

Single inclusive particle production in pA collisions at forward rapidities at NLO

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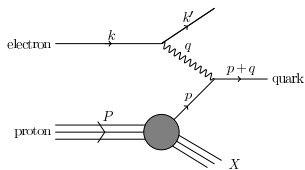
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CGC in a nutshell

DIS in QCD :



Three Lorentz invariant quantities :

- 1 $q^2 = -Q^2 \equiv$ virtuality of the incoming photon
- 2 $x = \frac{Q^2}{2P \cdot Q} \equiv$ longitudinal momentum fraction carried by the parton
- 3 $s \simeq 2P \cdot Q \equiv$ energy of the colliding $\gamma - p$ system

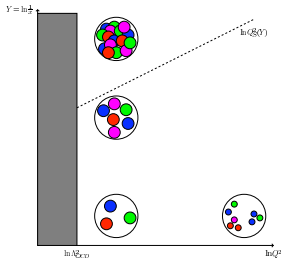
increasing the energy ($s = Q^2/x$) of the system:

Bjorken limit **fixed x , $Q^2 \rightarrow \infty$**

- density of partons decreases/DGLAP

Regge-Gribov limit **fixed Q^2 , $x \rightarrow 0$**

- density of partons increases/Saturation!



- $Q_s \equiv$ saturation scale
- In the saturation regime, scattering processes are described by an effective theory:

Color Glass Condensate:

- fast partons : $k^+ > \Lambda^+$ \rightarrow color sources:
 $J^\mu(x) = \delta^{\mu+} \rho(x^-, x_\perp)$
- slow partons : $k^+ < \Lambda^+$ \rightarrow color fields
 $A^\mu(x)$
- interaction: $\int d^4x J^\mu(x) A_\mu(x)$

Motivation to go from LO to NLO in the CGC

Leading Order in α_s CGC calculations:



(pro) : CGC-based theoretical calculations are in qualitative agreement with the experimental data from all types of collisions



(con): LO CGC lacks precision in order to determine unambiguously whether saturation is exhibited by the experimental data.

increasing precision of theory predictions in order to perform precise quantitative studies:

- * relaxing the kinematical approximations performed at LO (see talk by Beuf)
- * going from LO to NLO in α_s :

There has been a lot activity to provide expressions of observables at NLO.



eA collisions

- dipole factorization
- structure functions/ dijets



pA collisions

- hybrid factorization
- single inclusive hadron/jet

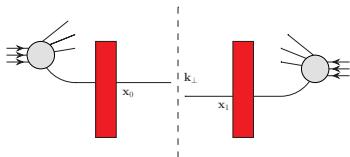
- * Many developments on the NLO corrections to the rapidity evolution equations (see talk by Korcyl)

Forward hadron production in pA collisions

[Dumitru, Hayashigaki, Jalilian-Marian - hep-ph/0506308]

Accepted calculation framework for forward production in pA collisions: Hybrid factorization

- The wave function of the projectile proton is treated in the spirit of collinear factorization (an assembly of partons with zero intrinsic transverse momenta) - DGLAP gives perturbative corrections.
- Target is treated as distribution of strong color fields which during the scattering event transfer transverse momentum to the propagating partonic configuration. (CGC like treatment)



$$\frac{d\sigma^{q \rightarrow H}}{d^2k d\eta} = \int_{x_F}^1 \frac{d\zeta}{\zeta^2} D_{\mu_0}^q(\zeta) \frac{x_F}{\zeta} f_{\mu_0}^q\left(\frac{x_F}{\zeta}\right) \int e^{ik(x_0 - x_1)} \langle s(x_0, x_1) \rangle$$

dipole operator: $s(x_0, x_1) = \frac{1}{N_c} \text{tr} \left[U(x_0) U^\dagger(x_1) \right]$

high transverse momentum in the produced hadron is acquired from the interaction with the target.

Forward hadron production

Does LO "Hybrid" formula take into account all contributions at high k_{\perp} ?

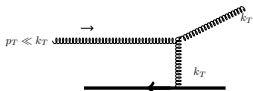
[TA, Kovner - arXiv:1102.5327]

For $k_{\perp} \gg Q_s$:

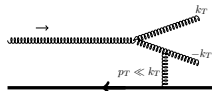
$$\frac{d\sigma}{d^2kd\eta} \propto \left[\frac{d\sigma}{d^2kd\eta} \right]_{el.} + \left[\frac{d\sigma}{d^2kd\eta} \right]_{inel.}$$

Real contributions at NLO.

"Elastic Scattering" (LO)



"Inelastic Scattering" (NLO)

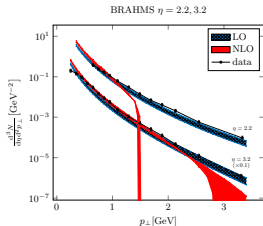


[Chirilli, Xiao, Yuan - arXiv:1112.1061 / arXiv:1203.6139] → Full NLO computation.

Collinear divergences: absorbed into DGLAP evolution of PDFs and FFs.

Rapidity divergences: absorbed into evolution of the target.

[Stasto, Xiao, Zaslavsky - arXiv:1307.4057] → Numerical studies of full NLO result.



cross sections turn out to be **negative** at large transverse momentum!

Several solutions proposed to fix the problem:

- kinematical constraints
- different choice of rapidity scales
- threshold/ Sudakov resummations

Revisiting NLO hybrid formula - kinematical constraints

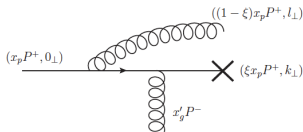
[TA, Armesto, Beuf, Kovner, Lublinsky - arXiv:1411.2869]

- **choice of frame:**

- (i) target moves fast and carries almost all the energy.
- (ii) projectile moves fast enough: accommodates partons with momentum fraction x_p but does not develop a large low- x tail.
- (iii) target is evolved to s from initial s_0 via BK.

- **loffe time restriction:** only pairs whose coherence time is greater than the propagation time through the target can be resolved.

$$\text{coherent scattering} \rightarrow \frac{(1-\xi)\xi x_p}{l_{\perp}^2} > \frac{1}{s_0}$$



New BK-like terms arise due to loffe time restriction.

[Watanabe, Xiao, Yuan, Zaslavsky - arXiv:1505.05183] \rightarrow exact kinematical constraint.

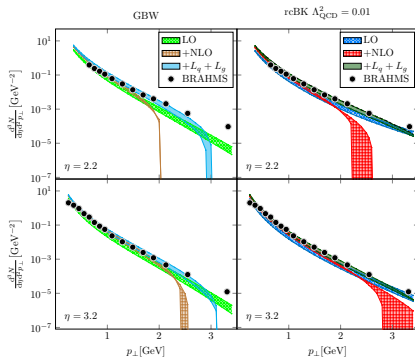
$$\int_0^{1-\frac{l_{\perp}^2}{x_p s}} \frac{d\xi}{(1-\xi)} = \ln \frac{1}{x_g} + \ln \frac{k_{\perp}^2}{l_{\perp}^2}$$

New terms ($L_q + L_g$) arise from $l_{\perp}^2 < (1-\xi)k_{\perp}^2$

The new terms in both works are consistent and equivalent.

Revisiting NLO hybrid formula - kinematical constraints

[Watanabe, Xiao, Yuan, Zaslavsky - arXiv:1505.05183]



BRAHMS data with $\sqrt{s_{NN}} = 200$ GeV.

The negativity problem is shifted to higher transverse momentum but not cured!

[Xiao, Yuan - arXiv:1806.0352], [Shi, Wang, Wei, Xiao - arXiv:2112.06975]

A new proposal:

- resum soft logs \rightarrow Sudakov resummation
- resum collinear logs \rightarrow Threshold resummation (DGLAP of PDFs or FFs)

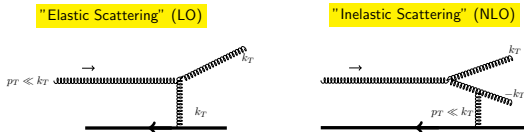
A new approach to forward pA scatterings

Common assumption in all these works: large logs can be resummed within the collinear factorization.

[TA, Armesto, Kovner, Lublinsky - arXiv: 2307.14922]

TMD-factorized framework is a natural choice to resum all large logs.

in [arXiv:1102.5327], the mechanisms that give rise to high transverse momentum hadrons:



– It is more natural to think the inelastic contribution in the TMD framework:
produced high k_T quark coming directly from quark TMD PDF.

★ another potential source to producing high transverse momentum hadron:

low k_T parton scatters softly, but subsequently fragments into a high transverse momentum hadron.

-Hadron arising from TMD FF.

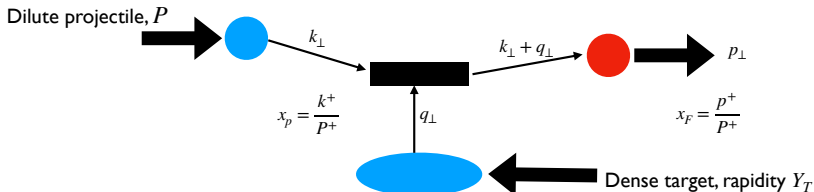
★ soft logs – we follow [arXiv:1411.2869]

The setup of the problem

[TA, Armesto, Kovner, Lublinsky - arXiv:2307.14922]

TMD-factorized parton model expression:

$$\frac{d\sigma^{LO+NLO}}{d^2p_{\perp}d\eta} \propto \int \frac{d\zeta}{\zeta^2} \int_{k_{\perp}q_{\perp}} \mathcal{T}(x_F/\zeta, k_{\perp}; \mu_T^2) P(k_{\perp}, q_{\perp}) \mathcal{F}(\zeta, p_{\perp}, (k_{\perp} + q_{\perp}); \mu_F^2) + \text{Gen. NLO}$$



$\mathcal{T}(x_F/\zeta, k_{\perp}; \mu_T^2) \rightarrow$ initial TMD PDF

$\mathcal{F}(\zeta, p_{\perp}, (k_{\perp} + q_{\perp})) \rightarrow$ TMD FF

$P(k_{\perp}, q_{\perp}) \rightarrow$ differential probability to produce a parton with momentum $(k_{\perp} + q_{\perp})$ from a parton with momentum k_{\perp}

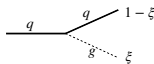
The factorization scales:

$$\mu_T^2 = \max\{k_{\perp}^2, q_{\perp}^2, Q_s^2\} \approx \max\{(k_{\perp} + q_{\perp})^2, Q_s^2\}, \mu_F^2 = ((q_{\perp} + k_{\perp}) - p_{\perp}/\zeta)^2 \approx \max\{(q_{\perp} + k_{\perp})^2, (p_{\perp}/\zeta)^2\}$$

TMD distributions

- TMD PDFs are generated from the collinear ones (large k)

$$xT_q(x, k^2, k^2; \xi_0) = \frac{g^2}{(2\pi)^3} \frac{N_c}{2} \int_{\xi_0}^1 d\xi \frac{1 + (1 - \xi)^2}{\xi} \frac{x}{1 - \xi} f_{k^2}^q \left(\frac{x}{1 - \xi} \right) \frac{1}{k^2}$$



- The soft divergence of the gluon emission is regulated by the cut off ξ_0 .
- Partons with high longitudinal momentum are produced from partons with lower longitudinal momentum by DGLAP splitting.
- Transverse resolution scale in these splittings is equal to the transverse momentum of the parton.

- Evolution of the TMDs

$$xT_q(x, k^2; \mu^2; \xi_0) = \theta(\mu^2 - k^2) \left[xT_q(x, k^2; k^2; \xi_0) - \frac{g^2}{(2\pi)^3} \frac{N_c}{2} \int_{k^2}^{\mu^2} \frac{\pi dl^2}{l^2} \int_{\xi_0}^1 d\xi \frac{1 + (1 - \xi)}{\xi} xT_q(x, k^2; l^2; \xi_0) \right]$$

Increasing the transverse resolution \Rightarrow number of q at a fixed transverse momentum decreases due to DGLAP splittings into qg pair with higher long. momentum given by the resolution scale.

With these definitions, collinear q -PDF and TMD q -PDF are related via

$$xf_{\mu^2}^q(x) = \int_0^{\mu^2} \pi dk^2 xT_q(x, k^2; \mu^2; \xi_0)$$

And it satisfies the DGLAP evolution equations...

Forward pA - quark channel

- start from the expressions obtained in LCPT (with loffe time restriction) in [arXiv:1411.2869] (no collinear subtraction and no + prescription)
- projectile contains quarks with transverse momentum smaller than μ_0 , target sits at some rapidity with no need of further evolution.
- assumptions: large N_c , factorization of the dipoles, and translationally invariant dipoles.

After Including the fragmentation and FT to momentum space:

$$\frac{d\sigma^{q \rightarrow q \rightarrow H}}{d^2p d\eta} = \frac{d\sigma_0^{q \rightarrow q \rightarrow H}}{d^2p d\eta} + \frac{d\sigma_{1,r}^{q \rightarrow q \rightarrow H}}{d^2p d\eta} + \frac{d\sigma_{1,v}^{q \rightarrow q \rightarrow H}}{d^2p d\eta}$$

LO term

$$\frac{d\sigma_0^{q \rightarrow q \rightarrow H}}{d^2p d\eta} = S_{\perp} \int_{x_F}^1 \frac{d\zeta}{\zeta^2} D_{\mu_0}^q(\zeta) \frac{x_F}{\zeta} f_{\mu_0}^q \left(\frac{x_F}{\zeta} \right) s(p/\zeta)$$

$$\begin{aligned} \frac{d\sigma}{d^2p d\eta} \Big|_{\text{NLO},r}^{q \rightarrow q} &= \frac{g^2}{(2\pi)^3} S_{\perp} \int_{x_F}^1 \frac{d\zeta}{\zeta^2} D_{\mu_0}^q(\zeta) \int_{k^2, q^2 > \mu_0^2} \int_{\xi_0} d\xi \frac{x_F}{\zeta(1-\xi)} f_{\mu_0}^q \left(\frac{x_F}{\zeta(1-\xi)} \right) \frac{N_c}{2} \left[\frac{1+(1-\xi)^2}{\xi} \right] s(k)s(q) \\ &\times \left\{ \frac{1}{2} \frac{(q-k)^2}{(p/\zeta-k)^2(p/\zeta-q)^2} + \frac{1}{2} \frac{(1-\xi)^2(q-k)^2}{[p/\zeta-(1-\xi)k]^2[p/\zeta-(1-\xi)q]^2} \right\} + (\text{Gen. NLO})_1 \end{aligned}$$

NLO real terms

The first term can be cast into

$$\frac{1}{2} \int_{k,q} s(k)s(q) \frac{(q-k)^2}{(p/\zeta - k)^2(p/\zeta - q)^2} = \int_{k,q} \frac{1}{k^2} s(-k + p/\zeta) \left[1 - \frac{k \cdot q}{q^2}\right] s(-q + p/\zeta)$$

Second term (after rescaling $\zeta(1-\xi) \rightarrow \zeta'$) can be acts into the same form.

Using the definition of TMD PDF (analogously TMD FF)

$$x\mathcal{T}_q(x, k^2, k^2; \xi_0) = \frac{g^2}{(2\pi)^3} \frac{N_c}{2} \int_{\xi_0}^1 d\xi \frac{1 + (1-\xi)^2}{\xi} \frac{x}{1-\xi} f_{k^2}^q \left(\frac{x}{1-\xi}\right) \frac{1}{k^2}$$

The real contribution reads

$$\frac{d\sigma_{1,r}^{q \rightarrow q+H}}{d^2pd\eta} = S_{\perp} \int_{x_F}^1 \frac{d\zeta}{\zeta^2} \int_{k^2 > \mu_0^2} \frac{x_F}{\zeta} \left\{ D_{\mu_0^2}^q(\zeta) \mathcal{T}_q \left(\frac{x_F}{\zeta}, k^2; k^2, \xi_0 = \frac{k^2\zeta}{x_F s_0} \right) + f_{\mu_0^2}^q \left(\frac{x_F}{\zeta} \right) \mathcal{F}^q \left(\zeta, k^2; k^2, \xi_0 = \frac{k^2\zeta}{x_F s_0} \right) \right\} \\ \times \int_q s(-k + p/\zeta) \left[1 - \frac{k \cdot q}{q^2}\right] s(-q + p/\zeta) + (\text{Gen. NLO})$$

– incoming quark with mom. k , scatters with mom exchange $-k + p/\zeta$, outgoing quark with mom. p/ζ collinearly fragments into a hadron with mom. p .

– (shift $k \rightarrow -q + p/\zeta$ and $q \rightarrow -k + p/\zeta$) incoming quark with vanishing mom., scatters with mom. transfer q , first perturbatively fragments into a quark with mom p/ζ , which then fragments into a hadron with momentum p .

NLO virtual contributions

Starting from the expressions in [arXiv:1411.2869], adopting the same assumptions:

$$\frac{d\sigma_{1,v}^{q \rightarrow q \rightarrow H}}{d^2pd\eta} = -2 \frac{g^2}{(2\pi)^3} S_{\perp} \frac{N_c}{2} \int_{x_F}^1 \frac{d\zeta}{\zeta^2} D_{H,\mu_0^2}^q(\zeta) \int_{k^2 > \mu_0^2} \int_{k^2 \zeta / (x_F s_0)}^1 d\xi \frac{x_F}{\zeta} f_{\mu_0^2}^q\left(\frac{x_F}{\zeta}\right) \frac{1 + (1 - \xi)^2}{\xi}$$

$$\times \int_q s\left(\frac{p}{\zeta}\right) s(q) \left\{ \left[\frac{\frac{p}{\zeta} - q - k}{(\frac{p}{\zeta} - q - k)^2} \frac{k}{k^2} + \frac{1}{k^2} \right] + \left[\frac{\frac{p}{\zeta}(1 - \xi) - q - k}{(\frac{p}{\zeta}(1 - \xi) - q - k)^2} - \frac{\frac{p}{\zeta} - q - k}{(\frac{p}{\zeta} - q - k)^2} \right] \frac{k}{k^2} \right\}$$



- incoming $q \rightarrow qg$ pair, pair scatters, recombines into q .
- NLO corr. to LO elastic q scattering.



- qg loop that appears either before or after the scattering.
- "proper" virtual diagram



Does not contain any large logs (a Gen. NLO correction)

in the first term one can perform the angular integration over the angle of vector k :

$$\int_{\mu_0^2} d^2k \left[\frac{\frac{p}{\zeta} - q - k}{(\frac{p}{\zeta} - q - k)^2} \frac{k}{k^2} + \frac{1}{k^2} \right] = \int_{\mu_0^2}^{(q - \frac{1}{\zeta}p)^2} \frac{d^2k}{k^2}$$

NLO virtual contributions

The virtual NLO contribution can be split into two intervals

$$-2 \frac{g^2}{(2\pi)^3} S_\perp \frac{N_c}{2} \int_{x_F}^1 \frac{d\zeta}{\zeta^2} D_{H,\mu_0^2}^q(\zeta) \int_q \left[\int_{\mu_0^2}^{\mu^2} + \int_{\mu^2}^{(q-\frac{1}{2}p)^2} \right] \frac{d^2k}{k^2} \int_{k^2\zeta/(x_F s_0)}^1 d\xi \frac{x_F}{\zeta} f_{\mu_0^2}^q \left(\frac{x_F}{\zeta} \right) \frac{1+(1-\xi)^2}{\xi} s \left(\frac{p}{\zeta} \right) s(q)$$

- the first term combines with LO to evolve the resolution scale of the TMD to μ^2 .
- contribution from the pairs of the transverse size close to the resolution scale.
(no large logs & Gen. NLO correction)

LO + NLO virtual:

$$\begin{aligned} & S_\perp \int_{x_F}^1 \frac{d\zeta}{\zeta^2} D_{H,\mu_0^2}^q(\zeta) \frac{x_F}{\zeta} f_{\mu_0^2}^q \left(\frac{x_F}{\zeta} \right) s \left(\frac{p}{\zeta} \right) \\ & - 2 \frac{g^2}{(2\pi)^3} S_\perp \frac{N_c}{2} \int_{x_F}^1 \frac{d\zeta}{\zeta^2} D_{H,\mu_0^2}^q(\zeta) \int_q \int_{\mu_0^2}^{\mu^2} \frac{d^2k}{k^2} \int_{k^2\zeta/(x_F s_0)}^1 d\xi \frac{x_F}{\zeta} f_{\mu_0^2}^q \left(\frac{x_F}{\zeta} \right) \frac{1+(1-\xi)^2}{\xi} s \left(\frac{p}{\zeta} \right) \\ & = S_\perp \int_{x_F}^1 \frac{d\zeta}{\zeta^2} \int_0^{\mu_0^2} d^2k \left[D_{H,\mu_0^2}^q(\zeta) \frac{x_F}{\zeta} \mathcal{T}_q \left(\frac{x_F}{\zeta}, k^2; \mu^2; \xi_0 = \frac{\zeta\mu^2}{x_F s_0} \right) + \mathcal{F}_H^q \left(\zeta, k^2; \mu^2; \xi_0 = \frac{\zeta\mu^2}{x_F s_0} \right) \frac{x_F}{\zeta} f_{\mu_0^2}^q \left(\frac{x_F}{\zeta} \right) \right] s \left(\frac{p}{\zeta} \right) \end{aligned}$$

NLO virtual contributions

- evolve the factorization scale in the collinear PDFs and FFs up to μ^2

$$D_{H,\mu_0^2}^q(\zeta) \rightarrow \int_0^{\mu_0^2} d^2 l \mathcal{F}_H^q \left(\zeta, l^2; \mu^2; \xi_0 = \frac{\zeta \mu^2}{x_F s_0} \right); \quad f_{\mu_0^2}^q \left(\frac{x_F}{\zeta} \right) \rightarrow \int_0^{\mu_0^2} d^2 k \mathcal{T}_q \left(\frac{x_F}{\zeta}, k^2; \mu^2; \xi_0 = \frac{\zeta \mu^2}{x_F s_0} \right)$$

this introduces the term at $O(\alpha_s^2)$ therefore legitimate in our $O(\alpha_s)$ calculation.

- alter the scattering amplitude

$$s \left(\frac{p}{\zeta} \right) \rightarrow \int_q s \left(-(k+l) + \frac{p}{\zeta} \right) \left[1 - \frac{(k+l) \cdot q}{q^2} \right] s \left(-q + \frac{p}{\zeta} \right)$$

($|k+l|^2 \lesssim \mu_0^2 \ll p^2/\zeta^2$ & $q^2 \sim \max(Q_s^2, p^2/\zeta^2)$ & $\int_q s(q) = 1$) \Rightarrow this modification only adds subleading power corrections of the order μ_0^2/Q_s^2

LO + NLO virtual:

$$S_{\perp} \int_{x_F}^1 \frac{d\zeta}{\zeta^2} \int_q \int_0^{\mu_0^2} d^2 l \int_0^{\mu_0^2} d^2 k \\ \times \mathcal{F}_H^q \left(\zeta, l^2; \mu^2; \xi_0 = \frac{\zeta \mu^2}{x_F s_0} \right) \frac{x_F}{\zeta} \mathcal{T}_q \left(\frac{x_F}{\zeta}, k^2; \mu^2; \xi_0 = \frac{\zeta \mu^2}{x_F s_0} \right) s \left(-(k+l) + \frac{p}{\zeta} \right) \left[1 - \frac{(k+l) \cdot q}{q^2} \right] s \left(-q + \frac{p}{\zeta} \right)$$

LO+NLO virtual+NLO real: Final TMD factorized expression

$$S_{\perp} \int_{x_F}^1 \frac{d\zeta}{\zeta^2} \int_q \int d^2 l \int d^2 k \mathcal{F}_H^q \left(\zeta, l^2; \mu^2; \xi_0 = \frac{\zeta \mu^2}{x_F s_0} \right) \frac{x_F}{\zeta} \mathcal{T}_q \left(\frac{x_F}{\zeta}, k^2; \mu^2; \xi_0 = \frac{\zeta \mu^2}{x_F s_0} \right) \\ \times s \left(-(k+l) + \frac{p}{\zeta} \right) \left[1 - \frac{(k+l) \cdot q}{q^2} \right] s \left(-q + \frac{p}{\zeta} \right) + (\text{Gen. NLO})$$

- The progress continues in order to provide full NLO results which will provide the necessary precision for quantitative studies to determine whether saturation is exhibited by experimental data.
- We have discussed the issues and the suggested solutions for pA collisions at forward rapidities for NLO calculations.
- A new approach to forward pA scatterings is discussed.
Still have a lot of work to do:
 - NLO calc. without TMD FFs, single jet production in forward pA collisions.
 - NLO calc. without TMD PDFs, single inclusive hadron production in DIS.