

# Complexity in Quantum Field Theories

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Kraków, 21.03.2024

## Outline:

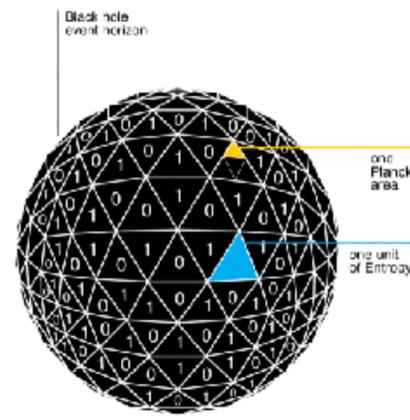
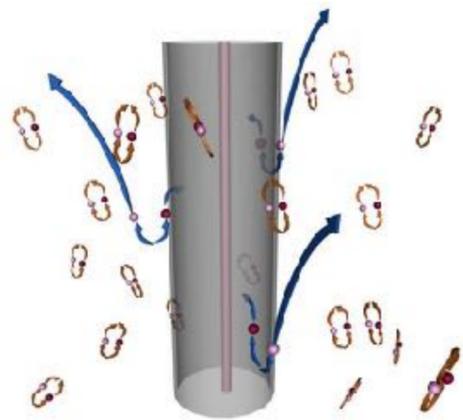
- History/Motivation
- Krylov basis complexity of states and operators
- Applications
- Conclusions and Open Questions

Based on works with:

V. Balasubramanian, J. Magan, Q. Wu, D. Patramanis, S. Liu



# Black Holes: (Theoretical) Laboratory for QM and QGR

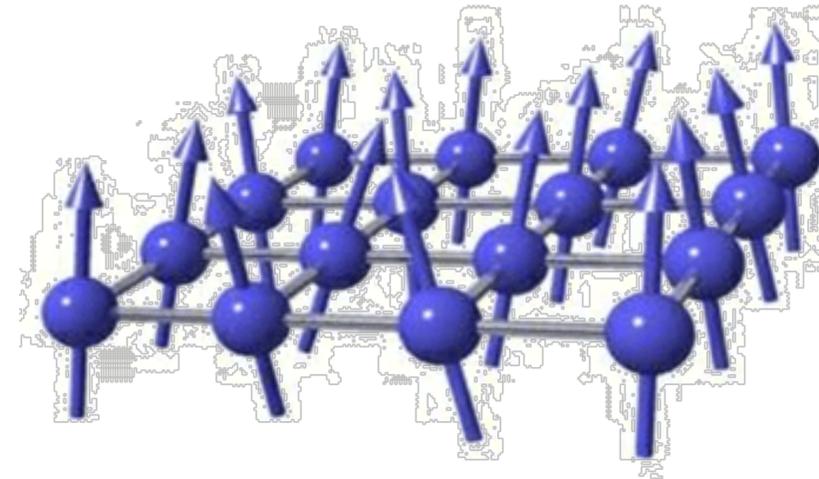
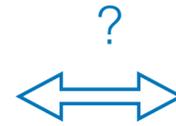
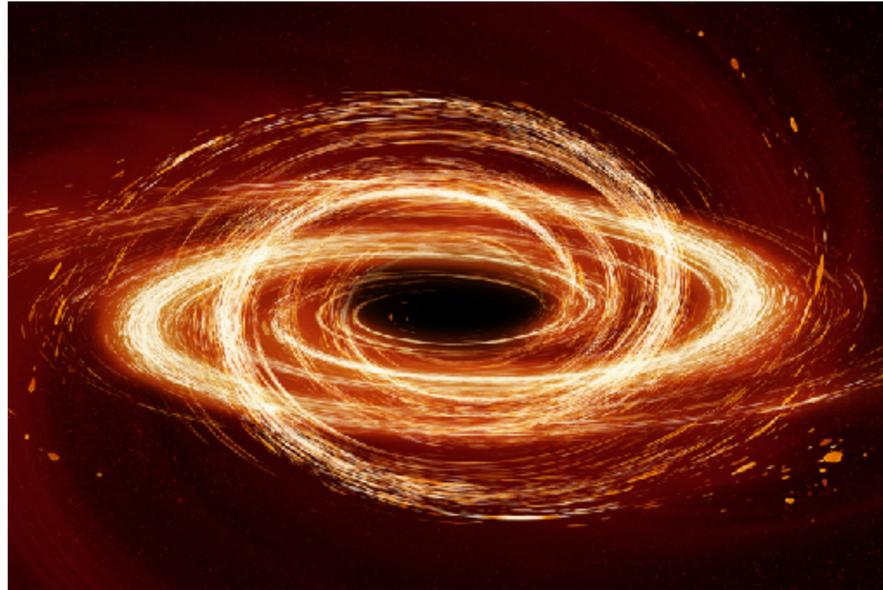


They radiate (Information paradox), holographic (entropy), the fastest scramblers of information, Page curve? Extreme in thermalisation,.....

We need a concrete model of Quantum Gravity! Or maybe a new paradigm...?

# AdS = CFT

[Maldacena '97]



Strongly coupled Many-Body system at finite temperature

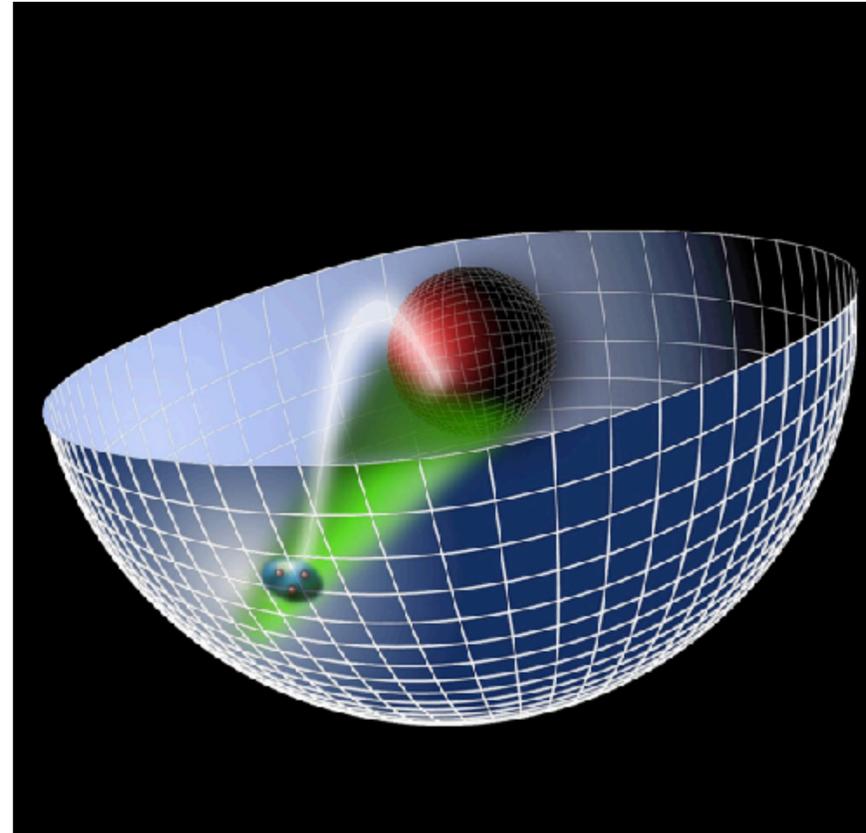
Logic (abductive reasoning): “Black Hole test”:

If it has entropy of a BH, scrambles like a BH and is complex like a BH, then it probably is a BH...

N=4 SYM, SYK, Random Matrix models...

# AdS = CFT

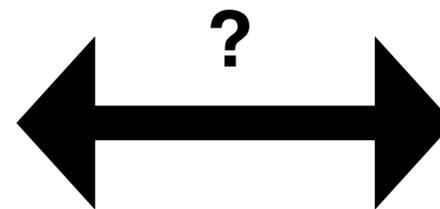
**Quantum Gravity**



**Quantum Field Theory**

“Hilbert spaces are isomorphic”

**QGR Basis**

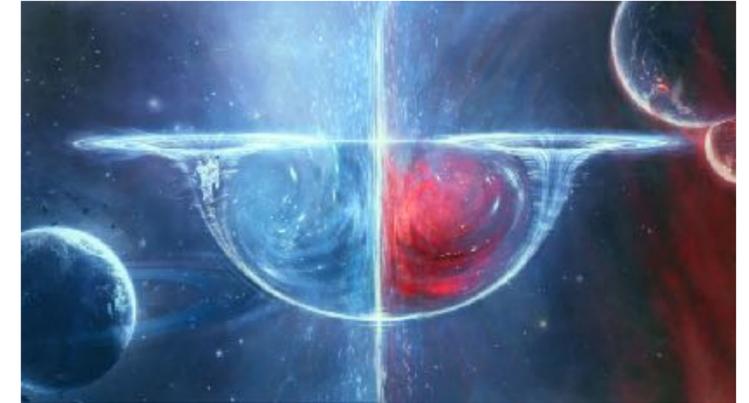
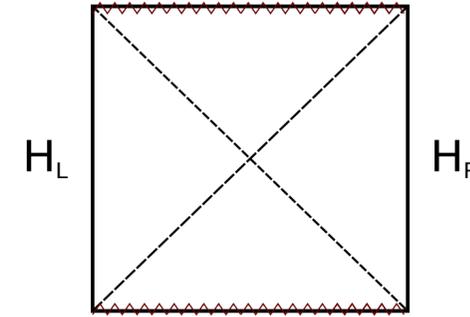


**QFT Basis**

# Black Hole interiors grow with time!

[Maldacena '01]

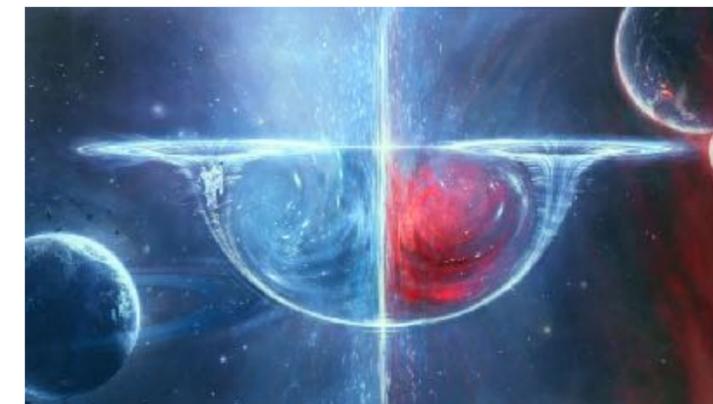
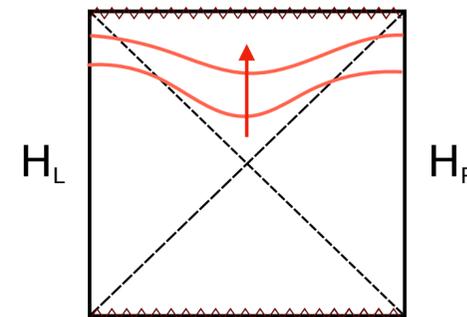
$$|\Psi_\beta\rangle = \frac{1}{\sqrt{Z(\beta)}} \sum_n e^{-\frac{\beta}{2} E_n} |n, n\rangle$$



# Black Hole interiors grow with time!

[Hartman, Maldacena '13]

$$|\Psi_\beta(t)\rangle = e^{-i(H_L + H_R)t} \frac{1}{\sqrt{Z(\beta)}} \sum_n e^{-\frac{\beta}{2} E_n} |n, n\rangle$$



What is the “CFT dual” of this growth? “Complexity” of the TFD state?

[Susskind, '14]

Is there a universal (useful/computable in QFT) notion of “Complexity”?

## COMPLEXITY

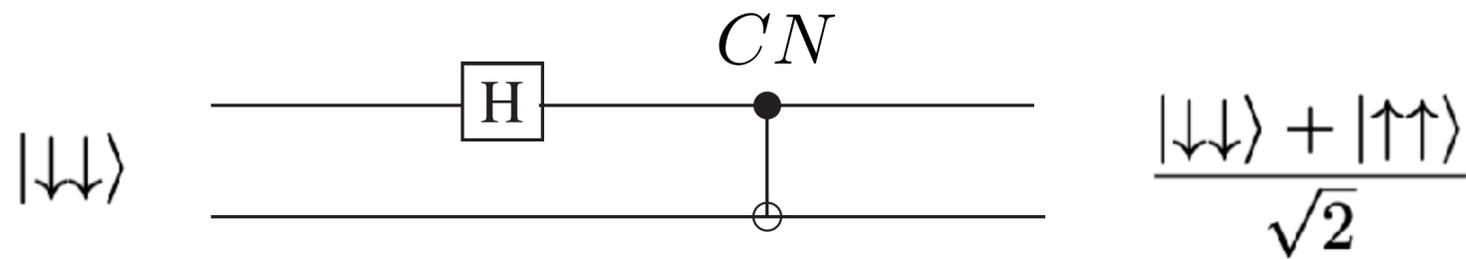
**Hardness of tasks given limited resources**



# COMPLEXITY

## Hardness of tasks given limited resources

Circuit Complexity: **Minimal number of gates**



Complexity = 2



C. Shannon, Bell System Technical Journal. (1949)

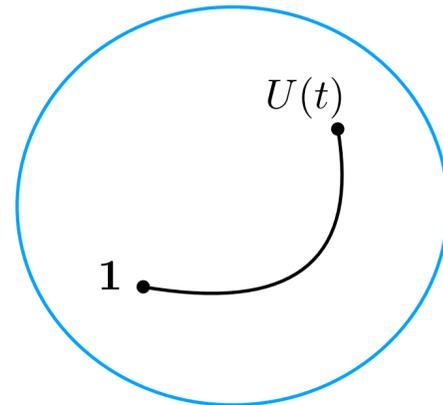


# COMPLEXITY

## Hardness of tasks given limited resources

Geometric Complexity: **Geodesic Length**

$$|\Psi(t)\rangle = U(t) |\Psi(0)\rangle$$



M. Nielsen et al.  
Science (2006)

## Applications to QFT

Nielsen complexity in free QFTs, Conformal Field Theories

[Jefferson,Myers'17][PC,Magan'18]

Lessons: First and Second Laws of Quantum Complexity

[Brown,Susskind'17]

Ambiguities... (choice of gates, cost functions)

**Physical definition of Complexity?**

# Physics Problems

Unitary evolution of states or operators:

$$i\partial_t |\Psi(t)\rangle = H |\Psi(t)\rangle$$

$$\partial_t \mathcal{O}(t) = i[H, \mathcal{O}(t)]$$

$$|\Psi(t)\rangle = e^{-iHt} |\Psi(0)\rangle$$

$$\mathcal{O}(t) = e^{iHt} \mathcal{O}(0) e^{-iHt}$$

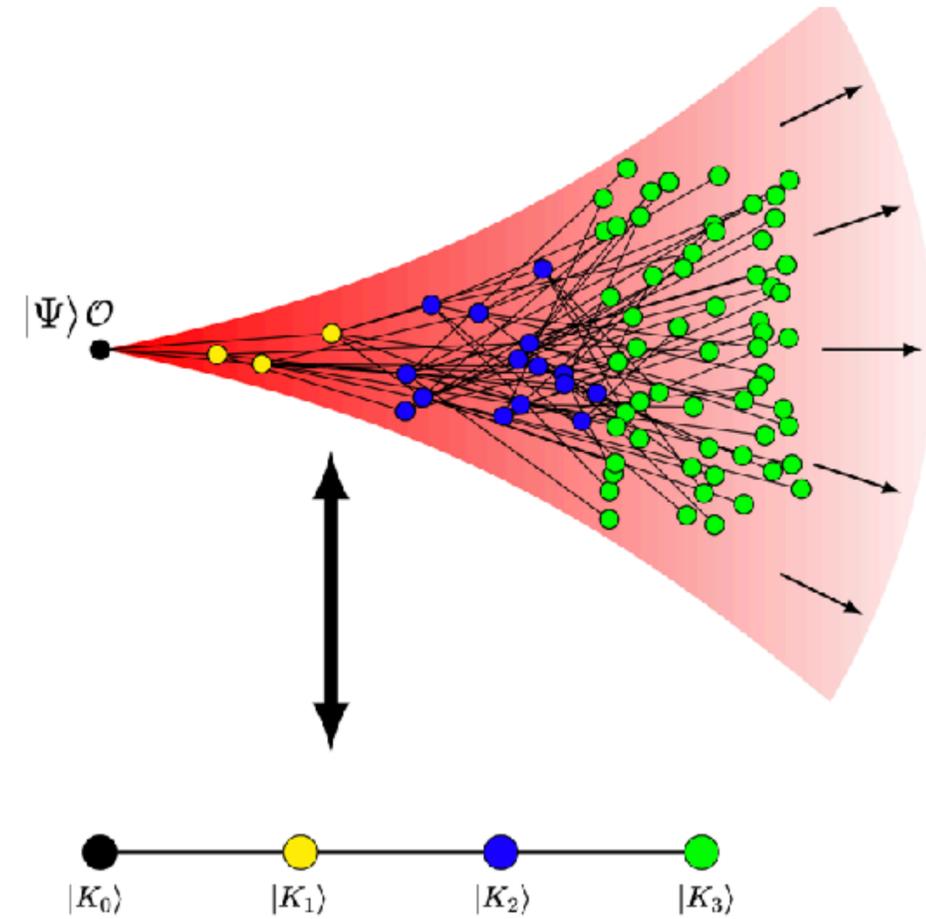
Generically, a “simple” reference quantum state  $|\Psi(0)\rangle$  “**spreads**” and becomes “complex” (in Hilbert space)

Generically, a “simple” operator  $\mathcal{O}(0)$  “grows” and becomes “**complex**” (in operator space)

**Q:** How to quantify this “**Complexity**”?

## Basic Idea

Map the unitary evolution into a “1d chain” and quantify **Complexity** as a distance from the origin



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Map the unitary evolution into a “1d chain” and quantify **Complexity** as a distance from the origin

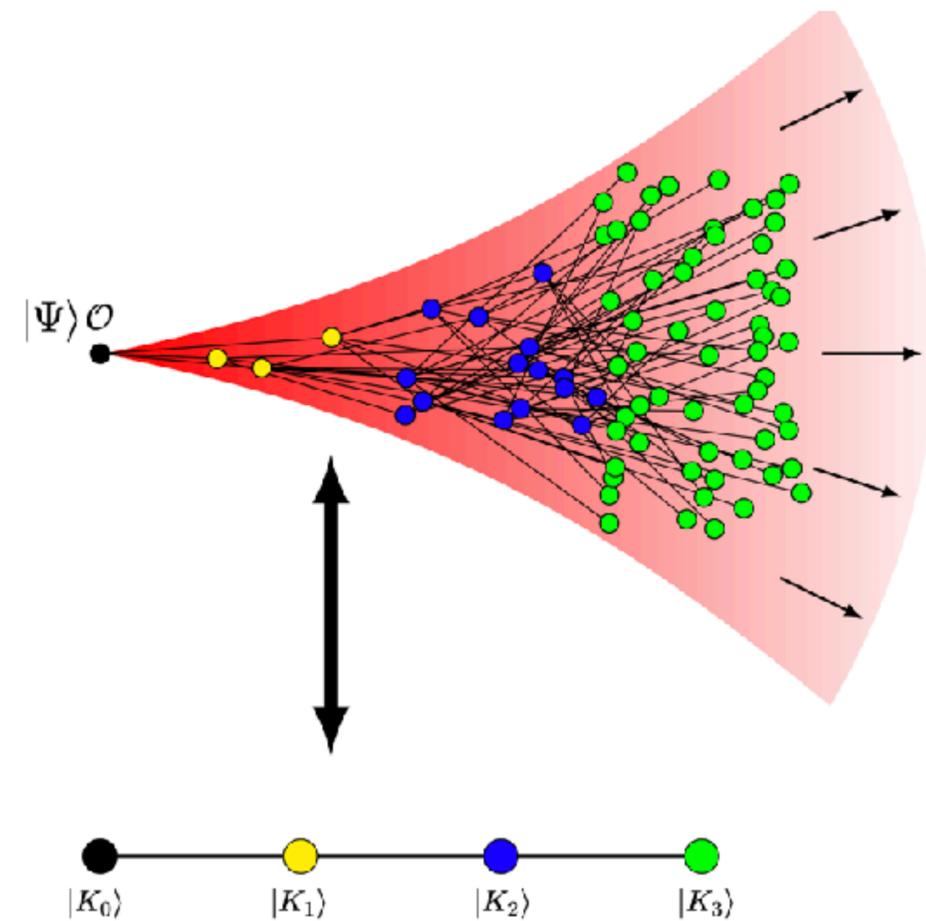
Technically:

$$|\Psi(t)\rangle = e^{-iHt} |\Psi_0\rangle = \sum_n \phi_n(t) |K_n\rangle$$

Coefficients of the expansion = probability distribution

$$\sum_n |\phi_n(t)|^2 \equiv \sum_n p_n = 1$$

Use it to characterise **spread and growth**



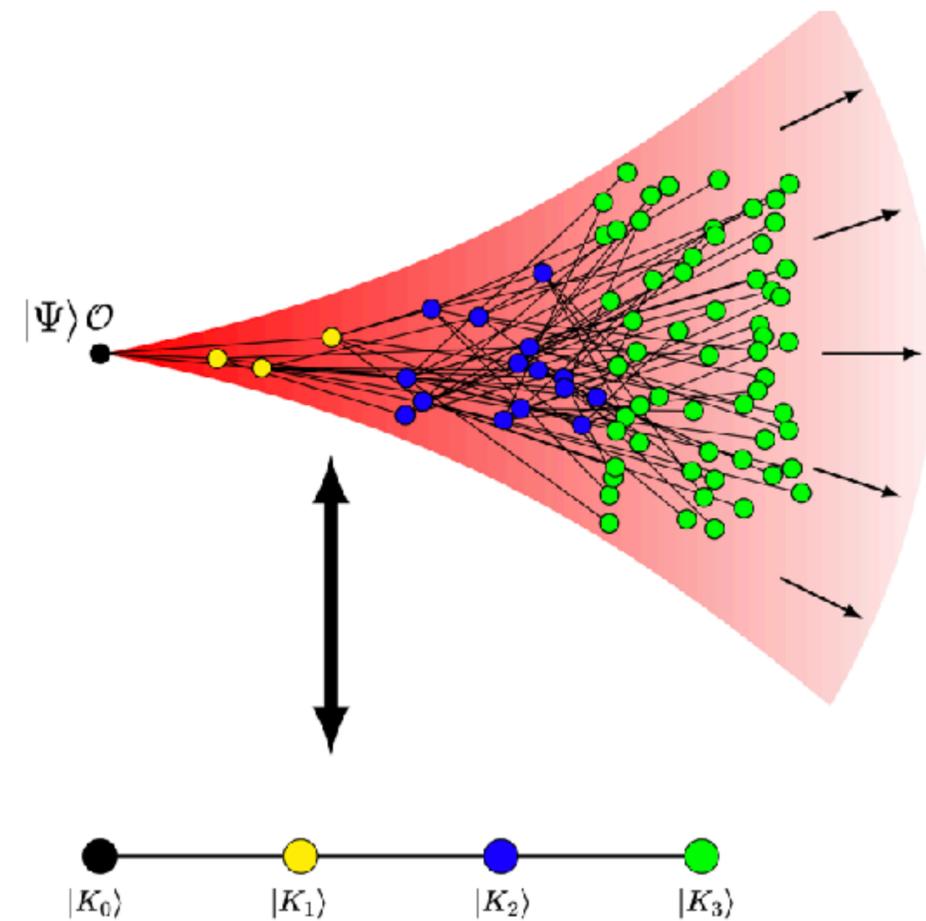
[Roberts, Stanford, Susskind '16][Qi, Streicher '18]  
[Parker, Cao, Avdoshkin, Scaffidi, Altman '19]  
[Balasubramanian, PC, Magan, Wu'22]

## Basic Idea

Map the unitary evolution into a “1d chain” and quantify **Complexity** as a distance from the origin

Krylov/Spread complexity

$$\mathcal{C}(t) = \langle n \rangle = \sum_n n p_n(t)$$



[Roberts, Stanford, Susskind '16][Qi, Streicher '18]  
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## Basic Idea

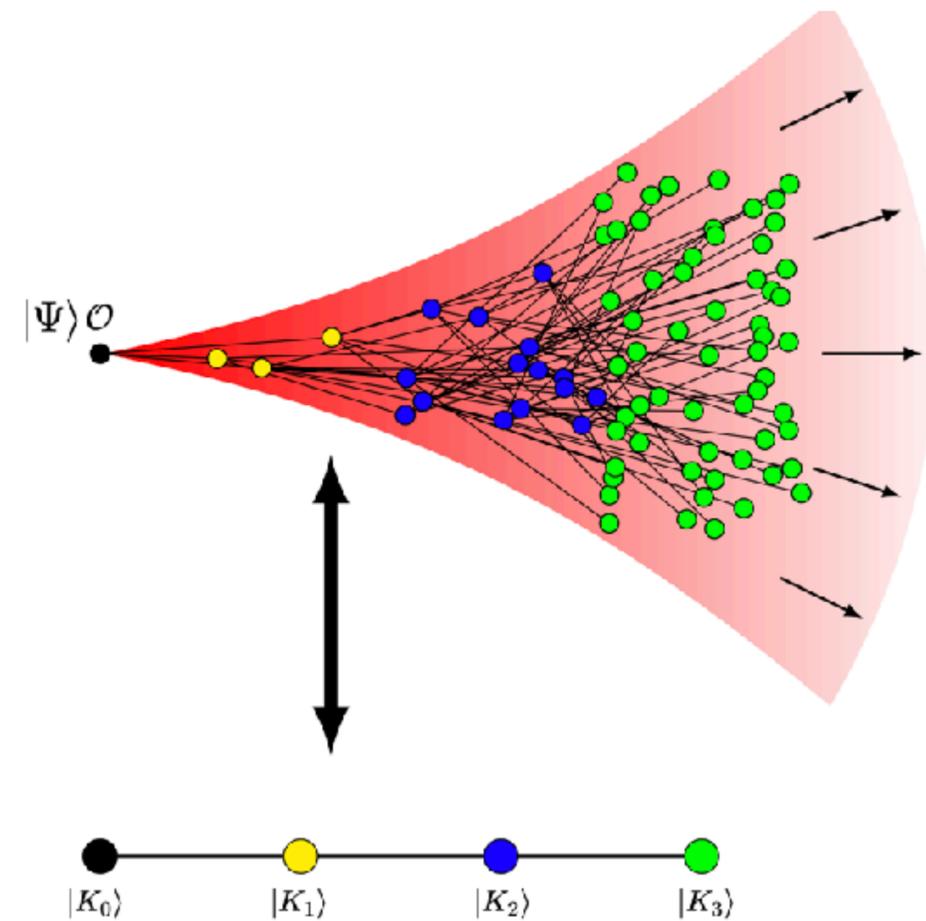
Map the unitary evolution into a “1d chain” and quantify **Complexity** as a distance from the origin

Krylov/Spread complexity

$$\mathcal{C}(t) = \langle n \rangle = \sum_n n p_n(t)$$

Krylov entropy (Shannon)

$$S(t) = - \sum_n p_n(t) \ln p_n(t)$$



[Roberts, Stanford, Susskind '16][Qi, Streicher '18]  
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## Aleksey Nikolaevich Krylov (1863-1945)

Russian naval engineer and applied mathematician

Became famous for pioneering “Theory of oscillating motions of the ship”

Alekandr Lyapunov was his cousin

In 1931 he wrote a paper on Krylov subspace:  $A$   $N \times N$  matrix and  $b$   $N$ -vec

$$\mathcal{K}_r(A, b) = \text{span} \{b, Ab, A^2b, \dots, A^{r-1}b\}$$

Goal: efficient diagonalization of matrices and computation of characteristic polynomial coefficients.

“... he was concerned with efficient computations and counted **computational work/complexity** as the number of separate numerical multiplications”.



## Krylov Basis

Unitary evolution/Q-circuit

$$|\Psi(t)\rangle = e^{-iHt} |\Psi_0\rangle = \sum_{n=0}^{\infty} \frac{(-it)^n}{n!} |\Psi_n\rangle$$

Goal: Given states (Krylov subspace)

$$|\Psi_n\rangle \equiv \{|\Psi_0\rangle, H|\Psi_0\rangle, \dots, H^n|\Psi_0\rangle, \dots\}$$

construct an orthonormal basis  $|K_n\rangle$  recursively (Lanczos algorithm, Gram-Schmidt):

$$|A_{n+1}\rangle = (H - a_n)|K_n\rangle - b_n|K_{n-1}\rangle, \quad |K_n\rangle = b_n^{-1}|A_n\rangle$$

with “**Lanczos coefficients**”

$$a_n = \langle K_n | H | K_n \rangle, \quad b_n = \langle A_n | A_n \rangle^{1/2}$$

Such that  $b_0 = 0$  and  $|K_0\rangle = |\Psi_0\rangle$

## Krylov Basis

[Recursion Method: Viswanath, Muller '63]

In the Krylov basis, the Hamiltonian becomes tri-diagonal

$$H|K_n\rangle = a_n|K_n\rangle + b_{n+1}|K_{n+1}\rangle + b_n|K_{n-1}\rangle$$

$$\langle K_m | H | K_n \rangle = \begin{pmatrix} a_0 & b_1 & 0 & 0 & \cdots \\ b_1 & a_1 & b_2 & 0 & \cdots \\ 0 & b_2 & a_2 & b_3 & \cdots \\ 0 & 0 & b_3 & a_3 & \ddots \\ \vdots & \vdots & \vdots & \ddots & \ddots \end{pmatrix}$$

“Hessenberg form”

When expanding our state in the Krylov basis

$$|\Psi(t)\rangle = e^{-iHt} |\Psi_0\rangle = \sum_n \phi_n(t) |K_n\rangle$$

$$\sum_n |\phi_n(t)|^2 \equiv \sum_n p_n = 1$$

by construction, we have a Schrödinger equation for the coefficients (amplitudes)

$$i\partial_t \phi_n(t) = a_n \phi_n(t) + b_n \phi_{n-1}(t) + b_{n+1} \phi_{n+1}(t)$$

$$\phi_n(0) = \delta_{n,0}$$

## QI: Complexity = “Spread in Hilbert space”

[Balasubramanian, PC, Magan, Wu '22]

Starting from the state:  $|\psi(t)\rangle = e^{-iHt}|\psi(0)\rangle$

Take a basis:  $\mathcal{B} = \{|B_n\rangle : n = 0, 1, 2, \dots\}$  and a “cost function” (a family,  $c_n = n$ )

$$C_{\mathcal{B}}(t) = \sum_n c_n |\langle \psi(t) | B_n \rangle|^2 \equiv \sum_n c_n p_{\mathcal{B}}(n, t)$$

Define Complexity as the minimum over basis choices

$$C(t) = \min_{\mathcal{B}} C_{\mathcal{B}}(t)$$

minimum (finite t) for the Krylov basis!

## Summary

### States

$$|\Psi(t)\rangle = e^{-iHt} |\Psi_0\rangle = \sum_n \phi_n(t) |K_n\rangle$$

$$i\partial_t \phi_n(t) = a_n \phi_n(t) + b_n \phi_{n-1}(t) + b_{n+1} \phi_{n+1}(t)$$

$$S(t) \equiv \langle \Psi(t) | \Psi(0) \rangle = \langle \Psi_0 | e^{iHt} | \Psi_0 \rangle = \phi_0^*(t)$$

### Operators

$$|\mathcal{O}(t)\rangle = e^{i\mathcal{L}t} |\mathcal{O}\rangle \equiv \sum_n i^n \varphi_n(t) |\mathcal{O}_n\rangle \quad \mathcal{L} = [H, ]$$

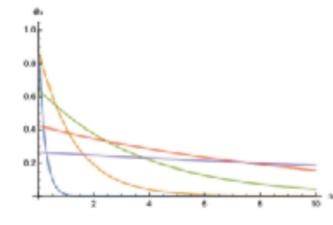
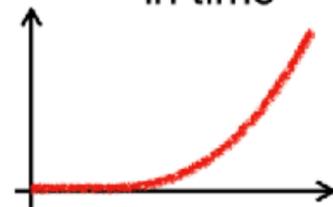
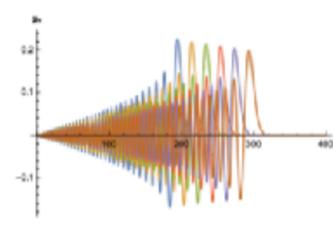
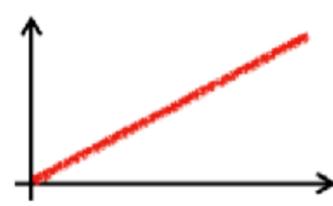
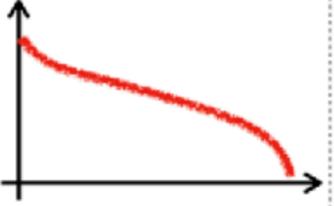
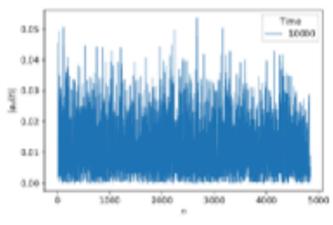
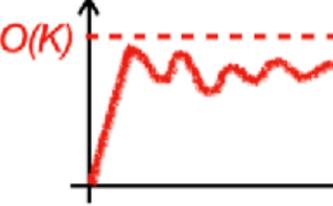
$$\partial_t \varphi_n(t) = b_n \varphi_{n-1}(t) - b_{n+1} \varphi_{n+1}(t)$$

$$\begin{aligned} S(t) &= (\mathcal{O}_0 | \mathcal{O}(t)) = (\mathcal{O}_0 | e^{i\mathcal{L}t} | \mathcal{O}_0) = \varphi_0(t) \\ &= \frac{1}{Z} \sum_{n,m} |\langle n | \mathcal{O} | m \rangle|^2 e^{-(\frac{\beta}{2} - it)E_n} e^{-(\frac{\beta}{2} + it)E_m} \end{aligned}$$

# Applications

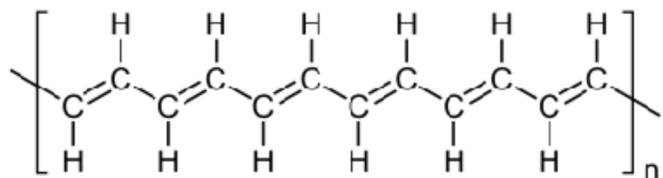
# Extensive studies of the operator growth

[Parker, Cao, Avdoshkin, Scaffidi, Altman '19]  
 [Barbon, Rabinovici, Shir, Sinha '19]  
 [Rabinovici, Sanchez-Garrido, Shir, Sonner '21'22]

$n$	Lanczos coefficients	wavefunction	K-complexity	time scales
$1 \ll n < S$	Linear growth in $n$ $b_n \sim \alpha n$		Exponential growth in time 	$0 \lesssim t \lesssim \log S$
$n \gg S$	Plateau, constant in $n$ $b_n \sim \Lambda S$		Linear growth in time 	$t \gtrsim \log S$
$n \sim e^{2S}$  $S = \# \text{dof}$	Descent 		Saturation 	$t \sim e^{2S}$

## Detecting topological phases?

Su–Schrieffer–Hegger model (polyacetylene)

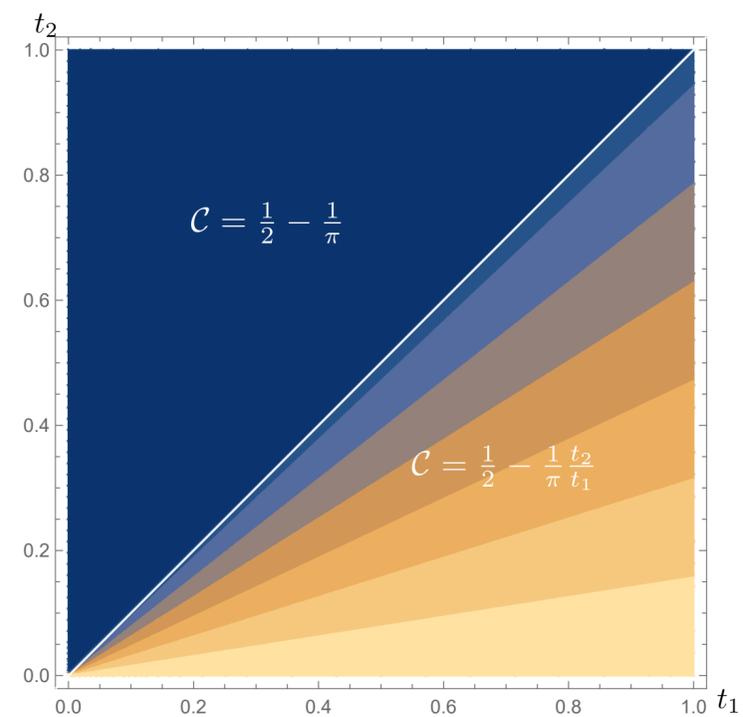


$$H = t_1 \sum_i \left( c_{Ai}^\dagger c_{Bi} + \text{h.c.} \right) - t_2 \sum_i \left( c_{Bi}^\dagger c_{A,i+1} + \text{h.c.} \right)$$

Depending on  $t$ 's the ground state of the model:

$$|\Omega\rangle = \prod_{k>0} \mathcal{N}_k e^{-i \tan\left(\frac{\phi_k}{2}\right) (J_+^{(k)} + J_+^{(-k)})} \left| \frac{1}{2}, -\frac{1}{2} \right\rangle_k$$

non-topological phase ( $t_1 > t_2$ ) or topological insulator ( $t_1 < t_2$ ).



## Complexity of the TFD evolution

[Balasubramanian, PC, Magan, Wu '22]

Consider the TFD state as initial state

$$|\Psi_\beta\rangle = \frac{1}{\sqrt{Z(\beta)}} \sum_n e^{-\frac{\beta}{2} E_n} |n, n\rangle$$

$$Z(\beta) = \sum_n e^{-\beta E_n}$$

And evolution

$$|\psi_\beta(t)\rangle = e^{-iHt} |\psi_\beta\rangle$$

Lanczos coefficients encoded in the spectral form factor

$$S(t) = \langle \Psi_\beta(t) | \Psi_\beta \rangle = \frac{Z(\beta - it)}{Z(\beta)}$$

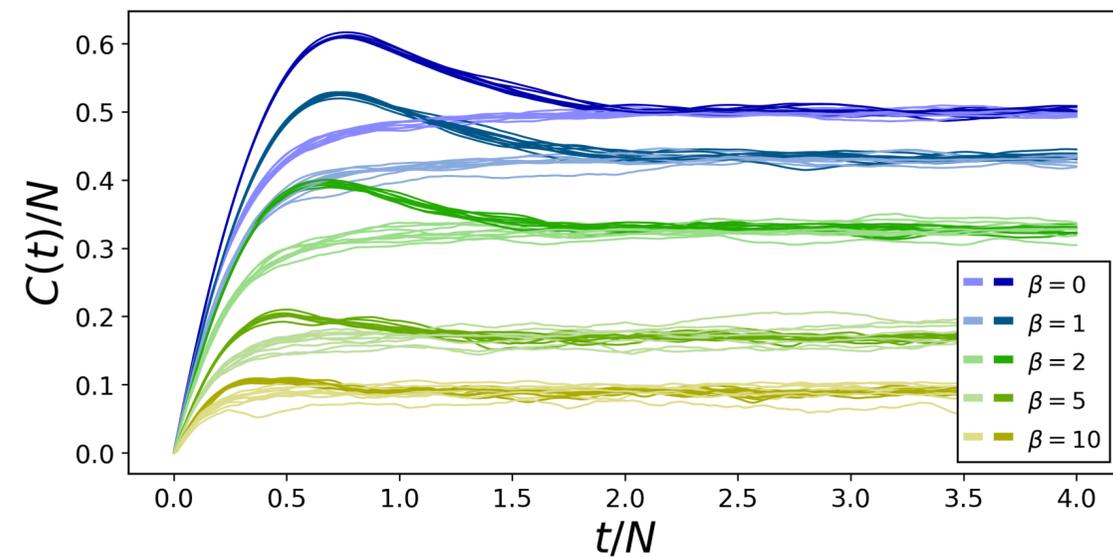
Direct sensitivity to the spectrum!

# Evolution of the TFD for RMT

[Balasubramanian, PC, Magan, Wu '22]

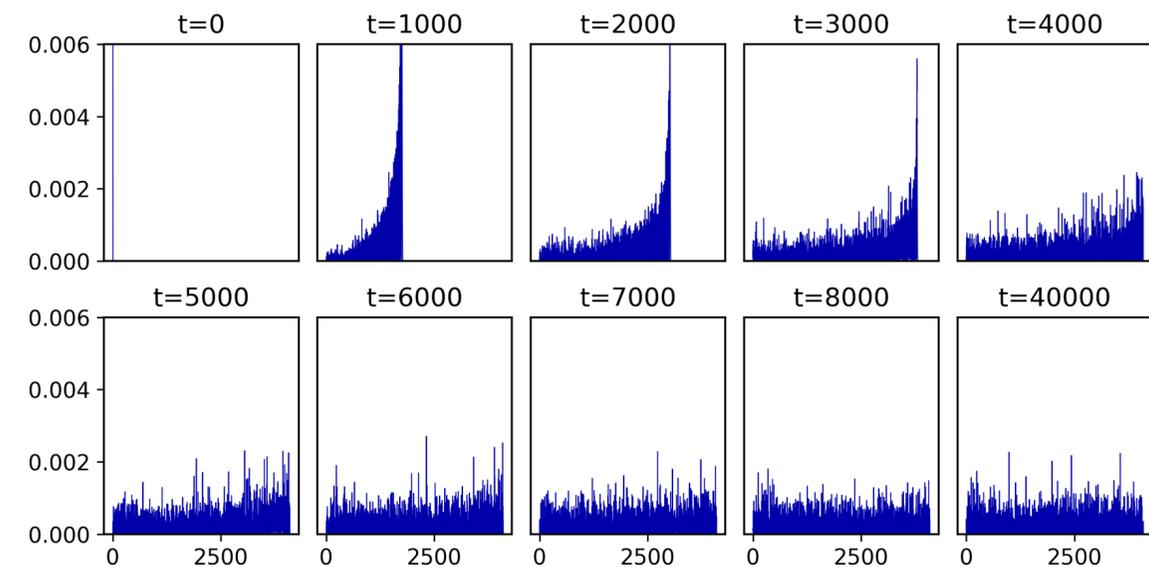
Spread Complexity for TFD evolved with GUE Hamiltonian

Ramp, Peak, Slope, Plateau



$$N = \{1024, 1280, 1536, 1792, 2048, 2560, 3072, 3584, 4096\}$$

$\rho(t)$

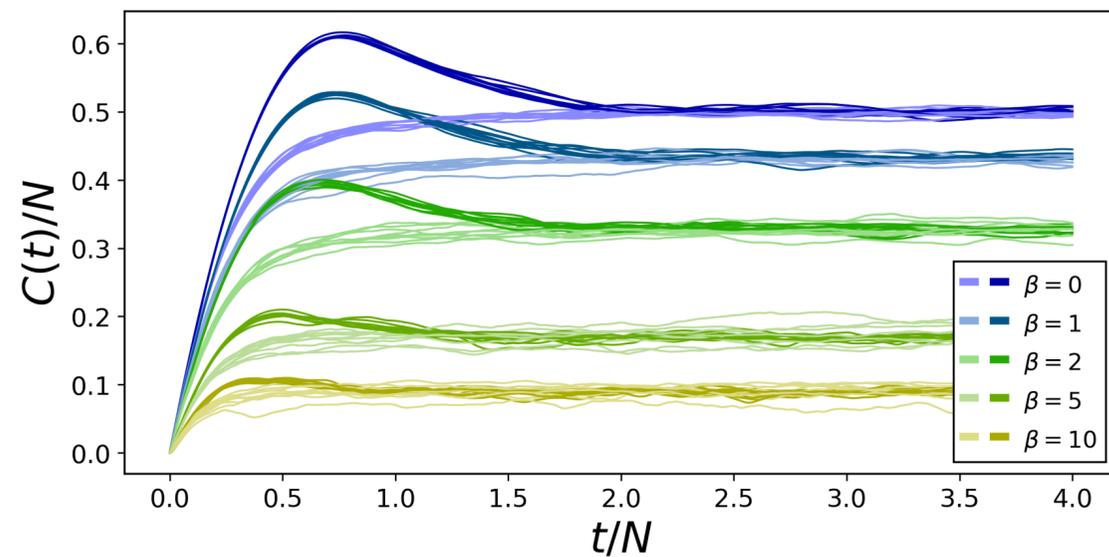


# Quantum Chaos and Spread Complexity

[Balasubramanian, PC, Magan, Wu '22]

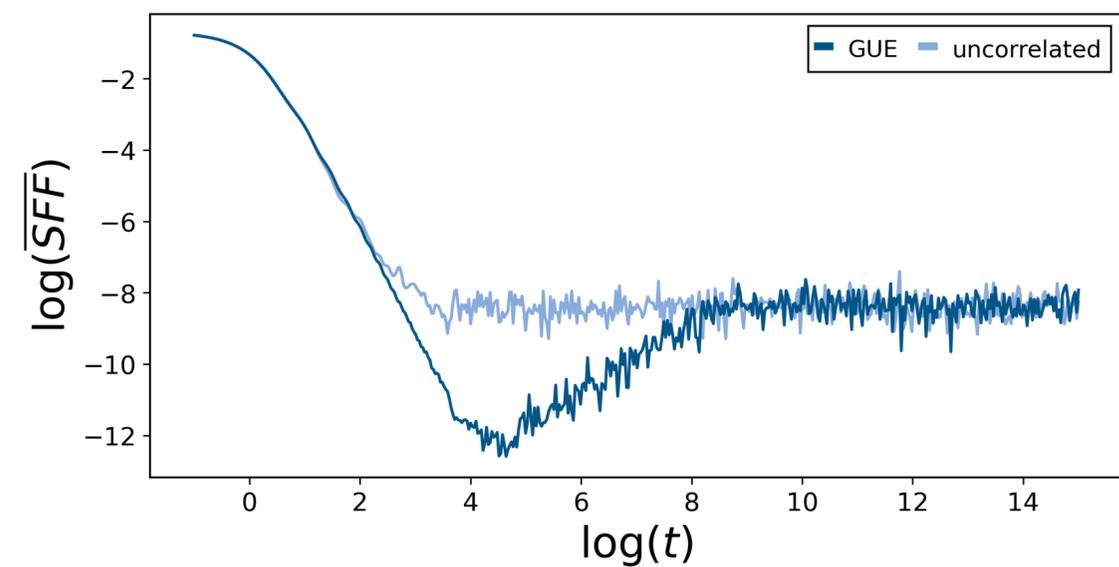
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$N = \{1024, 1280, 1536, 1792, 2048, 2560, 3072, 3584, 4096\}$

Spectral Form Factor



$N = 4096$  and  $\beta = 1$ , averaged over 10 samples of the GUE

## Spread Complexity and Geodesic Length in JT gravity

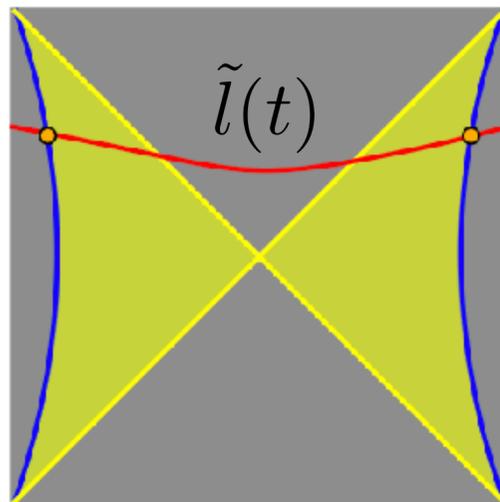
Isomorphism of Hilbert spaces in SYK and JT gravity:

[Berkooz, Narayan, Simon '18, ...][Lin '23]

### Chord Basis = Krylov Basis

Spread Complexity in SYK matches the JT length

[Rabinovici et al. '23]



$$|\phi(t)\rangle = e^{-itT} |0\rangle$$

$$T = \gamma (a + a^\dagger)$$

$$\lambda \widetilde{C}_K(t) = \frac{\tilde{l}(t)}{l_{AdS}}$$

## Conclusions

- New "physical" complexity measures for operators/states in QFTs
- New tools for Quantum Many-Body, Quantum Chaos/Integrability, Quantum Gravity
- Reproduce the growth of Black Hole interiors in toy models (SYK, JT)
- Universal laws for Spread/Krylov complexity?
- Relation with QI or QC approaches? Circuit, Kolmogorov, Nielsen...?
- Quantum Black Hole Interiors? Infalling Observers? Singularities?

**Thank You!**