
- ► In spite of having more neutrons than protons in colliding nuclei which favors neutral kaons.

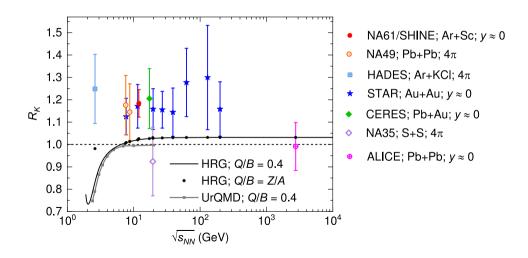




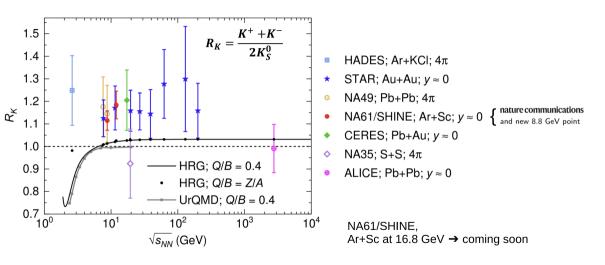


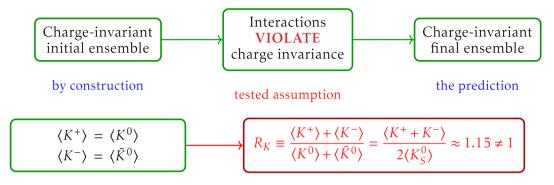






Excess of charged over neutral kaons - with new preliminary NA61/SHINE measurement





Charge symmetry breaking (CSB)

Profound implications – one of these two:

- 1. Multiple experiments are wrong.
- 2. Models are incorrect (\rightarrow QCD is incorrect?).

Known effects contributing to CSB in kaon production:

(A) Mass effects within strong interactions

- ▶ Different *u* and *d* quark masses
 - → different hadron masses within isospin multiplets

e.g.
$$m_{K^+} = m_{K^-} = 493.7 \text{ MeV}$$
 and $m_{K^0} = m_{\bar{K}^0} = 497.6 \text{ MeV}$ $\Rightarrow R_V \uparrow 2\%$

$$\Rightarrow R_K \mid 2\%$$

▶ Different kaon masses affect branching ratios:

e.g.
$$\phi(1020) \to K^+K^- \text{ vs. } \phi(1020) \to K^0\bar{K}^0$$

$$\frac{\Gamma(\phi \to K^+K^-)}{\Gamma(\phi \to K^0\bar{K}^0)} = 1.45$$

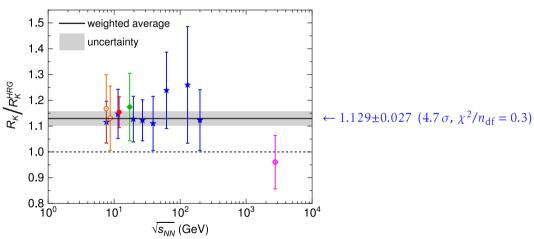
(Also: a0(980), f0(980))

$$\Rightarrow$$
 $R_K \uparrow 1\%$ \bigcirc

The mass and Z < N effects are included in popular models:

Hadron-Resonance Gas (HRG) and Ultra-Relativistic Molecular Dynamics (UrQMD)





HRG and UrQMD agree with each other.

(B) Uncertainties in weak decays

- ► The weak interaction do **not** obey charge symmetry.
- ► Charged and neutral kaons have different mean lifetimes:

$$c\tau(K^+) = c\tau(K^-) \approx 3.7 \text{ m}, \quad c\tau(K_S^0) \approx 2.7 \text{ cm}$$

- ► The results are corrected for losses due to decays.
- ▶ The maximum uncertainty of R_K due to mean lifetime uncertainty is:



(D) Electromagnetic processes do not obey charge symmetry because of different electric charges of *u* and *d* (or charged and neutral kaons).

- ► Hadron EM decays and virtual photon decays to kaons are suppressed by $\alpha \simeq \frac{1}{137}$
- ► EM processes involving total electric charge of nuclei: $Z_1Z_2\alpha^2$ ⇒ Z^2 -dependence of R_K → Not observed in the data
- ▶ $u\bar{u}$ and $d\bar{d}$ creation in strong processes may be affected by different EM strengths. (Large QED corrections to QCD $q\bar{q}$ creation?)

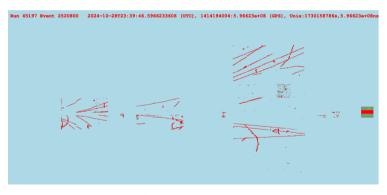
There are **no quantitative calculations** of the effect.

0

Closing Remarks: The Second NA61/SHINE Friendly Test

Is the CSB specific to A+A collisions, or is it a general property of interactions?

 $\pi^+/\pi^- + C$ data will answer this important question.



$$\pi^{\pm}$$
 + C at 158 GeV/c

Closing Remarks: The Second NA61/SHINE Friendly Test

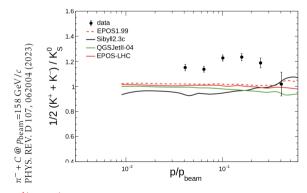
The 2024 data taking on charge symmetry violation

Memorandum requesting use of the allocated test beam for data-taking on $\pi^+ + C$ and $\pi^- + C$ interactions at 158 GeV/c CERN-SPSC-2024-022; SPSC-M-797 - 2024.

In October 2024 NA61/SHINE had two weeks of hadron beam time allocated for tests and calibration.

October 25-30, 2024:

- \rightarrow π^+ + C: 30M events recorded
- \rightarrow π^- + C: 30M events recorded



If CSB is seen in π^\pm + C: it's a general property of interactions. If not: CSB is specific to A+A collisions.

Closing remarks

Ongoing theoretical efforts to describe the seen effect (UrQMD):

- ► Explanation of the observed violation of isospin symmetry in relativistic nucleus-nucleus reactions
 - T. Reichert et al.; e-Print: 2503.10493 [nucl-th]
 - ► Effect explained through asymmetric production of quark-pairs in the fragmentation of the color field $(P(u) \text{ increased } \times 3 \text{ to fit } e^+e^- \text{ data})$

New measurement from BESIII:

- ► Single Inclusive π^{\pm} and K^{\pm} Production in $e^{+}e^{-}$ Annihilation at center-of-mass Energies from 2.000 to 3.671GeV
 - e-Print: 2502.16084 [hep-ex]
 - Observed K^{\pm} vs K_0^0 asymmetry and not π^{\pm} vs π^0 asymmetry.
 - \rightarrow however, both are consistent with the isospin symmetry in parton fragmentation processes

Closing Remarks

► $R_K \approx 1.15$ in A+A

The first experimental evidence of a large charge-symmetry breaking in kaon production

- ► It cannot be explained by known processes violating charge symmetry $(4.7\sigma \text{ difference})$
 - \blacktriangleright $\pi^+/\pi^- + C$ data will answer soon the question:
 - Is the CSB specific to A+A collisions, or
 - Is it a general property of interactions?

Report from the **ISO-BREAK**

Experimental updates:

- ► It WAS measured before, but ignored/disbelieved: talk by H. Stroebele
- ▶ New preliminary results from NA61/SHINE @ 8.8 GeV: talk by A. Rybicki and S. Kowalski
- ► ALICE: talk by F. Ercolessi, no isospin breaking in p+p (900 GeV 13 TeV), p+Pb (5.02 TeV), or Pb+Pb (2.76 TeV)
 - Xe+Xe, O+O, Ne+Ne data taken and to be analyzed
- ► HADES: talk by M. Lorenz

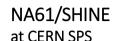
Theory/phenomenology subjective highlights:

- ► Lattice-QCD: talk by B. Brandt "no direct results, difficult observable!" dedicated simulations with $\Delta m_{\rm u,d} \neq 0$ initiated.
- ► UrQMD: talks by M. Bleicher NA61/SHINE data described when string parameters tuned for e⁺e⁻ data (where the effect is also present!) u:d=1:1 probability in string break tuned to u:d=3:1
- ► Overall lack of success.

Thank you for your attention!

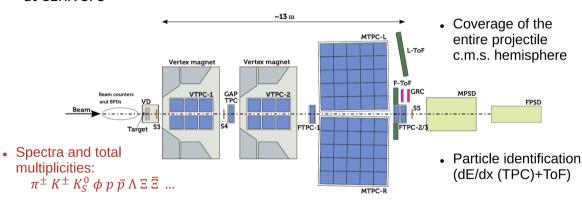
Backup

NA61/SHINE setup – current





 Multipurpose fixed-target spectrometer with unique capabilitites



• Heavy quarks: D^0 and $\overline{D^0}$

 Correlations, fluctuations, HBT, intermittency...

Kaon math

The neutral kaons are eigenstates of strong interaction, oscillating $(K^0 \leftrightarrow \bar{K}^0)$ due to weak interaction. The weak eigenstates are the long-lived 'K-long' K_L^0 and short-lived 'K-short' K_S^0 , which decay into three and two pions with mean lifetimes of 15 m/c and 2.8 cm/c, respectively. By neglecting the small CP violation, the weak and strong kaonic eigenstates are related by:

$$\begin{pmatrix} \begin{vmatrix} K_S^0 \\ K_L^0 \end{pmatrix} \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} \begin{vmatrix} K^0 \\ \bar{K}^0 \end{pmatrix} \end{pmatrix} , \tag{3}$$

hence, for the mean number of K_S^0 and K_L^0 one gets:

$$\langle K_S^0 \rangle = \frac{1}{2} \langle K^0 \rangle + \frac{1}{2} \langle \bar{K}^0 \rangle = \langle K_L^0 \rangle . \tag{4}$$

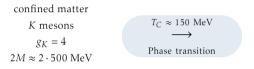
Thus, we have an equal number of long-lived and short-lived kaons, even if $\langle K^0 \rangle$ and $\langle \bar{K}^0 \rangle$ are different due to the non-zero baryon number of the initial state.

By combining Eqs. (1) and (2) and using Eq. (4) one finds:

$$\langle K^+ \rangle + \langle K^- \rangle = 2\langle K_S^0 \rangle , \qquad (5)$$

Strangeness as a probe of deconfinement

- ► No strangeness content in colliding nuclei.
- ► Sensitive to the state of matter created in the fireball.

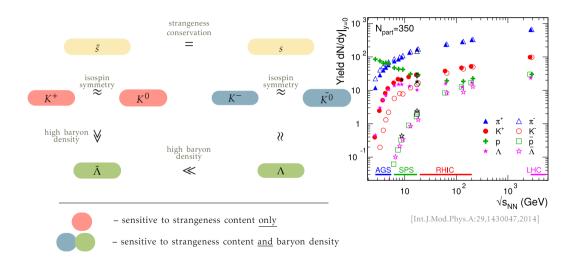


quark-gluon plasma (anti-)strange quarks $g_s = 12$ $2m \approx 2 \cdot 100 \text{ MeV}$

Lightest strangeness carriers:

- ▶ relatively heavy kaons $(M > T_C)$ in the confined phase,
- ▶ relatively light strange quarks $(m \leq T_C)$ in QGP.

Main strangeness carriers in A+A collisions at high μ_B



Strange definitions

Strangeness production $\langle N_{s\bar{s}} \rangle$ – number of s- \bar{s} pairs produced in a collision.

$$2 \cdot \langle N_{S\bar{S}} \rangle = \langle \Lambda + \bar{\Lambda} \rangle + \langle K + \bar{K} \rangle + \langle \phi \rangle + \dots$$

$$2\cdot \langle N_{s\bar{s}}\rangle \approx \langle \Lambda \rangle + \langle K^+ + K^- + K^0 + \bar{K^0}\rangle$$

Entropy production $\propto \langle \pi \rangle$

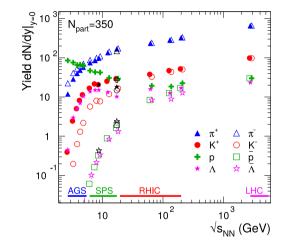
The experimental ratio of strangeness to entropy can be defined as:

$$E_S = \frac{\langle \Lambda \rangle + \langle K + \bar{K} \rangle}{\langle \pi \rangle} \approx \frac{2 \cdot \langle N_{s\bar{s}} \rangle}{\langle \pi \rangle}$$

$$\langle N_{s\bar{s}} \rangle \approx \langle K^+ \rangle + \langle K^0 \rangle \approx 2 \cdot \langle K^+ \rangle, \qquad \langle \pi \rangle \approx \frac{3}{2} \left(\langle \pi^+ \rangle + \langle \pi^- \rangle \right)$$

$$\frac{\langle N_{s\bar{s}} \rangle}{\langle \pi \rangle} \approx \frac{2}{3} \frac{\langle K^+ \rangle}{\langle \pi^+ \rangle}, \qquad E_S \approx \frac{4}{3} \frac{\langle K^+ \rangle}{\langle \pi^+ \rangle}$$

Particle yields – input to HRG model



The energy dependence of experimental hadron yields at mid-rapidity for various species produced in central nucleus-nucleus collisions.

[A. Andronic, Int.J.Mod.Phys.A:29,1430047,2014]

Hadron Resonance Gas

Recipe for intepreting K^+/π^+ within HRG:

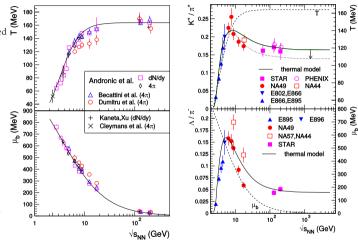
- Fit V, T, μ_B to experimentally measured \vdash vields
- 2 Parametrize the T, μ_B dependence on s_{NN}
- 3 Compare to the experimentally measured K^+/π^+

Expected:

- ► Smoother than data
- ► Approximately reproduces experimental data (because it was the input)

Notable:

- ► Fitted T, μ_B evolve smoothly with s_{NN}
- Addition of σ meson and heavier resonances "enhances" the horn-like shape in the K^+/π^+ dependence on s_{NN} (dotted line)



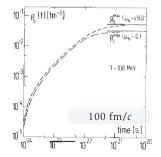
(Andronic, Braun-Munzinger, Stachel; Nucl.Phys. A834 (2010) 237C-240C)

No equilibrium? — dynamical Approach by Rafelski-Müller

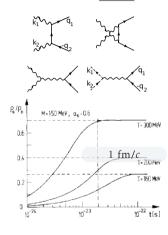
strangeness production in confined matter

$$N + N \rightarrow N + Y + K$$

$$\begin{array}{ll} \pi+\mathrm{N}\to\mathrm{K}+\mathrm{Y} & \pi+\overline{\mathrm{N}}\to\overline{\mathrm{K}}+\overline{\mathrm{Y}} \\ \pi+\mathrm{Y}\to\Xi+\mathrm{K} & \pi+\overline{\mathrm{Y}}\to\overline{\Xi}+\overline{\mathrm{K}} \\ \pi+\Xi\to\Omega+\mathrm{K} & \pi+\overline{\Xi}\to\overline{\Omega}+\overline{\mathrm{K}} \end{array}$$



strangeness production in QGP



(Rafelski, Müller, Phys. Rev. Lett. 48 (1982) 1066)

Statistical Hadronization – γ_s , γ_q

Results on strangess in equilibrium HRG were not satisfactory.

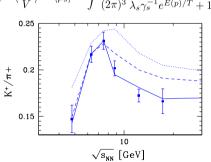
Parameter of "phase-space occupancy" γ_s introduced to improve the fits:

$$\langle \frac{N_s}{V} \rangle = \langle \rho_s \rangle = \int \frac{d^3p}{(2\pi)^3} \frac{1}{\lambda_s^{-1} \gamma_s^{-1} e^{E(p)/T} + 1}, \quad \langle \frac{N_{\bar{s}}}{V} \rangle = \langle \rho_{\bar{s}} \rangle = \int \frac{d^3p}{(2\pi)^3} \frac{1}{\lambda_s \gamma_s^{-1} e^{E(p)/T} + 1}$$

Due to larger mass of *s* quark it requires more time to saturate and so it doesn't reach equilibrium value.

- $\rightarrow \gamma_s$ < 1 at lower collision energies (AGS, SPS).
- $\rightarrow \gamma_s = 1$ at higher energies (from RHIC).

Similarly, γ_q factor can be introduced to reflect the undersaturation of u, d quarks.

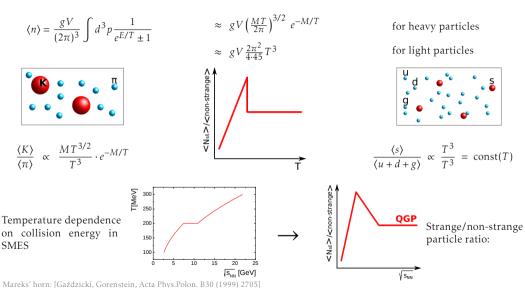


dotted: γ_q , $\gamma_s = 1$ dashed: $\gamma_q = 1$, $\gamma_s < 1$ solid: γ_a , $\gamma_s < 1$

but is it still a statistical model?

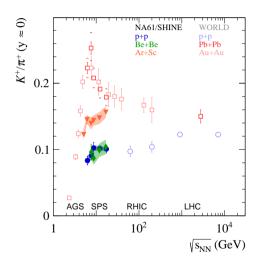
(J. Rafelski; Eur.Phys.J.ST 155 (2008) 139-166)

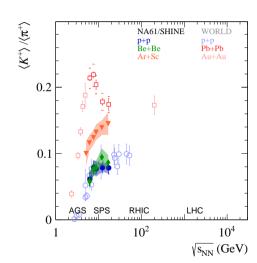
Strangeness in Statistical Model of Early Stage



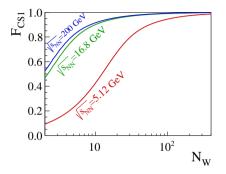
11/14

K^+/π + ratio dependence on collision energy

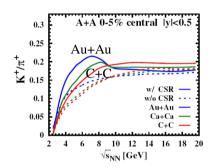




System size dependence in statistical and dynamical models



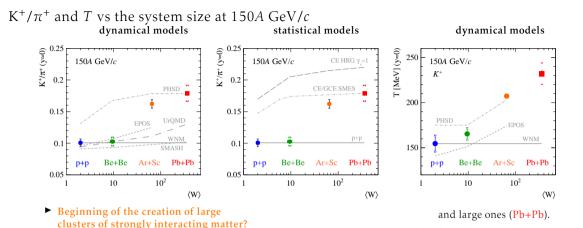
- ► Arises due to differences between GC and C formulation.
- ► Local conservation of quantum numbers severely reduces the phase space available for particle production.



- PHSD features the onset of deconfinement.
- Predicts increase of strangeness production with system size at low collision energies (<10 GeV) and decrease at high collision energies (>10 GeV).

(Palmese et al., PRC94 (2016) 044912)

(Tounsi, Redlich; Nucl.Phys.A 715 (2003) 565c-568c)



to intermediate (Ar+Sc) Rapid change of observables when going from small (p+p, Be+Be)

▶ None of the models reproduce K^+/π^+ ratio nor T for whole $\langle W \rangle$ range

PHSD: Eur.Phys.J.A 56 (2020) 9, 223, arXiv:1908.00451 and private communication; SMASH: J.Phys.G 47 (2020) 6, 065101 and private communication; UrQMD and HRG: Phys. Rev. C99 (2019) 3, 034909 SMES: Acta Phys. Polon. B46 (2015) 10. 1991 - recalculated

p+p: Eur. Phys. J. C77 (2017) 10, 671 Be+Be: Eur. Phys. J. C81 (2021) 1, 73 Ar+Sc: NA61/SHINE preliminary Pb+Pb: Phys. Rev. C66, 054902 (2002)