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Search of New Physics with Kaon decays at the NA62 experiment at CERN

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Bern ITP, Birmingham, CERN, Dubna, Fairfax, Ferrara, Florence, Frascati, Louvain, Mainz, Merced, Moscow, Naples, Perugia, Pisa, Protvino, Rome I, Rome II, Saclay, San Luis Potosí, Stanford, Sofia, Triumf, Turin

Overview

- NA62 phase 1: Lepton Universality with Kl2 decays
- Experimental principles
- Preliminary result (2009)
- NA62 phase 2: $K^+ \rightarrow \pi^+ \nu \nu$ experiment
- Experimental principles
- R&D status report

The NA62 experiment

A fixed target experiment → modern CERN kaon physics program



Test of Lepton Universality

Ultra rare K decays



NA62 phase I Dedicated 2007 run to measure:

 $R_{K} = \frac{\Gamma(K^{\pm} \to e^{\pm} \nu_{e})}{\Gamma(K^{\pm} \to \mu^{\pm} \nu_{\mu})}$

oresent

future

NA62 phase II measurement of the decay



(2008-2010 R&D & construction 2011 start of data taking)

New Physics effects: promising roads



Lepton Universality

[G.Isidori Flavianet 2008]

Non -MFV / Generic

FCNC decays with severe suppression in the SM and clean theoretical prediction

K→πνν is $λ^5$ suppressed and can be very cleanly predicted by SM

NA62 at CERN - phase 2 (data taking starting in late 2011) Measurement of BR(K⁺ $\rightarrow \pi^+ \nu \nu$) Ultra rare K decay

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K_{I2} and π_{I2} decays in the SM



K₁₂ decay beyond the SM

R_p ratio is a sensitive probe to all SM extensions that induce Pseudo-Scalar currents and non-universal corrections to lepton couplings

Effects from weak-scale New Physics are expected in the range $\delta R_p/R_p \sim 10^{-4} - 10^{-2}$

Example: R-parity violating SUSY (MSSM) LFV contribution induced by RR $\tilde{\ell}$ mixing with emission of v_{τ} might enhances the decay rate

A.Masiero, P.Paradisi, R.Petronzio PR D74 (2006) 011701

$$R_{K}^{LVF} = R_{K}^{SM} \left[1 + (\frac{m_{\kappa}}{m_{H}})^{4} (\frac{m_{\tau}}{m_{e}})^{2} |\Delta_{13}|^{2} \tan^{6} \beta \right]$$

A few percent effect in large tanβ regime (not extreme) with massive charged Higgs

Example:
$$\Delta_{13} = 5 \times 10^{-4}$$
, $\tan\beta = 40$, $M_{H} = 500 \text{GeV}$
 $\rightarrow R_{K}^{LVF} = R_{K}^{SM}(1+0.013)$

Note:

1) negative corrections possible (interference) 2) analogous SUSY effects in pion decay are suppressed by a factor $(m_{\pi}/m_{K})^{4} \approx 6 \times 10^{-3}$





Experimental principles of NA62 (phase I)

GOAL: Accuracy better than 0.5% for a stringent SM test

- Dedicated K_{e2} data taking strategy
- Very high statistics K_{e2} sample (160K) collected
 - Low background (10%)

PRINCIPLES: (1) K_{e_2} and K_{μ_2} collected simultaneously:

- Result does not rely on K flux measurement
- Cancellation of many systematic effects at first order (eg. reconstruction/trigger efficiencies, time dependent systematics)

(2) Counting events in track momentum bins:

$$\mathsf{R}_{\mathsf{K}} = \frac{\mathsf{N}(\mathsf{K}_{e2}) - \mathsf{N}_{\mathsf{B}\mathsf{K}\mathsf{G}}(\mathsf{K}_{e2})}{\mathsf{N}(\mathsf{K}_{\mu2}) - \mathsf{N}_{\mathsf{B}\mathsf{K}\mathsf{G}}(\mathsf{K}_{\mu2})} \cdot \frac{\mathsf{A}\mathsf{C}\mathsf{C}(\mathsf{K}_{\mu2}) \times \varepsilon^{\mathsf{ID}}_{\mu} \times \varepsilon(\mathsf{K}_{\mu2})}{\mathsf{A}\mathsf{C}\mathsf{C}(\mathsf{K}_{e2}) \times \varepsilon^{\mathsf{ID}}_{e} \times \varepsilon(\mathsf{K}_{e2})} \cdot \frac{1}{\varepsilon_{\mathsf{L}\mathsf{K}\mathsf{F}}}$$

(3) Use of (data validated) MC simulations only for:

- Acceptance correction (geometry)
- Correction for Bkg (energetic bremsstrahlung by μ)

DATA TAKING Completed:

- Four months in 2007: ~400K spills, 300TB of raw data
- Two weeks in 2008 : special data sets for systematic uncertainties

Beam and detectors (NA48)

Beam

- high momentum (75GeV/c),
- narrow band ($\Delta p/p=2\%$)
- 90% data with K+ beam
- 10% data with K- beam (control sample for systematics)

Main detectors for R_{κ}

- Magnetic spectrometer (4 DCHs): 4 views/DCH: redundancy \rightarrow efficiency; $\sigma_{n}/p = 0.47\% + 0.02\%*p$ [GeV/c]
- Liquid Krypton EM calorimeter (LKr) High granularity, quasi-homogeneous; $\sigma_{E}/E = 3.2\%/E^{1/2} + 9\%/E + 0.42\%$ [GeV]; $\sigma_{x} = \sigma_{y} = 0.42/E^{1/2} + 0.6mm$ (1.5mm@10GeV)

Hodoscope

fast trigger and track time ($\sigma_t \sim 200 \text{ps}$)



K_{e2} / $K_{\mu 2}$ separation

1) kinematic identification with invariant missing mass

$$\begin{split} \mathsf{M}_{\mathrm{miss}^2}(\ell) &= (\mathsf{P}_{\mathsf{K}} - \mathsf{P}_{\ell})^2 \sim \mathsf{m}_{\mathsf{K}}^2 \, (1 - \mathsf{p}_{\ell}/\mathsf{p}_{\mathsf{K}}) - \mathsf{p}_{\mathsf{t}}^2(\mathsf{p}_{\mathsf{K}}/\mathsf{p}_{\ell}) \\ \text{With electron mass hypothesis} \\ &|\mathsf{M}_{\mathrm{miss}^2}(\mathsf{I})| < 0.01 \, (\mathsf{GeV}/\mathsf{c}^2)^2 \end{split}$$

Excellent Ke2/Kµ2 separation at low p< 30GeV/c</p>

2) e/μ particle ID from E/p

Particle identification by E deposition in Calorimeter (E_{LKr}) :

0.95<E/p<1.10 for electron

- E/p<0.2 for muon
- Excellent μ rejection ~10⁶
- High e and μ ID efficiency (>99%)



Ke2 and Kµ2: DATA vs MC (40% data sample)



~51x10³ K⁺e2 candidates Record World Ke2 sample

(KLOE: $\sim 14 \times 10^3$ candidates)

BKG: (8.0±0.2)%

Main source: Kµ2 with "catastrophic" E loss by μ in LKr

~16x 10⁶ K⁺µ2 candidates

BKG~0.25%

Only significant source: μ beam halo

Background summary



- Selection criteria optimized bin by bin
- Higher BKG at high momentum (no kinematic separation, more muon mis-ID due to bremsstrahlung)

BKG example: µ mis-identification as e

"Catastrophic" bremsstrahlung: $P(\mu \rightarrow e) \sim 3 \times 10^{-6}$ (and momentum dependent) $\rightarrow P(\mu \rightarrow e)/R_{\kappa} \sim 10\%$: $K_{\mu 2}$ decays are a major background

Note: pure μ beam does not exsist (due to $\mu \rightarrow e$ decay) \rightarrow direct measurement of P($\mu \rightarrow e$) is not possible (e contamination in μ beam ~10⁻⁴)

Strategy:

• Measure $P(\mu \rightarrow e)$ with a pure muon sample in the calorimeter obtained by filtering muons with a Pb wall in front of the calorimeter: tracks traversing the wall and with E/p>0.95 are pure μ (contamination <10⁻⁷)

- Use this data for tuning and validating the MC simulation (description of high $E_{\!\gamma}$ hard)
- Use data-validated MC simulation to determine $P(\mu \rightarrow e)$ in absence of Pb wall



Preliminary result (40% data sample)



 $R_{\kappa} = (2.500 \pm 0.012_{stat} \pm 0.011_{svs}t) \times 10^{-5}$ $= (2.500 \pm 0.016) \times 10^{-5}$

Compatible with SM !

Main uncertainties



- Estimated total sample of ~120x10³ K⁺ + 15x10³ K⁻ candidates
- Final stat. uncertainty below 0.3% and estimated total uncertainty of 0.4-0.5%

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Track momentum, GeV/c

$K \rightarrow \pi \overline{\nu} \nu$ in the Standard Model

$K \rightarrow \pi v v$ clean theoretical environment

FCNC loop processes:

top exchange dominating \rightarrow severe Cabibbo suppression in $s \rightarrow d$ transition



~~~~~ 11. C.  $e, \mu, \tau$ u. c. t

 $BR(K^+ \rightarrow \pi \nu \nu)_{SM} = (0.85 \pm 0.07) \cdot 10^{-10}$ 

Total error in SM prediction  $\sim 8\%$ Error budget: CKM parametric uncertainties dominate (6%). Theoretical contributions  $\leq$  3%

### M.Gorbahn - KAON09

Future scenario

[Buras, Gorbahn, Haisch, Nierste'06]

Cleanest way for extracting  $V_{td}$  and for giving independent determination of the unitarity triangle [golden relation:  $sin(2\beta)_{\mu Ks} = sin(2\beta)_{K \to \pi \nu \nu}$ ]

Enhanced sensitivity to generic new physics (NP degrees of freedom in loops)



### New Physics and experimental status

 $K \rightarrow \pi vv$  decays give unique and clean information about the flavour structure of the s $\rightarrow$ d sector in any model with new degrees of freedom in the TeV range. Sizable deviations from SM in a variety of models. Examples: non-MFV in LR ũ mixing (MSSM), non-MFV in RR đ mixing (MSSM with large tan $\beta$ ) or Little Higgs model with T-parity

If LHC will fix the energy scale of NP then  $K \rightarrow \pi v v$  will be of help in discriminating between NP models

But in some specific scenarios NP effects could be seen in  $K \rightarrow \pi v v$  decays

- even without any new particles seen at LHC
- even without significant signals in B<sub>d,s</sub> decays



| ľ                                                                         | IA62         | $K^+ \rightarrow \pi^+ v \bar{v}$ decays w/ NA62 (phase 2)                                                                                                                                                                                                                                                                                                                                                   |
|---------------------------------------------------------------------------|--------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                           | đ            | <ul> <li>Proposal 2005: CERN-SPSC-2005-013, SPSC-P-326</li> <li>Bern, Birmingham, CERN, Dubna, Ferrara, Fairfax, Firenze, Frascati, IHEP, INR, Louvain, Mainz, Merced, Napoli, Perugia, Pisa, Roma I+II, Saclay, SLAC, Sofia, Triumf, Torino</li> </ul>                                                                                                                                                      |
| v                                                                         | V            | <ul> <li>Approval by CERN (Dec. 2008): "subject to the definition of<br/>resource sharing within the collaboration"</li> </ul>                                                                                                                                                                                                                                                                               |
| ſ                                                                         | Main goal:   | to measure O(100) events ultra rare decays $K^+ \rightarrow \pi^+ v v$<br>with low level of background (B/S < 10%) in 2 years (2012+)                                                                                                                                                                                                                                                                        |
| E                                                                         | Experimental | principles:<br>Decay in-flight to avoid the scattering and the backgrounds<br>introduced by the stopping target<br>High momentum K <sup>+</sup> beam to improve the rejection of the<br>$\pi^0$ induced backgrounds<br>Kinematic rejection + Particle ID + Veto + Redundancy against<br>backgrounds: $\pi^+\pi^0$ , $\mu\nu$ , 3-body, 4-body decays,<br>(BR several order of magnitude greater than signal) |
| E                                                                         | Beam:        | High energy, monochromatic, high intensity<br>Sensitivity not limited by beam flux from SPS<br>(need ~10 <sup>13</sup> K decays ~same as NA48 measurement of $\epsilon$ `/ $\epsilon$ )                                                                                                                                                                                                                      |
| Note: reusing parts of the former NA48 apparatus and existing SPS protons |              |                                                                                                                                                                                                                                                                                                                                                                                                              |

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# Signal and background

BR~10<sup>-10</sup> at 10% (100 events) with acceptance ~10% and B/S<10% →  $10^{13}$  K decays and rejection factor against background up to  $10^{12}$ 

- NOTE: 1 particle in  $\rightarrow$  1 particle out
  - Measurement based on the missing invariant mass



### Experimental techniques and layout



### Several detector challenges

m



- Beam Cherenkov (CEDAR): photons @ 2MHz/mm2
- Spectrometer in high vacuum: P< 10<sup>-6</sup> mbar
- RICH (18m long):  $\mu/\pi$  separation at 10<sup>-3</sup> level in range 15-35 GeV/c
- Vetoing with calorimeters: low inefficiency (<10<sup>-4</sup>)
- Triggering and fully efficient DAQ @ several MHz input rate





### Example: Silicon pixel beam tracker

#### Requirements:

- Beam spectrometer: 3 stations
- Good space resolution
- Low material budget
- High intensity beam: 800 MHz
- Excellent time resolution: 200 ps



- Readout chip and sensor prototypes are ready
- Bumb bonding tests
- Test beam in late 2009

- 60X27 mm<sup>2</sup> per station
- 300 µmx300µm pixels
- Very thin silicon sensor + R-O chip (200+100  $\mu m \sim 0.5 X_0$ )
- Radiation damages → cooling in vacuum w/ limited material bdg.
- Fast electronics: "on-pixel TDC"
- time walk compensation (CFD)
- Bump bonded readout chip
- 0.13 μm CMOS technology



# Example: RICH with Neon 18m long

#### **Requirements:**

- $\pi/\mu$  separation at 10<sup>-3</sup> level with low inefficiency
- Timing of the event (better than 100ps)
- Low level trigger

#### Test beam (june 2009)

• Full length prototype

tested on beam at CERN SPS

- ~400 PMT installed
- ~17 photon/positron detected
- Timing requirements fulfilled

#### In addition

 test of the new Trigger-DAQ electronics based on HPTDC and TELL1 boards for fast triggering and readout





### Schedule

- First prototypes of RICH, STRAW on beam
  Tests of LAV, MUV, STRAW prototypes
  new fast LKr readout has been installed
  Test beams of prototype RICH+TDAQ system
  First prototype of GIGATRACKER
- 2010-11 Construction
- 2011-13 Data taking

... ? ... New NA62 phase for measuring  $K_L \rightarrow \pi^o \nu \nu$ 

# Conclusions

**Kaons** are still providing several and **unique opportunities in flavour physics** for searching New Physics effects and discriminating between NP models. Two golden opportunities come from testing Lepton Universality in  $K_{\rho}$  decays and from measuring the ultra rare  $K \rightarrow \pi v v$  decays

**NA62 phase 1** data taking in 2007/08 was dedicated to a precision measurement of  $R_{K}$ (high statistics and powerful  $K_{\mu2}/K_{e2}$  separation)

- The analysis of a partial  $K_{e2}$  sample (~40%) reached the record accuracy of 0.7% and the preliminary result:  $R_{K}$ = (2.500±0.016)×10<sup>-5</sup> is compatible to the SM prediction
- The analysis demonstrates that the overall uncertainty of 0.5% with the full data sample is within reach



#### NA62 phase 2 is a challenging experiment

aiming at measuring K<sup>+</sup> $\rightarrow \pi^+\nu\nu$  decay by collecting O(100) events with B/S<10%

- It has been approved by CERN SPSC and Research Board
- Detectors R&D is on schedule and will be completed this year
- Data taking will start in late year 2011

### **Additional information**

# NA62 goal

#### Accuracy better than 0.5% to provide a stringent SM test

- Dedicated  $K_{e2}$  data taking strategy
- Very high statistics K<sub>e2</sub> sample (160K) collected
  - Low background (10%)

#### Data taking (completed): 2007 and 2008

- Four months in 2007 (23/06–22/10):
- ~400K spills, 300TB of raw data (90TB recorded)
- Two weeks in 2008 (11/09–24/09): special data sets allowing reduction of the systematic uncertainties



NA48-2 beams: simultaneous K<sup>+</sup>/K<sup>-</sup>, focused, high momentum, narrow band (designed for the search of direct CPV in K<sup>±</sup> $\rightarrow$ 3 $\pi$ ) tuned for K<sub>e2</sub>



### NA62 beam: K<sup>+</sup>, high momentum, narrow band



### NA62 Main sub-detectors



# K<sub>e2</sub> and K<sub>µ2</sub> selection



# Muonic background in $K_{a2}$ sample (2)

#### **Mis-identification probability P(\mu \rightarrow e)**:

measurement (2007 muon sample) vs MC simulation (Geant4-improved)



#### **Prospects:**

- The 2008 muon sample is twice as large as the 2007 one;
- Another tool: muons from  $K_{\mu 2}$  decays in  $K_{e 2}/K_{\mu 2}$  separation region (p<25GeV/c)

### 



Ke2( $\gamma$ ) and K $\mu$ 2( $\gamma$ ) candidates are measured inclusively and then radiative SD contributions are subtracted (by accounting properly for acceptance)

Note: SD contribution is negligible in  $K_{\mu 2}$ , not in  $K_{e2}$ 

### $K^{\pm} \rightarrow e^{\pm} v \gamma$ background (2)

 $K_{e2\gamma}$  structure dependent (SD<sup>+</sup>): • Rate similar to  $K_{\rho2}$ 

- Background (by definition of  $R_{\kappa}$ )
- Known with very poor precision ( $\sim 20\%$ ):
- Theory: BR=(1.12-1.34)×10<sup>-5</sup> [model-dependent form-factor]
- Experiment:  $BR = (1.52 \pm 0.23) \times 10^{-5}$  [measurements in the 1970s]



#### Estimate: $B/S = (1.29 \pm 0.32)\%$

Uncertainty is due to poor precision of theoretical and experimental knowledge

Only energetic electron events (E<sup>\*</sup>>230MeV) are compatible with  $K_{P2}$  kinematic ID

This part of phase space is accessible for a direct BR measurement. (being outside the area populated by the  $K_{a3}$  background ( $E_a^* < 227 \text{ MeV}$ )

#### **Prospects:**

Improve B/S estimate uncertainty by direct measurements by KLOE and **NA62** 

### Beam halo background



volume further upstream and increase the data sample

B/S=0.14% negligible uncertainty

# Particle ID efficiencies

#### **Electron ID efficiency** $f_e$

directly measured by kinematic selection of electrons:

- from  $K^{\pm} \rightarrow \pi^0 e^{\pm} v$  decays collected during main K data taking (limited momentum range p<50GeV/c);
- from  $K_L \rightarrow \pi^{\pm} e^{\pm} v$  decays collected in a special 15h  $K_L$  run (whole track momentum range, due to broad  $K_L$  momentum spectrum).
- $\rightarrow$  f\_e is measured (range: 0.988-0.992) with uncertainty below  $\delta f_{\rm e}{<}0.1\%$

#### Muon ID efficiency $f_{\mu}$

measurement is simple: electrocontamination is outside the muon ID region, and is neglibile wrt  $1-f_{\mu}$ 

→  $f_{\mu}$  is measured directly (range: 0.996–0.999) with an uncertainty below  $\delta f_{\mu} < 0.1\%$ 

![](_page_32_Figure_9.jpeg)

# Other effects

#### **Acceptance correction:**

- Momentum dependent:  $A(K_{\mu 2})/A(K_{e 2}) \sim 1.3$
- $K_{e_2}$  radiative (IB) corrections strongly affect the acceptance
- Preliminary conclusion: the correction can be evaluated with a 0.1% precision

![](_page_33_Figure_5.jpeg)

#### **Trigger efficiency correction:**

- Efficiencies are monitored with control trigger samples;
- $Q_1$  efficiency mostly cancels in  $R_K$ , while  $E_{LKr}$  efficiency directly affects  $R_K$
- $E_{LKr}$  inefficiency measurement:  $1-\epsilon(E_{LKr}) \approx 1-\epsilon(K_{e2})/\epsilon(K_{\mu 2}) < 0.1\%$

#### Other known sources of uncertainies (can be corrected for):

- Trigger after-pulses biasing the Q<sub>1</sub> downscaling factor;
- Global inefficiency of LKr calorimeter readout (measured: 1-f<sub>LKr</sub>≈0.002)

### $K^+ \rightarrow \pi^+ \nu \nu$ with E787-E949 @BNL

Stopped K: separated beam, high effective decay rate, kinematics

![](_page_34_Figure_2.jpeg)

E787+E949: 3 events Bkg:  $0.30 \pm 0.03$ (P=0.001)

Combined result: BR =  $(1.73^{+1.15}_{-1.05}) \cdot 10^{-10}$ 

Limits of this technique reached

### NA62-II: Straws

#### Requests:

- 4 chambers
- good space and momentum resolution
- Low material budget:  $X/X_0 < 0.5\%$  per chamber
- operation in vacuum
- small inactive area around kaon beam

- 4 views with staggered planes
- Straw tubes in alluminium ultrasonic welded (no glue)
- measured resolution: 130µm per hit
- gap beetwen two straws: 1.2 cm (0.1 mm tollerance)

![](_page_35_Picture_11.jpeg)

Prototypes
 tested on vacuum
 with hadronic
 beam, muons and
 electrons

- Readout under definition
- Detector in construction

![](_page_35_Figure_15.jpeg)

### NA62-II: LAv

#### Requests:

- Vetos for gammas with large angle > 50 mrad
- Efficient covering along the decay region
- Inefficiency below 10<sup>-4</sup> for E>200 GeV

![](_page_36_Picture_5.jpeg)

- Three technology investigated (lead+scintillating fibers, lead+scintillator, lead glass)
- Opal lead glass solution
- Phototubes operating in vacuum
- 13 rings along the decay
- Several test beam to chose the technology
- caracterization with hadrons and muons of a 4x5 blocks real scale protorypes
- Module 0 prototypes in construction for test beam next summer

### NA62-II: LKr

![](_page_37_Picture_1.jpeg)

#### Requests:

- Very high efficiency on forward photons (1<acceptance<10mrad)</li>
- Good time resolution
- Na48 LKr calorimeter
- The efficiency has been measured with a special run in 2006
- <10<sup>-6</sup> for E>10 Gev, <10<sup>-3</sup> for 2.5<E<5.5 GeV</p>

![](_page_37_Figure_8.jpeg)

• New cryogenics system and new FE readout already done

 New electronics to allows faster triggering in construction

### NA62-II: Rich

#### <u>Requests:</u>

- Provide  $\pi/\mu$  separation at  $5\times10^{-1}$
- <sup>3</sup> in the range 15<p<35 GeV/c
- Measure track time with 100 ps res

Provide the main trigger for

- 18 m long tube filled with Neon
- Mirrors with f=17 m
- 2000 single anode PMTs, 1 cm in diameter
- 18mm "pixel" with **Winston cones**

charged particle • 100PMT full length prototype already tested

> 400PMT prototype with new readout electronics will be test in May

![](_page_38_Picture_11.jpeg)

![](_page_38_Picture_12.jpeg)

# NA62-II: trigger & DAQ

- Quasi-triggerless paradigma: L0 hardware and L1 software
- High trigger efficency (>95%)
- Acquisition losses < 10<sup>-8</sup>
- Fully monitored system: inefficiency, dead time and Xoff recording
- Low random veto probability: very high online time and double pulse resolution
- Integrated Trigger and DAQ fully digital system
- Readout without zero suppression for candidates
- Scalability in terms of bandwidth
- As uniform as possible for most detectors
- Exploit as much as possible existing and commercial solutions developed for existing or new experiments

| detector     | Rate<br>(MH7) |  |
|--------------|---------------|--|
|              | (*****2)      |  |
| CEDAR        | 50            |  |
| GTK          | 800           |  |
| LAV (total)  | 9.5           |  |
| STRAW (each) | 8             |  |
| RICH         | 8.6           |  |
| LKR          | 10.5          |  |
| MUV          | 9.2           |  |
| SAC          | 1.5           |  |

- L0 input rate: ~10MHz
- Conditions on LKr, MUV and RICH multiplicity can reduce the rate ~ 1 MHz